

# A systematic and feasible method for computing nuclear contributions to electrical properties of polyatomic molecules

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An analytic method to evaluate nuclear contributions to electrical properties of polyatomic molecules is presented. Such contributions control changes induced by an electric field on equilibrium geometry (nuclear relaxation contribution) and vibrational motion (vibrational contribution) of a molecular system. Expressions to compute the nuclear contributions have been derived from a power series expansion of the potential energy. These contributions to the electrical properties are given in terms of energy derivatives with respect to normal coordinates, electric field intensity or both. Only one calculation of such derivatives at the field-free equilibrium geometry is required. To show the useful efficiency of the analytical evaluation of electrical properties (the so-called AEEP method), results for calculations on water and pyridine at the SCF/TZ2P and the MP2/TZ2P levels of theory are reported. The results obtained are compared with previous theoretical calculations and with experimental values. © 1997 American Institute of Physics. [S0021-9606(97)02129-6]

## I. INTRODUCTION

In the last years, there has been a growing interest for the nonlinear optical properties of polyatomic molecules.<sup>1-12</sup> Such properties give the response of a molecule under the effect of an electromagnetic radiation. Addressing only the most important effect of the electric field component, the induced dipole moment  $\mu$  can be expanded in a Taylor series,

$$\begin{aligned} \mu_a = & \mu_a^{(0)} + \sum_b^{x,y,z} \alpha_{ab} F_b + \frac{1}{2!} \sum_{b,c}^{x,y,z} \beta_{abc} F_b F_c \\ & + \frac{1}{3!} \sum_{b,c,d}^{x,y,z} \gamma_{abcd} F_b F_c F_d + \dots, \end{aligned} \quad (1)$$

where the linear response is provided by the polarizability  $\alpha$ , and the nonlinear terms are the first and second hyperpolarizabilities  $\beta$  and  $\gamma$ , respectively. Dynamic properties are defined for time-oscillating fields and the static limit is achieved at the limiting case ( $\omega \rightarrow 0$ ), i.e., for uniform fields. In this work, only the static limit of electrical properties ( $\mathbf{P}$ , in general) is studied.

The validity of the Born–Oppenheimer approximation for computing nonlinear optical properties was assessed by Adamowicz and Bartlett.<sup>13</sup> Within the Born–Oppenheimer approximation, electronic and nuclear motions are evaluated separately, so the total energy of a chemical system is yielded by the sum of potential (electronic and nuclear repulsion), vibrational, and rotational energies (rotational energies are not considered in this paper). When a molecule is placed under the effect of an applied electric field, its electronic cloud is modified, its nuclear positions are changed, and its vibrational motion is perturbed.<sup>14-26</sup> All these induced changes can be explained in terms of different contributions

to the electrical properties, namely, electronic ( $\mathbf{P}^{\text{el}}$ ), nuclear relaxation ( $\mathbf{P}^{\text{nr}}$ ) and vibrational ( $\mathbf{P}^{\text{vib}}$ ).

Experimental evidence for nuclear contributions ( $\mathbf{P}^N = \mathbf{P}^{\text{nr}} + \mathbf{P}^{\text{vib}}$ ) to electrical properties can be found by comparing the Kerr effect with the electric field induced second harmonic generation (ESHG) data.<sup>27</sup> The Shelton and Palubinskas' work shows that nuclear contributions ( $\mathbf{P}^{\text{nr}}$  and  $\mathbf{P}^{\text{vib}}$ ) are nearly frequency independent, and tend to a constant value in the high frequency limit for highly symmetric molecules like  $\text{CH}_4$ ,  $\text{CF}_4$ , and  $\text{SF}_6$ .<sup>28</sup> For large polyethylene molecules, relationships between the bond order alternation (BOA) parameter provided by the nuclear relaxation contribution and the molecular (hyper)polarizabilities have been established both experimentally<sup>9</sup> and theoretically.<sup>29,30</sup>

In general, theoretical evaluation of nuclear contributions to electrical properties has been done by using either finite field<sup>13,19-22,24-26</sup> or perturbation theory<sup>31-41</sup> treatments. Both approaches allow to use post Hartree–Fock levels of theory. The latter not only allows one to calculate static properties, but also is the only reliable approach to obtain frequency-dependent electrical properties. This technique implying the summation over all electronic and vibrational states (SOS) method, is either restricted to small polyatomic molecules,<sup>22,31-34</sup> or different kinds of truncations must be applied to the SOS expressions to be reliable for polyatomic molecules.<sup>35-41</sup> Furthermore, the finite field approach, that has been recently applied to evaluate electrical properties of large polymeric species,<sup>24,42,43</sup> can be used only to obtain components of nuclear contributions to static electrical properties which are parallel to the permanent dipole moment of the molecule.<sup>19-21,26</sup> Finally, Handy's group<sup>22</sup> have used finite differences of the vibrational energy to calculate the  $P^{\nu}$  contribution for the HF and  $\text{H}_2\text{O}$  molecules including anharmonicity corrections.

A third alternate way of to evaluating the total electrical properties ( $\mathbf{P} = \mathbf{P}^{\text{el}} + \mathbf{P}^N$ ) exists. From this alternate treat-

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ment, one can obtain the molecular properties directly from the wave function that describes a molecular system, and has been used for long time by different authors. Originally, Kern and co-workers<sup>44-46</sup> computed nuclear contributions to one-electron properties, like the dipole moment, by including only mechanical anharmonicity corrections to the SCF potential energy of the H<sub>2</sub>O molecule. Werner and Meyer<sup>47</sup> simplified and applied this method to compute nuclear contributions to dipole moments and polarizabilities of various small molecules. Very recently, Russell and Spackman<sup>48</sup> have computed the vibrational averaging of  $\mu$  and  $\alpha$  for several small polyatomic molecules including both mechanical and electrical anharmonicity. In the early eighties, Pandey and Santry<sup>49</sup> calculated nuclear relaxation and vibrational contributions to  $\mu$ ,  $\alpha$ , and  $\beta$  of the CO, HCN, and H<sub>2</sub>O molecules. However, they did not include the mechanical anharmonicity in the potential energy expansion. Rinaldi *et al.*<sup>50</sup> applied also this analytical approach to evaluate  $\alpha^{\text{nr}}$ , at the semiempirical molecular orbital level. Recently, Castiglioni *et al.*<sup>29</sup> developed expressions for the nuclear relaxation contributions to the  $\alpha$ ,  $\beta$ , and  $\gamma$  at the harmonic level, and determined the  $\mathbf{P}^{\text{nr}}$  contributions from experimental ir and Raman bands. In a previous paper, and only for parallel components to the internuclear axis of diatomic molecules, the analytical evaluation of electrical properties was applied to the CO molecule.<sup>23</sup>

The goal of this paper is to devise a method to evaluate analytically nuclear contributions ( $\mathbf{P}^{\text{N}}$ ) to the static electrical properties, namely, nuclear relaxation  $\mathbf{P}^{\text{nr}}$  and vibrational  $\mathbf{P}^{\text{vib}}$ . Although some studies have dealt with this subject earlier,<sup>29,30,44-52</sup> analytical and complete evaluation of all components of  $\mu$ ,  $\alpha$ ,  $\beta$ , and  $\gamma$  tensors for polyatomic molecules is still lacking. In this work, the potential energy of a chemical system is expanded as *double* power series in terms of both normal coordinates  $\mathbf{Q}=(Q_1, Q_2, \dots, Q_{3N-6})$  and field strength vector  $\mathbf{F}=(F_x, F_y, F_z)$ . The method presented in this work is named AEEP (analytical evaluation of electrical properties) and has been coded and implemented successfully in our laboratory. The AEEP method as presented here shows the following features (i) it computes complete values of the total electrical properties, (ii) it calculates all components of the electrical properties tensors from just one computation of energy derivatives evaluated at zero-field

equilibrium geometry; (iii) it incorporates easily the electron correlation and basis set effects; (iv) it obtains routinely nuclear contributions of large polyatomic molecules; and (v) it gives clear rules to know all terms needed to evaluate both nuclear relaxation and vibrational contributions. Moreover, one can analyze the results of the AEEP method and compare them with these obtained by more traditional perturbation treatment,<sup>53</sup> to which the AEEP procedure is related.

In Sec. II, we shall present the AEEP method: nuclear contributions are given by coefficients of the power series expansion of the potential energy that are directly related to energy derivatives. In Sec. III, details of the molecular orbital *ab initio* calculations carried out in this paper are given. In Sec. IV, the AEEP results for the water and pyridine molecules will be presented. Finally, in Sec. V, conclusions of this work will be summarized.

## II. ANALYTICAL EVALUATION OF ELECTRICAL PROPERTIES (AEEP) METHOD: NUCLEAR CONTRIBUTIONS TO STATIC ELECTRICAL PROPERTIES

The potential energy of a polyatomic molecule placed under the effect of an electric field is function of both normal coordinates  $\mathbf{Q}=(Q_1, Q_2, \dots, Q_{3N-6})$  and field strength vector  $\mathbf{F}=(F_x, F_y, F_z)$ . Thus the potential energy hypersurface of a chemical system can be expressed as a *double* ( $\mathbf{Q}$  and  $\mathbf{F}$ ) power series expansion,

$$V(Q, F) = \sum_{n=0}^{3N-6} \sum_{i_1=1}^{3N-6} \cdots \sum_{i_n=1}^{3N-6} \sum_{m=0} \sum_{j_1=1}^{x,y,z} \cdots \times \sum_{j_m=1}^{x,y,z} a_{nm}^{i_1 \dots i_n \dots j_1 \dots j_m} Q_{i_1} \cdots Q_{i_n} F_{j_1} \cdots F_{j_m}, \quad (2)$$

where  $\mathbf{n}$  refers to displacements along the  $3N-6$  normal coordinates  $Q_i$ ,  $\mathbf{m}$  refers to changes of the field strength  $F_j$ , and  $N$  is the number of atoms of the molecule.

Differentiation of equation two with respect to either normal coordinate displacements, field strength, or both leads to relationships between coefficients of the power series expansion and potential energy derivatives

$$a_{nm}^{i_1 \dots i_n j_1 \dots j_m} = \frac{1}{n!m!} \left( \frac{\partial^{(n+m)} V(Q_1, \dots, Q_{3N-6}, F_x, F_y, F_z)}{\partial Q_{i_1} \cdots \partial Q_{i_n} \partial F_{j_1} \cdots \partial F_{j_m}} \right)_{Q=0, F=0} \quad (3)$$

All these derivatives of the potential energy hypersurface are evaluated at the equilibrium geometry ( $\mathbf{Q}=0$ ) and for the zero field case ( $\mathbf{F}=0$ ). Then, electronic contributions to the electrical properties are defined by

$$\mu_x^{\text{el}} = -a_{01}^x, \quad \alpha_{xy}^{\text{el}} = -2a_{02}^{xy}, \quad \beta_{xyz}^{\text{el}} = -6a_{03}^{xyz} \quad (4)$$

and mechanical terms of the potential energy are given by

$$k_i = 2a_{20}^i, \quad f_{ijk} = 6a_{30}^{ijk} \quad (5)$$

where indexes  $xyz$  and  $ijk$  refer to field strength vector com-

ponents and normal coordinates, respectively. Molecular property derivatives can also be obtained from the expansion coefficients, e.g.,

$$\left(\frac{\partial \mu_x^{\text{el}}}{\partial Q_i}\right)_0 = -a_{11}^{i,x}, \quad \left(\frac{\partial^2 \alpha_{xy}^{\text{el}}}{\partial Q_i \partial Q_j}\right)_0 = -4a_{22}^{ij,xy}, \quad (6)$$

$$\left(\frac{\partial k_i}{\partial F_x}\right)_0 = 2a_{21}^{ii,x}.$$

The zero subscript stands for all derivatives involved in Eqs. (3) and (6) that are evaluated at the field free equilibrium geometry.

In this section, terms up to  $\mathbf{n} + \mathbf{m} \leq 4$  have been considered in the power series expansion of the potential energy [Eq. (2)]. Such an expansion implies calculation up to fourth-order derivatives, thus requiring the state-of-the-art techniques in the evaluation of energy derivatives.<sup>54,55</sup> This expansion also carries inclusion of first- and second-order mechanical anharmonicity ( $a_{30}$  and  $a_{40}$  terms), first- and second-order electrical anharmonicity for dipole moment ( $a_{21}$  and  $a_{31}$  terms), first-order electrical anharmonicity for polarizability ( $a_{22}$  terms), and harmonic approximation for first hyperpolarizability ( $a_{13}$  terms). Under these restrictions, the expansion used for the *double* power series of the potential energy is given by

$$V(Q_1, Q_2, \dots, Q_{3N-6}, F_x, F_y, F_z)$$

$$= a_{00} + \sum_i^{3N-6} a_{10}^i Q_i + \sum_i^{3N-6} a_{20}^i Q_i^2 + \sum_{i,j,k}^{3N-6} a_{30}^{ijk} Q_i Q_j Q_k + \sum_{i,j,k,l}^{3N-6} a_{40}^{ijkl} Q_i Q_j Q_k Q_l$$

$$+ \sum_a^{x,y,z} \left( a_{01}^a + \sum_i^{3N-6} a_{11}^{i,a} Q_i + \sum_{i,j}^{3N-6} a_{21}^{ij,a} Q_i Q_j + \sum_{i,j,k}^{3N-6} a_{31}^{ijk,a} Q_i Q_j Q_k \right) F_a$$

$$+ \sum_{a,b}^{x,y,z} \left( a_{02}^{ab} + \sum_i^{3N-6} a_{12}^{i,ab} Q_i + \sum_{i,j}^{3N-6} a_{22}^{ij,ab} Q_i Q_j \right) F_a F_b + \sum_{a,b,c}^{x,y,z} \left( a_{03}^{abc} + \sum_i^{3N-6} a_{13}^{i,abc} Q_i \right) F_a F_b F_c$$

$$+ \sum_{a,b,c,d}^{x,y,z} a_{04}^{abcd} F_a F_b F_c F_d, \quad (7)$$

where  $abcd$  and  $ijkl$  run over field strength vector components ( $\mathbf{F}_x, \mathbf{F}_y, \mathbf{F}_z$ ) and normal coordinates ( $\mathbf{Q}_1, \mathbf{Q}_2, \dots, \mathbf{Q}_{3N-6}$ ), respectively. Using this truncation for the potential energy, it will be shown that a complete evaluation of nuclear relaxation contribution to dipole moment  $\mu^{\text{nr}}$ , polarizability  $\alpha^{\text{nr}}$ , and hyperpolarizabilities  $\beta^{\text{nr}}$  and  $\gamma^{\text{nr}}$ , and vibrational contribution to dipole moment  $\mu^{\text{vib}}$  and polarizability  $\alpha^{\text{vib}}$  can be obtained for the static limit of these properties. The  $\beta^{\text{vib}}$  and  $\gamma^{\text{vib}}$  are fifth- and sixth-order properties, respectively, that could be exactly evaluated including the fifth- and sixth-order terms in the power series expansion of the potential energy surface. Thus using the fourth-order truncation for the potential energy [Eq. (7)] only partial values of  $\beta^{\text{vib}}$  and  $\gamma^{\text{vib}}$  can be calculated.

## A. Nuclear relaxation contributions

Recently, Castiglioni *et al.*<sup>29,30</sup> have pointed out that the nuclear relaxation contributions to electrical properties are due to the change of the equilibrium geometry induced by the applied field. Nuclear displacements of a molecule, caused by an electric field, can be easily obtained from normal coordinates displacements applying the stationary point condition to Eq. (7). Iterative solution of the resulting system of nonindependent equations is detailed in Appendix A, and leads to the equilibrium field-dependent normal coordinates given by

$$Q_\lambda^{\text{eq}}(F_x, F_y, F_z) = - \sum_a^{x,y,z} \frac{a_{11}^{\lambda,a}}{2a_{20}^\lambda} F_a - \sum_{a,b}^{x,y,z} \left[ \frac{a_{12}^{\lambda,ab}}{2a_{20}^\lambda} - \sum_i^{3N-6} \frac{a_{21}^{\lambda i,a}}{a_{20}^\lambda} \left( \frac{a_{11}^{i,b}}{2a_{20}^i} \right) + \sum_{i,j}^{3N-6} \frac{3a_{30}^{\lambda ij}}{2a_{20}^\lambda} \left( \frac{a_{11}^{i,a}}{2a_{20}^i} \right) \left( \frac{a_{11}^{j,b}}{2a_{20}^j} \right) \right] F_a F_b$$

$$- \sum_{a,b,c}^{x,y,z} \left[ \frac{a_{13}^{\lambda,abc}}{2a_{20}^\lambda} - \sum_i^{3N-6} \left( \frac{a_{22}^{\lambda i,ab}}{a_{20}^\lambda} \left( \frac{a_{11}^{i,c}}{2a_{20}^i} \right) + \frac{a_{21}^{\lambda i,a}}{a_{20}^\lambda} \left( \frac{a_{12}^{i,bc}}{2a_{20}^i} \right) \right) \right]$$

$$+ \sum_{i,j}^{3N-6} \left( \frac{3a_{31}^{\lambda ij,a}}{2a_{20}^\lambda} \left( \frac{a_{11}^{i,b}}{2a_{20}^i} \right) \left( \frac{a_{11}^{j,c}}{2a_{20}^j} \right) + \frac{a_{21}^{\lambda i,a} a_{21}^{ij,b}}{a_{20}^\lambda a_{20}^i} \left( \frac{a_{11}^{i,c}}{2a_{20}^i} \right) + \frac{3a_{30}^{\lambda ij}}{a_{20}^\lambda} \left( \frac{a_{11}^{i,a}}{2a_{20}^i} \right) \left( \frac{a_{12}^{j,bc}}{2a_{20}^j} \right) \right)$$

$$\begin{aligned}
& - \sum_{i,j,k}^{3N-6} \left( \frac{2a_{40}^{\lambda j k}}{a_{20}^{\lambda}} \left( \frac{a_{11}^{i,a}}{2a_{20}^i} \right) \left( \frac{a_{11}^{j,b}}{2a_{20}^j} \right) \left( \frac{a_{11}^{k,c}}{2a_{20}^k} \right) + \frac{3a_{21}^{\lambda i,a} a_{30}^{j k}}{2a_{20}^{\lambda} a_{20}^i} \left( \frac{a_{11}^{j,b}}{2a_{20}^j} \right) \left( \frac{a_{11}^{k,c}}{2a_{20}^k} \right) + \frac{3a_{30}^{\lambda j} a_{21}^{i,a}}{a_{20}^{\lambda} a_{20}^j} \left( \frac{a_{11}^{i,b}}{2a_{20}^i} \right) \left( \frac{a_{11}^{k,c}}{2a_{20}^k} \right) \right) \\
& + \sum_{i,j,k,l}^{3N-6} \frac{9a_{30}^{\lambda j} a_{30}^{i k l}}{2a_{20}^{\lambda} a_{20}^i} \left( \frac{a_{11}^{j,a}}{2a_{20}^j} \right) \left( \frac{a_{11}^{k,b}}{2a_{20}^k} \right) \left( \frac{a_{11}^{l,c}}{2a_{20}^l} \right) F_a F_b F_c, \tag{8}
\end{aligned}$$

where  $\lambda$  runs over the  $3N-6$  normal coordinates.

It is worth noting that this procedure allows for the direct determination of the field-dependence behavior of the nuclear coordinates. For instance, when finite field techniques<sup>13,19-22,24-26</sup> are used molecular internal coordinates are reoptimized for each field strength. In the present study, only one calculation at the zero-field optimised geometry is required, followed by trivial application of Eq. (8).

Evaluating the first derivative of Eq. (8) with respect to electric field strength at zero field case, the first order nuclear relaxation  $\mathbf{q}_1^{\lambda,a}$  is obtained.

$$q_1^{\lambda,a} = - \left( \frac{\partial Q_{\lambda}^{\text{eq}}(F)}{\partial F_a} \right) = \frac{a_{11}^{\lambda,a}}{2a_{20}^{\lambda}}. \tag{9}$$

Moreover, the first-order nuclear relaxation has been previously defined for several authors as the ratio between the dipole moment derivative and the force constant, although only for diatomic molecules.<sup>56</sup> It must be noted that both dipole derivatives and force constants can be obtained experimentally from the ir bands. In a similar way, when only a harmonic expansion of the potential energy is used, second- ( $\mathbf{q}_2^{\lambda,ab}$ ) and third-order ( $\mathbf{q}_3^{\lambda,abc}$ ) nuclear relaxation terms can also be defined, and are given by the ratio between (hyper) polarizability derivatives and force constants, which arise from spectroscopic data.<sup>29,30,57-59</sup>

$$q_2^{\lambda,ab} = \frac{a_{12}^{\lambda,ab}}{2a_{20}^{\lambda}}, \quad q_3^{\lambda,abc} = \frac{a_{13}^{\lambda,abc}}{2a_{20}^{\lambda}}. \tag{10}$$

Substitution of the equilibrium field-dependent normal coordinates [Eq. (8)] into Eq. (7) will lead to a field-dependent potential energy at the equilibrium geometry  $V_{\text{eq}}(F)$ , which will include both the electronic and nuclear relaxation contributions, given by

$$\begin{aligned}
V_{\text{eq}}(F_x, F_y, F_z) = & a_{00} + \sum_a^{x,y,z} a_{01}^a F_a + \sum_{a,b}^{x,y,z} \left[ a_{02}^{ab} - \frac{1}{2} \sum_i^{3N-6} a_{11}^{i,a} q_1^{j,b} \right] F_a F_b \\
& + \sum_{a,b,c}^{x,y,z} \left[ a_{03}^{abc} - \sum_i^{3N-6} a_{12}^{i,ab} q_1^{i,c} + \sum_{i,j}^{3N-6} a_{21}^{ij,a} q_1^{i,b} q_1^{j,c} + \sum_{i,j,k}^{3N-6} a_{30}^{ijk} q_1^{i,a} q_1^{j,b} q_1^{k,c} \right] F_a F_b F_c \\
& + \sum_{a,b,c,d}^{x,y,z} \left[ a_{04}^{abcd} - \sum_i^{3N-6} \left( a_{13}^{i,abc} q_1^{i,d} + \frac{a_{12}^{i,ab}}{2} q_2^{i,cd} \right) + \sum_{i,j}^{3N-6} \left( a_{22}^{ij,ab} q_1^{i,c} q_1^{j,d} + 2a_{21}^{ij,a} q_1^{i,b} q_2^{j,cd} \right) \right. \\
& - \sum_{i,j,k}^{3N-6} \left( a_{31}^{ijk,a} q_1^{i,b} q_1^{j,c} q_1^{k,d} + 3a_{30}^{ijk} q_1^{i,a} q_1^{j,b} q_2^{k,cd} + \frac{a_{21}^{ij,a} a_{21}^{jk,b}}{a_{20}^j} q_1^{i,c} q_1^{k,d} \right) \\
& \left. + \sum_{i,j,k,l}^{3N-6} \left( a_{40}^{ijkl} q_1^{i,a} q_1^{j,b} q_1^{k,c} q_1^{l,d} + \frac{3a_{30}^{ijk} a_{21}^{kl,a}}{a_{20}^k} q_1^{i,b} q_1^{j,c} q_1^{l,d} \right) - \sum_{i,j,k,l,m}^{3N-6} \frac{9a_{30}^{ijk} a_{30}^{klm}}{4a_{20}^k} q_1^{i,a} q_1^{j,b} q_1^{l,c} q_1^{m,d} \right] F_a F_b F_c F_d. \tag{11}
\end{aligned}$$

Comparison between this equation and the Taylor series [Eq. (1)] and subtraction of the purely electronic contributions ( $\mathbf{a}_{01}$ ,  $\mathbf{a}_{02}$ ,  $\mathbf{a}_{03}$  and  $\mathbf{a}_{04}$  terms) leads to definition of the nuclear relaxation contributions to molecular electrical properties for all components of their tensors. This definition of the  $\mathbf{P}^{\text{nr}}$  contributions to the static electrical properties shows the ad-

divitive character between  $\mathbf{P}^{\text{el}}$  and  $\mathbf{P}^{\text{nr}}$ . At the equilibrium geometry and for the zero-field case, each component of the nuclear relaxation dipole moment has been shown to be null

$$\mu_a^{\text{nr}} = 0. \tag{12}$$

Each component of the nuclear relaxation polarizability is given by

$$\alpha_{ab}^{\text{nr}} = \sum_i^{3N-6} a_{11}^{i,a} q_1^{i,b} = \sum_i^{3N-6} a_{11}^{i,b} q_1^{i,a}. \quad (13)$$

It can be seen that components of the  $\alpha^{\text{nr}}$  tensor are a function of only *harmonic terms* (second-order terms). Each component of the nuclear relaxation first hyperpolarizability is given by

$$\begin{aligned} \beta_{abc}^{\text{nr}} = & 2 \sum_i^{3N-6} (a_{12}^{i,ab} q_1^{i,c} + a_{12}^{i,ac} q_1^{i,b} + a_{12}^{i,bc} q_1^{i,a}) \\ & - 2 \sum_{i,j}^{3N-6} (a_{21}^{ij,a} q_1^{i,b} q_1^{j,c} + a_{21}^{ij,b} q_1^{i,a} q_1^{j,c} + a_{21}^{ij,c} q_1^{i,a} q_1^{j,b}) \\ & + 6 \sum_{i,j,k}^{3N-6} a_{30}^{ijk} q_1^{i,a} q_1^{j,b} q_1^{k,c} \end{aligned} \quad (14)$$

which are functions of *harmonic* ( $\mathbf{a}_{20}$ ,  $\mathbf{a}_{11}$ , and  $\mathbf{a}_{12}$ ) and *first order anharmonic* ( $\mathbf{a}_{30}$  and  $\mathbf{a}_{21}$ ) terms (second and third order terms). This expression of  $\beta_{abc}^{\text{nr}}$  cannot be simplified to

$$\begin{aligned} \beta_{abc}^{\text{nr}} = & 6 \left( \sum_i a_{12}^{i,ab} q_1^{i,c} - \sum_{i,j} a_{21}^{ij,a} q_1^{i,b} q_1^{j,c} \right. \\ & \left. + \sum_{i,j,k} a_{30}^{ijk} q_1^{i,a} q_1^{j,b} q_1^{k,c} \right) \end{aligned} \quad (15)$$

because  $\mathbf{a}_{12}$  and  $\mathbf{q}_1$  in the first term, and  $\mathbf{a}_{21}$  and  $\mathbf{q}_1$  in the second are not equivalent. Therefore, all permutations of the field indexes  $abc$  must be considered to evaluate the  $\beta^{\text{nr}}$  values correctly. These permutations are not present in  $\alpha^{\text{nr}}$  because  $\mathbf{a}_{11}$  and  $\mathbf{q}_1$  are equivalent in Eq. (13) [see Eq. (9)]. Finally, each component of the nuclear relaxation contribution to the second hyperpolarizability is given by

$$\begin{aligned} \gamma_{abcd}^{\text{nr}} = & 24 \left[ \sum_i^{3N-6} \left( a_{13}^{i,abc} q_1^{i,d} + \frac{a_{12}^{i,ab}}{2} q_2^{i,cd} \right) - \sum_{i,j}^{3N-6} (a_{22}^{ij,ab} q_1^{i,c} q_1^{j,d} + 2a_{21}^{ij,a} q_1^{i,b} q_2^{j,cd}) \right. \\ & + \sum_{i,j,k}^{3N-6} \left( a_{31}^{ijk,a} q_1^{i,b} q_1^{j,c} q_1^{k,d} + 3a_{30}^{ijk} q_1^{i,a} q_1^{j,b} q_2^{k,cd} + \frac{a_{21}^{ij,a} a_{21}^{jk,b}}{a_{20}^j} q_1^{i,c} q_1^{k,d} \right) \\ & \left. - \sum_{i,j,k,l}^{3N-6} \left( a_{40}^{ijkl} q_1^{i,a} q_1^{j,b} q_1^{k,c} q_1^{l,d} + \frac{3a_{30}^{ijk} a_{21}^{kl,a}}{a_k} q_1^{i,b} q_1^{j,c} q_1^{l,d} \right) + \sum_{i,j,k,l,m}^{3N-6} \frac{9a_{30}^{ijk} a_{30}^{klm}}{4a_{20}^k} q_1^{i,a} q_1^{j,b} q_1^{l,c} q_1^{m,d} \right], \end{aligned} \quad (16)$$

where the permutation of all field indexes  $abcd$  has not been specified, like in the  $\beta^{\text{nr}}$  expression [Eq. (14)] for simplicity reasons, and is given in detail in Appendix B.

## B. Vibrational contributions

To obtain the vibrational contribution to the molecular properties, derivatives of the vibrational energy with respect to the field strength at the corresponding order must be calculated. We assumed here that the vibrational energy is given by the harmonic zero-point energy (for considering excited vibrational states see Ref. 19) On the contrary, anharmonicity has been included through the potential energy expansion [Eq. (7)]. The validity of this approximation for the polarizability of the HF molecule in the vibrational ground state has been already tested.<sup>51,52</sup>

Under these assumptions, the vibrational contribution to static electrical properties is function of the field-dependent force constants ( $k_i(\mathbf{F}) = \kappa_i$ ) and their derivatives with respect to the field strength. Then, in atomic units the tensor components of  $\mu^{\text{vib}}$  and  $\alpha^{\text{vib}}$  are given by

$$\begin{aligned} \mu_a^{\text{vib}} \cong \mu_a^{\text{ZPE}} = & -\frac{1}{2} \sum_i^{3N-6} \left( \frac{\partial \omega_i}{\partial F_a} \right) \\ = & -\frac{1}{4} \sum_i^{3N-6} (m_i \kappa_i)^{-1/2} \left( \frac{\partial \kappa_i}{\partial F_a} \right) \end{aligned} \quad (17)$$

and

$$\begin{aligned} \alpha_{ab}^{\text{vib}} \cong \alpha_{ab}^{\text{ZPE}} = & -\frac{1}{2} \sum_i^{3N-6} \left( \frac{\partial^2 \omega_i}{\partial F_a \partial F_b} \right) \\ = & -\frac{1}{4} \sum_i^{3N-6} (m_i \kappa_i)^{-1/2} \left[ \left( \frac{\partial^2 \kappa_i}{\partial F_a \partial F_b} \right) \right. \\ & \left. - \frac{1}{2\kappa_i} \left( \frac{\partial \kappa_i}{\partial F_a} \right) \left( \frac{\partial \kappa_i}{\partial F_b} \right) \right], \end{aligned} \quad (18)$$

where  $m_i$  are the reduced masses associated to each normal coordinate, and  $\omega_i = 2\pi\nu_i$ , where  $\nu_i$  are the harmonic vibrational frequencies. Both the field-dependent force constants and their derivatives are evaluated at the zero-field equilibrium geometry. By double differentiating the power series expansion [Eq. (7)], the field-dependent force constants at the equilibrium geometry are given by

$$\begin{aligned} \kappa_{\lambda}^{\text{eq}} = & 2a_{20}^{\lambda} + 6 \sum_i^{3N-6} a_{30}^{\lambda\lambda i} Q_i^{\text{eq}} + 12 \sum_{i,j}^{3N-6} a_{40}^{\lambda\lambda ij} Q_i^{\text{eq}} Q_j^{\text{eq}} \\ & + 2 \sum_a^{x,y,z} \left( a_{21}^{\lambda\lambda,a} + 3 \sum_i^{3N-6} a_{31}^{\lambda\lambda i,a} Q_i^{\text{eq}} \right) F_a \\ & + 2 \sum_{a,b}^{x,y,z} a_{22}^{\lambda\lambda,ab} F_a F_b, \end{aligned} \quad (19)$$

where  $\mathbf{Q}_i^{\text{eq}}$  are the equilibrium field-dependent normal coordinates presented in Eq. (8), and  $\lambda$  runs over all normal coordinates. From Eqs. (8) and (19) field-dependent force constant derivatives with respect to electric field are a function of  $\mathbf{Q}_i^{\text{eq}}$  derivatives and the  $\mathbf{a}_{21}$ ,  $\mathbf{a}_{22}$ ,  $\mathbf{a}_{30}$ ,  $\mathbf{a}_{31}$ , and  $\mathbf{a}_{40}$  coefficients of the power series expansion of the potential energy. All these coefficients are included in the potential energy expansion only when anharmonic corrections are considered. Then, in the harmonic model for the potential energy,  $\mathbf{P}^{\text{vib}}$  contributions to the molecular properties are zero everywhere. Therefore, vibrational contributions to the electrical properties are a consequence of the anharmonicity of the potential energy hypersurface. This aspect was originally pointed out by Kern and Matcha<sup>44</sup> in the late sixties. Thus final expressions of the vibrational contributions to dipole moment and polarizability tensor components are given by

$$\mu_a^{\text{vib}} = -\frac{1}{4} \sum_i^{3N-6} (2a_{20}^i m_i)^{-1/2} \left[ 2a_{21}^{ii,a} - 6 \sum_j^{3N-6} a_{30}^{ij} q_1^{j,a} \right] \quad (20)$$

and

$$\begin{aligned} \alpha_{ab}^{\text{vib}} = & -\frac{1}{4} \sum_i^{3N-6} (2a_{20}^i m_i)^{-1/2} \left[ 4a_{22}^{ii,ab} \right. \\ & - 6 \sum_j^{3N-6} (2a_{30}^{ij} q_2^{j,ab} + a_{31}^{ij,a} q_1^{j,b} + a_{31}^{ij,b} q_1^{j,a}) \\ & + 6 \sum_{j,k}^{3N-6} \left( 4a_{40}^{ijk} q_1^{j,a} q_1^{k,b} \right. \\ & \left. + \frac{a_{30}^{ij}}{a_{20}^i} (a_{21}^{jk,a} q_1^{k,b} + a_{21}^{jk,b} q_1^{k,a}) \right) \\ & - 18 \sum_{j,k,l}^{3N-6} \frac{a_{30}^{ij} a_{30}^{jkl}}{a_{20}^i} q_1^{k,a} q_1^{l,b} \\ & \left. - \frac{1}{a_{20}^i} \left( a_{21}^{ii,a} a_{21}^{ii,b} - 3 \sum_j^{3N-6} a_{30}^{ij} (a_{21}^{ii,a} q_1^{j,b} + a_{21}^{ii,b} q_1^{j,a}) \right) \right. \\ & \left. + 9 \sum_{j,k}^{3N-6} a_{30}^{ij} a_{30}^{ik} q_1^{j,a} q_1^{k,b} \right], \end{aligned} \quad (21)$$

where all permutations between the nonequivalent coefficients in each term have already been taken into account.

It has been shown that complete evaluation of  $\mathbf{P}^{\text{vib}}$  must include all  $\mathbf{a}_{nm}$  terms for which the following conditions hold (i)  $\mathbf{n} + \mathbf{m} \leq 1 + 2$ , (ii)  $\mathbf{m} \leq 1$ , and (iii)  $\mathbf{n} \neq 0$ , where  $\mathbf{1}$  equals 1

for  $\mu^{\text{vib}}$ , 2 for  $\alpha^{\text{vib}}$ , and so on. For this reason, when the potential energy expansion includes all terms up to fourth-order (with all  $\mathbf{a}_{nm}$  with  $\mathbf{n} + \mathbf{m} \leq 4$ ) like Eq. (7) only  $\mu^{\text{vib}}$  and  $\alpha^{\text{vib}}$  can be obtained. Then, inclusion of higher-order terms in the field-dependent potential energy expansion [Eq. (7)] will not incorporate additional terms in either  $\mu^{\text{vib}}$  or  $\alpha^{\text{vib}}$ , because  $\kappa_i$  and their derivatives are evaluated for the zero-field case at the equilibrium geometry.

To obtain expressions for  $\beta^{\text{vib}}$  and  $\gamma^{\text{vib}}$ , the same procedure must be followed including fifth- and sixth-order terms in the potential energy expansion [Eq. (7)], respectively. Otherwise, the calculated values of  $\beta^{\text{vib}}$  and  $\gamma^{\text{vib}}$  will be only approximate.

### III. METHODOLOGY

Calculations have been carried out at the *ab initio* SCF-MO level of theory. Electron correlation has been introduced using second-order Moller–Plesset perturbation theory (MP2). The Dunning–Huzinaga<sup>60</sup> basis set has been used in this work; for water and pyridine, the (9s5p/4s)/[5s3p/3s] contraction has been used, and two sets of polarization functions have been added (TZ2P basis set) with exponents 0.75 and 0.15 for C, 0.80 and 0.15 for N, 0.85 and 0.15 for O, and 0.75 and 0.15 for H. The  $C_2$  axis and the molecular plane of these molecules have been assigned to the  $z$  and  $yz$  Cartesian coordinates of the molecular system of reference, respectively.

Nuclear contributions to electrical properties are given in terms of energy derivatives with respect to either normal coordinates, field strength, or both. To show the usefulness of the AEEP method, data presented in this work use only second- and third-energy derivatives that can be routinely calculated by the GAUSSIAN-94<sup>61</sup> series of programs. At both SCF and MP2, derivatives through second-order have been evaluated analytically, whereas third-order derivatives have been obtained by numerical differences. At the SCF level,  $\mathbf{P}^{\text{el}}$  has also been obtained analytically.<sup>54,55</sup> Nuclear contributions ( $\mathbf{P}^{\text{nr}}$  and  $\mathbf{P}^{\text{vib}}$ ) to the static electrical properties have been calculated by the AEEP<sup>62</sup> code developed in our laboratory, following straight forward expressions presented in Sec. II. Because of only second- and third-energy derivatives having been calculated, the values of  $\alpha^{\text{vib}}$  and  $\beta^{\text{vib}}$  presented in this paper might be improved by including higher-order energy derivatives. Furthermore,  $\alpha^{\text{nr}}$ ,  $\beta^{\text{nr}}$ , and  $\mu^{\text{vib}}$  values reported for water and pyridine can be improved only by including a more accurate evaluation of electron correlation or by using more flexible basis sets to determine the wave function of the molecular system.

The present procedure may be compared to the finite differences approaches<sup>13,19–22,24–26</sup> that involve several geometry optimizations. In the present study, only one typical energy+third derivatives calculation at the field-free geometry equilibrium geometry is required, together with straight forward application of formulas of Sec. II. Thus the AEEP method is simple, easy to use and applicable to any molecule, with the practical bottleneck laid in the third-energy derivatives calculation.

TABLE I. Nonzero tensor elements of the electronic and nuclear contributions to the electrical properties of water calculated at SCF/TZ2P and MP2/TZ2P. All values are given in atomic units (a.u.). For the dipole moment, 1 a.u.=8.478 36 $\times 10^{-30}$  C m=2.541 D; for the polarizability, 1 a.u.=1.648 78 $\times 10^{-41}$  C<sup>2</sup> m<sup>2</sup> J<sup>-1</sup>; for the first hyperpolarizability, 1 a.u.=3.206 36 $\times 10^{-53}$  C<sup>3</sup> m<sup>3</sup> J<sup>-2</sup>.

H <sub>2</sub> O TZ2P	Electronic		Nuclear relaxation		Vibrational		Total		Experimental values <sup>a</sup>
	SCF	MP2	SCF	MP2	SCF	MP2	SCF	MP2	
$\mu$	0.771	0.736	0.000	0.000	0.002	0.001	0.773	0.737	0.724
$\alpha_{xx}$	7.20	8.43	0.00	0.00	0.05	0.07	7.25	8.50	9.55
$\alpha_{yy}$	8.79	9.95	0.26	0.23	0.25	0.27	9.30	10.45	10.32
$\alpha_{zz}$	7.99	9.35	0.87	0.70	0.11	0.15	8.97	10.20	9.91
$\langle\alpha\rangle$	7.99	9.25	0.38	0.31	0.13	0.16	8.51	9.72	9.92
$\beta_{xxz}$	-0.74	-2.99	-0.05	0.01	0.04	0.03	-0.75	-2.95	
$\beta_{yyz}$	-9.24	-10.92	4.03	3.03	0.55	0.56	-4.66	-7.33	
$\beta_{zzz}$	-6.50	-12.15	5.93	6.38	0.19	0.06	-0.38	-5.71	
$\beta_z$	-9.89	-15.64	5.95	5.65	0.47	0.39	-3.47	-9.59	

<sup>a</sup>See Reference 63.

#### IV. RESULTS

In this section the AEEP method is used to compute analytically nuclear contributions to static electrical properties of water and pyridine. The water molecule, which is one of the simplest nonlinear polyatomic molecules, has already been chosen by different authors<sup>22,44-46,49</sup> to evaluate nuclear contributions to the static electrical properties using different theoretical approaches. Calculated AEEP electrical properties of water and pyridine will be compared with previous theoretical results, and available experimental data. For these two molecules, the agreement between the AEEP results and the experimental data will be shown to be excellent.

In Table I, the nonzero tensor elements of water obtained by the AEEP method are collected. These data have been computed at the respective minima on the SCF/TZ2P and MP2/TZ2P potential energy surfaces. From values presented in Table I, the total dipole moment ( $\mu = \mu_z$ ), the mean polarizability [ $\langle\alpha\rangle = (\alpha_{xx} + \alpha_{yy} + \alpha_{zz})/3$ ] and the vector component of the hyperpolarizability tensor parallel to the permanent dipole moment ( $\beta_{||} = \beta_z = 3(\beta_{xxz} + \beta_{yyz} + \beta_{zzz})/5$ ) are 0.773, 8.51, and -3.47 a.u. at the SCF level, and 0.737, 9.72, and -9.59 a.u. at the MP2 level, respectively.

The experimental total dipole moment and mean polarizability of water are 0.724, and 9.92 a.u.,<sup>63</sup> respectively. While the  $\mu$  and  $\alpha$  SCF values show relative errors of 7% and -14%, respectively, these errors are reduced to 1.7% and -2.0% by inclusion of electron correlation at MP2. Such an efficiency of the MP2 level of theory to obtain accurate values of electrical properties has already been shown by different authors.<sup>26,64,65</sup> For the water molecule, the agreement between the  $\mathbf{P}^N$  contributions calculated at the MP2 and SCF levels is excellent, hence electron correlation affects mainly to  $\mathbf{P}^{\text{el}}$  contributions. This fact must be study in more detail before being generalized. Thus a good strategy to reproduce experimental values might be to compute  $\mathbf{P}^N$  at SCF/TZ2P and only consider electron correlation to calculate  $\mathbf{P}^{\text{el}}$ .<sup>26</sup> Using this strategy,  $\mu$ ,  $\langle\alpha\rangle$ , and  $\beta_{||}$  turn out to be 0.738, 9.77, and -9.22 a.u., respectively. The relative errors with respect to the experimental dipole moment and polarizability

are 1.9% and -1.5%, respectively. In consequence, the nuclear contributions to the electrical properties seem to be accurately calculated at the SCF/TZ2P level.

Nuclear contributions to electrical properties have also been calculated at the SCF/6-311++G(3df,2pd) level to check the reliability of the TZ2P basis set when predicting  $\mathbf{P}^N$ . Using this large and flexible basis set the  $\mu^{\text{vib}}$ ,  $\langle\alpha^{\text{nr}}\rangle$ ,  $\langle\alpha^{\text{vib}}\rangle$  and  $\beta_z^{\text{vib}}$  values are unchanged with respect to the TZ2P ones, and only  $\beta_z^{\text{nr}}$  has changed to 7.44 a.u. As we will see in following paragraphs, the basis set effect on  $\beta^{\text{nr}}$ , which seems to be mainly related to the polarizability derivative, must be studied in detail.

Comparison of the  $\mathbf{P}^N$  AEEP values with earlier theoretical data must be done carefully in order to compare equivalent data, even when they are named in different ways. Both the finite field values<sup>19-21,26</sup> and the AEEP data of parallel components of the molecular properties ( $\mu_z$ ,  $\alpha_{zz}$ , and  $\beta_{zzz}$ ) presented in this work can be compared directly, because they represent two different approaches to obtain the  $\mathbf{P}^{\text{nr}}$  and  $\mathbf{P}^{\text{vib}}$  contributions to electrical properties. Kern and co-workers<sup>44-46</sup> calculated the zero-point vibrational averaging contribution to the dipole moment  $\mu^{\text{ZPVA}}$ . This contribution was a function of the anharmonicity of the potential energy surface and dipole moment derivatives. Then, this calculated  $\mu^{\text{ZPVA}}$  can be compared with the  $\mu^{\text{vib}}$  value as given by Eq. (20). Werner and Meyer<sup>47</sup> used also Kern's expressions to compute  $\mu^{\text{ZPVA}}$  and  $\alpha^{\text{ZPVA}}$ . Then, their reported values can be compared with the AEEP  $\mu^{\text{vib}}$  and  $\alpha^{\text{vib}}$  values, yet  $\alpha^{\text{ZPVA}}$  only includes the two first terms of  $\alpha^{\text{vib}}$  [Eq. (21)]. The recent work by Russell and Spackman<sup>48</sup> includes terms up to fourth-order, so their  $\alpha^{\text{ZPVA}}$  values can be compared with AEEP's  $\alpha^{\text{vib}}$ . Moreover, Pandey and Santry<sup>49</sup> defined vibrational corrections to dipole moment  $\mu_v$ , polarizabilities  $\alpha_v$ , and hyperpolarizabilities  $\beta_v$ . They went one step further by partially including the nuclear relaxation contribution, that was recognized to be 1 order of magnitude larger than the vibrational averaging values obtained by Kern *et al.*<sup>44-46</sup> Pandey and Santry<sup>49</sup> presented the harmonic part of  $\mathbf{P}^{\text{nr}}$  and the field derivatives of the force

TABLE II. Parallel ( $z$ ) component of nuclear contributions of water reported by several authors. All values are given in atomic units (a.u.).

Property	This work	Finite field	Kern expressions	Pandey <i>et al.</i>	Cohen <i>et al.</i>	Bishop <i>et al.</i>
$\mu_z$	$\mu_z^{\text{vib}}$	$\mu_z^{\text{vib}}$	$\mu_z^{\text{zPVA}} = \mu_z^{\text{vib}}$			
	0.002 <sup>a</sup>	0.002 <sup>c</sup>	0.0058–0.0061 <sup>e</sup>			
	0.001 <sup>b</sup>	0.001 <sup>d</sup>	0.003 <sup>f</sup>			
			0.0021 <sup>g</sup>			
$\alpha_{zz}$	$\alpha_{zz}^N = \alpha_{zz}^{\text{nr}} + \alpha_{zz}^{\text{vib}}$	$\alpha_{zz}^N = \alpha_{zz}^{\text{nr}} + \alpha_{zz}^{\text{vib}}$	$\alpha_{zz}^{\text{zPVA}} \approx \alpha_{zz}^{\text{vib}}$	$\alpha_{zz}^N \approx \alpha_{zz}^v$	$\alpha_{zz}^N \approx \alpha_{zz}^v$	
	0.98 = 0.87 + 0.11 <sup>a</sup>	1.18 = 0.87 + 0.31 <sup>c</sup>	0.15 <sup>f</sup>	0.269 <sup>i</sup>	1.004 <sup>j</sup>	
	0.85 = 0.70 + 0.15 <sup>b</sup>	1.02 = 0.70 + 0.32 <sup>d</sup>	0.2544 <sup>g</sup>			
			0.3070 <sup>h</sup>			
$\beta_{zzz}$	$\beta_{zzz}^N = \beta_{zzz}^{\text{nr}} + \beta_{zzz}^{\text{vib}}$	$\beta_{zzz}^N = \beta_{zzz}^{\text{nr}} + \beta_{zzz}^{\text{vib}}$		$\beta_{zzz}^N \approx \beta_{zzz}^v$	$\beta_{zzz}^N \approx \beta_{zzz}^v$	$\beta_{zzz}^N = \beta_{zzz}^v + \beta_{zzz}^{\text{zPVA}}$
	6.12 = 5.63 + 0.19 <sup>a</sup>	5.89 = 5.92 – 0.03 <sup>c</sup>		7.09 <sup>i</sup>	– 11.501 <sup>j</sup>	3.664 = 4.633 – 0.969 <sup>k</sup>
	6.44 = 6.38 + 0.06 <sup>b</sup>	6.69 = 6.37 + 0.32 <sup>d</sup>				1.685 = 2.340 – 0.655 <sup>l</sup>

<sup>a</sup>Values obtained at SCF/[5s3p2d/3s2p].

<sup>b</sup>Values obtained at MP2/[5s3p2d/3s2p].

<sup>c</sup>Values obtained at SCF/[5s3p2d/3s2p] (Ref. 26).

<sup>d</sup>Values obtained at MP2/[5s3p2d/3s2p] (Ref. 26).

<sup>e</sup>Values obtained by Kern *et al.* at SCF/[4s3p2d/2s1p] (Refs. 44–46).

<sup>f</sup>Values obtained by Werner and Meyer at SCF/[8s4p2d/6s2p]. (Ref. 47).

<sup>g</sup>Values obtained by Russel and Spackman at SCF/[5s3p2d/3s2p] (Ref. 48).

<sup>h</sup>Values obtained by Russel and Spackman at MP2/[5s3p2d/3s2p] (Ref. 48).

<sup>i</sup>Values obtained at SCF/[3s2p1d/2s1p] (Ref. 49).

<sup>j</sup>Values obtained at combined SCF/[5s3p2d/3s2p] and MP2/[5s3p2d/3s2p] (Ref. 22).

<sup>k</sup>Values obtained at SCF/[5s3p2d/3s2p] (Ref. 33).

<sup>l</sup>Values obtained at MP2/[5s3p2d/3s2p] (Ref. 33).

constants part of  $\mathbf{P}^{\text{vib}}$  together, the latter given by  $\mathbf{a}_{2m}$ , and the former by the  $\mathbf{a}_{1m}$  coefficients [Eq. (20)]. These authors also obtained a zero value for  $\mu^{\text{nr}}$ . Martí and Bishop<sup>53</sup> showed that the perturbative nuclear contributions  $\mathbf{P}^v + \mathbf{P}^{\text{ZPVA}}$  values can only be compared with the  $\mathbf{P}^{\text{nr}} + \mathbf{P}^{\text{vib}}$  values given either by the AEEP method or by the finite field treatment, even though the perturbation method expressions of  $\mathbf{P}^v + \mathbf{P}^{\text{ZPVA}}$  include only terms up to third-order ( $\mathbf{a}_{mn}$  with  $\mathbf{m} + \mathbf{n} \leq 3$ ) together with first and second derivatives of the molecular property with respect to normal coordinates ( $\mathbf{a}_{1m}$  and  $\mathbf{a}_{2m}$  coefficients). The latter had already been included through  $\mathbf{P}^{\text{ZPVA}}$ , originally by Kern and co-workers.

Moreover, Cohen *et al.*<sup>22</sup> calculated  $\alpha^v$ ,  $\beta^v$ , and  $\gamma^v$ , from finite differences of the vibrational energy. This approach deserves especial attention because they introduced both mechanical and electrical anharmonicity in the potential energy expression, and also introduced anharmonicity in the vibrational energy expression [Eq. (8) of Ref. 22(a)]. Although they tried to use Bishop and Kirtman's notation arising from perturbation theory ( $\mathbf{P}^v$  for the pure vibrational contribution, and  $\mathbf{P}^{\text{ZPVA}}$  for the vibrational averaging), they actually computed the so-called nuclear relaxation and vibrational contributions to electrical properties (see Ref. 53).

As far as nuclear relaxation contribution is concerned, since both the Cohen method and our AEEP method introduce anharmonicity up to fourth-order in the potential energy, derivatives of  $V_F^0$  [Eq. (8) of Ref. 22(a)] are completely comparable to AEEP  $\mathbf{P}^{\text{nr}}$ . For instance, their second derivative of  $V_F^0$  ( $\alpha^v[0200]$ ) is equivalent to our  $\alpha^{\text{nr}}$ . As Cohen *et al.* show [and also the present Eq. (13)] the nuclear relaxation contribution to polarizability is not affected by anharmonicity at all.

While the AEEP method uses the harmonic approximation to calculate the vibrational energy, Cohen *et al.* introduced anharmonicity and the  $E_0$  Dunham coefficient. Hence, derivatives of vibrational energy and the AEEP  $\mathbf{P}^{\text{vib}}$  values are only partially comparable. Thus their approach is indeed somewhat more accurate than ours, although it is more expensive and the amount of the computational burden is much larger, because geometries must be reoptimized a larger number of times, and many dipole-moment higher derivatives must be calculated at every strength field (e.g., for  $\beta^{\text{vib}}$  of a  $C_1$ -symmetry molecule they need ten calculations involving four field strengths). On the contrary, the AEEP method needs only one calculation of energy derivatives at the field-free equilibrium geometry.

In Table II, the nuclear contributions to electrical properties of H<sub>2</sub>O reported by different authors are gathered and compared to the AEEP results. The  $\alpha_{zz}^{\text{nr}}$ ,  $\beta_{zzz}^{\text{nr}}$ , and  $\mu_z^{\text{vib}}$  values obtained by the finite-field approach<sup>26</sup> and the AEEP method are nearly identical, because all terms of Eqs. (13), (14), and (20) have been included in this work. Only the AEEP  $\alpha_{zz}^{\text{vib}}$  and  $\beta_{zzz}^{\text{vib}}$  values are lower than the finite-field ones, because the AEEP data have been calculated here including only second and third order energy derivatives [Eq. (21)]. These results are strongly encouraging, and show that the error due to the lack of electron correlation in  $\mathbf{P}^{\text{el}}$  is much more important than the error due to neglecting of fourth- and fifth-order terms in  $\alpha^{\text{vib}}$  and  $\beta^{\text{vib}}$ . By using a different contraction scheme of the Dunning–Huzinaga basis set, Kern *et al.*<sup>44–46</sup> obtained a vibrational contribution to  $\mu$  larger than the AEEP one by a factor of 3. The  $\mathbf{P}^{\text{ZPVA}}$  values reported by both Werner and Meyer,<sup>47</sup> and Russell and Spackman,<sup>48</sup> for dipole moment and polarizability are in



TABLE III. Nonzero tensor elements of the electronic and nuclear contributions to the electrical properties of pyridine calculated at SCF/TZ2P and MP2/TZ2P. All values are given in atomic units (a.u.).

C <sub>5</sub> H <sub>5</sub> N TZ2P	Electronic		Nuclear relaxation		Vibrational	Total	Experimental values <sup>a</sup>
	SCF	MP2	SCF	MP2	SCF	SCF	
$\mu$	0.8990	0.9135	0.0000	0.0000	-0.0209	0.8781	0.8714
$\alpha_{xx}$	37.61	38.36	4.41	4.59	0.14	42.16	
$\alpha_{yy}$	71.86	75.74	1.07	0.44	0.73	73.66	
$\alpha_{zz}$	67.25	71.28	1.23	0.89	0.67	69.15	
$\langle\alpha\rangle$	58.91	61.79	2.24	1.97	0.51	61.66	64.10
$\beta_{xxz}$	-7.24		-11.87		-0.35	-19.49	
$\beta_{yyz}$	-14.28		-17.57		-0.42	-32.27	
$\beta_{zzz}$	7.50		14.42		0.37	22.29	
$\beta_z$	-8.41		-9.01		-0.24	-17.67	

<sup>a</sup>See Ref. 66.

good agreement with the AEEP values. Both works calculated as well the diagonal tensor elements  $\alpha_{xx}^{\text{vib}}$  and  $\alpha_{yy}^{\text{vib}}$  to be 0.13 and 0.33 a.u.,<sup>47</sup> and 0.0887 and 0.3982 a.u.,<sup>48</sup> respectively. These values are also in excellent agreement with data reported in this work. Although Pandey and Santry<sup>49</sup> included partially the  $\mathbf{P}^{\text{nr}}$  contribution and used a different contraction scheme of the Dunning–Huzinaga basis set, agreement between their results and our AEEP values is satisfactory, especially for  $\beta$ . Data from the perturbative sum over states presented in the last column of Table II, are clearly lower than other theoretical predictions; further, they have been obtained using a completely different basis set.

One can see in Table II that, for the parallel component of the nuclear contribution to polarizability, the difference between results in Ref. 22 and the AEEP values is lower than 0.03 a.u. The origin of this small difference can be found in the second derivative of the vibrational energy, since no meaningful differences are found between  $\alpha_{zz}^{\text{v}}[0200]$  (0.876 a.u.) and  $\alpha_{zz}^{\text{nr}}$  (0.87 a.u.) values. On the contrary, for the first hyperpolarizability,  $\beta^{\text{v}}$  differs from  $\beta^{\text{nr}}$  by a factor of 2. The difference in sign in the nuclear contribution to  $\beta$  is due to the different criteria used in the dipole moment definition. In this case, the main source of divergence must be found between the third derivative of  $V_F^0$  (-12.287 a.u.) and  $\beta_{zz}^{\text{nr}}$  (5.92 a.u.). Analyzing these two values in more detail, we find that this difference is caused by the first derivative of the polarizability with respect to normal coordinates, which is very sensitive to the basis set used in the calculation. This basis set effect on the computation of  $\beta^{\text{N}}$ , which has been previously shown in this work for the 6-311++G(3df,2pd) basis, might be the origin of the difference among the three different results, namely (1) those found by Pandey *et al.*<sup>49</sup> and us, (2) those reported by Cohen *et al.*<sup>22(a)</sup> and (3) those found by Bishop and co-workers.<sup>33</sup>

As a test of the behavior of the AEEP method on medium-sized polyatomic molecules, we report calculations on pyridine. Table III shows the nonzero tensor elements of the electronic and nuclear contributions to the molecular properties of pyridine, predicted by the AEEP method and calculated at the SCF/TZ2P level, and the nonzero tensor elements of  $\mu^{\text{el}}$ ,  $\alpha^{\text{el}}$  and  $\alpha^{\text{nr}}$ , computed at the MP2/TZ2P level. Comparison of the last two columns of Table III shows

that the agreement between the calculated AEEP  $\mu$  and  $\langle\alpha\rangle$  and the experimental values<sup>66</sup> is excellent. Relative errors are lesser than 1% and -4% for SCF dipole moment and main polarizability, respectively. This fact supports the usefulness of the AEEP method to compute electrical properties for large polyatomic molecules. For pyridine, as for water, the SCF and MP2  $\alpha^{\text{nr}}$  values are very similar. However, when the SCF  $\alpha^{\text{nr}}$  value is replaced by the MP2 result, the main polarizability value is closer to experiment. Finally, we have applied a strategy similar that used in water, and have computed the  $\mathbf{P}^{\text{el}}$  contributions by MP2 trying to reproduce the experimental results. These recomputed  $\mu_z$ ,  $\langle\alpha\rangle$  and  $\beta_l$  turn out to be 0.8926, 64.54, and 1.70 a.u. The relative error of mean polarizability is thus reduced to less than 1%, showing again that the main source of error in the SCF electrical properties arises from the  $\mathbf{P}^{\text{el}}$  contribution. The relative weights of the  $\mathbf{P}^{\text{N}}$  contributions in the total  $\mu_z$ ,  $\langle\alpha\rangle$  and  $\beta_l$  are 2%, 4.5% and more than 50%, respectively. These weights are of the same order of magnitude of the values previously reported for water. This result shows that nuclear contributions to the electrical properties are also essential to yield theoretical results that reproduce the experimental electrical properties of large polyatomic molecules like pyridine, and that theoretical values can be routinely compute by the AEEP method as presented in this work.

## V. CONCLUSIONS

We have presented a method to analytically compute the nuclear contributions ( $\mathbf{P}^{\text{nr}} + \mathbf{P}^{\text{vib}}$ ) to electrical properties. Expressions to compute both nuclear relaxation and vibrational contributions have been deduced from a power series expansion of the potential energy. Such contributions to the electrical properties are given in terms of energy derivatives with respect to normal coordinates, field strength or both. The accuracy of the AEEP values is only determined by the quality of the wave function used to describe the molecular system. The AEEP method is quite simple, despite some amount of algebraic development to obtain equilibrium field-dependent normal coordinates in Appendix A. For end-

users, the AEEP method requires just one calculation of the energy and its derivatives at the field-free optimized geometry, followed by trivial application of the formulas in Sec. II.

The AEEP method predicts the order of the necessary energy derivatives required for a complete computation of a specific nuclear contribution (e.g., for nuclear relaxation to  $\alpha$  only second derivatives are necessary). To our knowledge, this interesting advantage is exclusive of AEEP method and allows important savings in computational time.

For water and pyridine, the reported SCF nuclear contributions combined with MP2 electronic contributions, allow to reproduce well the experimental data, showing error lesser than 2%. The calculated nuclear contributions of water and pyridine represent a meaningful amount of these molecular properties. The fourth-order terms included by Cohen *et al.*, and by Russell and Spackman allow to quantitatively obtain the  $\alpha^{\text{vib}}$  values; on the contrary, when these terms are neglected, like in the presented AEEP values, only qualitatively  $\alpha^{\text{vib}}$  values are obtained. The analytical evaluation of electrical properties is the only systematic and computational feasible method for dealing with large polyatomic molecules using standard quantum mechanical programs. The presented  $\alpha^{\text{vib}}$  and  $\beta^{\text{vib}}$  AEEP values of electrical properties might be improved by inclusion of fourth-order derivatives. Further work in this subject is being carried out in our laboratory.

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## APPENDIX A

Application of stationary conditions to Eq. (7) allows to obtain the nuclear displacements of a molecule induced by the applied field, which are given by

$$\begin{aligned} \frac{\partial V(\mathbf{Q}, \mathbf{F})}{\partial Q_\lambda} = & 2a_{20}^\lambda Q_\lambda + 3 \sum_{i,j}^{3N-6} a_{30}^{\lambda ij} Q_i Q_j + 4 \sum_{i,j,k}^{3N-6} a_{40}^{\lambda ijk} Q_i Q_j Q_k \\ & + \sum_a^{x,y,z} \left( a_{11}^{\lambda,a} + 2 \sum_i^{3N-6} a_{21}^{\lambda i,a} Q_i \right. \\ & \left. + 3 \sum_{i,j}^{3N-6} a_{31}^{\lambda ij,a} Q_i Q_j \right) F_a \\ & + \sum_{a,b}^{x,y,z} \left( a_{12}^{\lambda,ab} + 2 \sum_i^{3N-6} a_{22}^{\lambda i,ab} Q_i \right) F_a F_b \\ & + \sum_{a,b,c}^{x,y,z} a_{13}^{\lambda,abc} F_a F_b F_c = 0, \end{aligned} \quad (\text{A1})$$

where  $\lambda$  runs over the  $3N-6$  normal coordinates. This nuclear displacement can be calculated from the first term,

$$\begin{aligned} Q_\lambda = & -\frac{1}{2a_{20}^\lambda} \left[ 3 \sum_{i,j}^{3N-6} a_{30}^{\lambda ij} Q_i Q_j + 4 \sum_{i,j,k}^{3N-6} a_{40}^{\lambda ijk} Q_i Q_j Q_k \right. \\ & + \sum_a^{x,y,z} \left( a_{11}^{\lambda,a} + 2 \sum_i^{3N-6} a_{21}^{\lambda i,a} Q_i + 3 \sum_{i,j}^{3N-6} a_{31}^{\lambda ij,a} Q_i Q_j \right) F_a \\ & + \sum_{a,b}^{x,y,z} \left( a_{12}^{\lambda,ab} + 2 \sum_i^{3N-6} a_{22}^{\lambda i,ab} Q_i \right) F_a F_b \\ & \left. + \sum_{a,b,c}^{x,y,z} a_{13}^{\lambda,abc} F_a F_b F_c \right], \end{aligned} \quad (\text{A2})$$

where each normal coordinate  $Q_\lambda$  depends on all normal coordinates and the field strength vector. Thus to obtain the field-dependent normal coordinates  $Q_\lambda$ , a nonlinear system of  $3N-6$  equations with  $3N-6$  nonindependent variables must be solved. This system of equations can be solved iteratively by the following procedure:

(a) Use the set of independent field-dependent normal coordinates given by

$$\begin{aligned} Q_\lambda^H = & - \left[ \sum_a^{x,y,z} q_1^{\lambda,a} F_a + \sum_{a,b}^{x,y,z} q_2^{\lambda,ab} F_a F_b \right. \\ & \left. + \sum_{a,b,c}^{x,y,z} q_3^{\lambda,abc} F_a F_b F_c \right] \end{aligned} \quad (\text{A3})$$

that is obtained by applying the stationary condition to the harmonic expansion of the potential energy.

(b) Substitute this set of independent normal coordinates  $Q_\lambda^H$  into Eq. (A2) to get a first set of field-dependent normal coordinates  $Q_\lambda'$ .

(c) Substitute the new set of normal coordinates  $Q_\lambda'$  into Eq. (A2) to obtain a second set of field-dependent normal coordinates  $Q_\lambda''$ .

(d) Repeat step (c) until the desired convergence is achieved.

In step (b) the converged first-order field-dependent normal coordinates given by

$$Q_\lambda^{\text{eq}}(F_x, F_y, F_z) = - \sum_a^{x,y,z} q_1^{\lambda,a} F_a \quad (\text{A4})$$

are obtained, whereas in step (c) the converged second-order field-dependent normal coordinates are obtained,

$$\begin{aligned}
Q_{\lambda}''(F_x, F_y, F_z) &= - \sum_a^{x,y,z} q_1^{\lambda,a} F_a - \sum_{a,b}^{x,y,z} \left[ q_2^{\lambda,ab} - \sum_i^{3N-6} \frac{a_{21}^{\lambda i,a}}{a_{20}^{\lambda}} q_1^{i,b} \right. \\
&\quad \left. + \sum_{i,j}^{3N-6} \frac{3a_{30}^{\lambda ij}}{2a_{20}^{\lambda}} q_1^{i,a} q_1^{j,b} \right] F_a F_b \quad (\text{A5})
\end{aligned}$$

and so on. The desired convergence is limited by the expansion of the potential energy used. In the present case, because all terms up to fourth-order have been included in the expansion of the potential energy, the field-dependent normal

coordinates converged can be obtained only up to third-order. However, to reach the reported expressions of the nuclear contributions to the electrical properties only the field-dependent normal coordinates up to third order are needed.

## APPENDIX B

The complete expression of the nuclear relaxation contribution to the second hyperpolarizability, with all explicit permutations is

$$\begin{aligned}
\gamma_{abcd}^{\text{nr}} &= 6 \sum_i^{3N-6} (a_{13}^{i,abc} q_1^{i,d} + a_{13}^{i,abd} q_1^{i,c} + a_{13}^{i,acd} q_1^{i,b} + a_{13}^{i,bcd} q_1^{i,a}) + 4 \sum_i^{3N-6} (a_{12}^{i,ab} q_2^{i,cd} + a_{12}^{i,ac} q_2^{i,bd} + a_{12}^{i,ad} q_2^{i,bc}) \\
&\quad - 4 \sum_{i,j}^{3N-6} (a_{22}^{ij,ab} q_1^{i,c} q_1^{j,d} + a_{22}^{ij,ac} q_1^{i,b} q_1^{j,d} + a_{22}^{ij,ad} q_1^{i,b} q_1^{j,c} + a_{22}^{ij,bc} q_1^{i,a} q_1^{j,d} + a_{22}^{ij,bd} q_1^{i,a} q_1^{j,c} + a_{22}^{ij,cd} q_1^{i,a} q_1^{j,b}) \\
&\quad - 4 \sum_{i,j}^{3N-6} (a_{21}^{ij,a} q_1^{i,b} q_2^{j,cd} + a_{21}^{ij,b} q_1^{i,a} q_2^{j,cd} + a_{21}^{ij,a} q_1^{i,c} q_2^{j,bd} + a_{21}^{ij,c} q_1^{i,a} q_2^{j,bd} + a_{21}^{ij,a} q_1^{i,d} q_2^{j,bc} + a_{21}^{ij,d} q_1^{i,a} q_2^{j,bc} + a_{21}^{ij,b} q_1^{i,c} q_2^{j,ad} \\
&\quad + a_{21}^{ij,c} q_1^{i,b} q_2^{j,ad} + a_{21}^{ij,b} q_1^{i,d} q_2^{j,ac} + a_{21}^{ij,d} q_1^{i,b} q_2^{j,ac} + a_{21}^{ij,c} q_1^{i,d} q_2^{j,ab} + a_{21}^{ij,d} q_1^{i,c} q_2^{j,ab}) \\
&\quad + 6 \sum_{i,j,k}^{3N-6} (a_{31}^{ijk,a} q_1^{i,b} q_1^{j,c} q_1^{k,d} + a_{31}^{ijk,b} q_1^{i,a} q_1^{j,c} q_1^{k,d} + a_{31}^{ijk,c} q_1^{i,a} q_1^{j,b} q_1^{k,d} + a_{31}^{ijk,d} q_1^{i,a} q_1^{j,b} q_1^{k,c}) \\
&\quad + 12 \sum_{i,j,k}^{3N-6} (a_{30}^{ijk} q_1^{i,a} q_1^{j,b} q_2^{k,cd} + a_{30}^{ijk} q_1^{i,a} q_1^{j,c} q_2^{k,bd} + a_{30}^{ijk} q_1^{i,a} q_1^{j,d} q_2^{k,bc} + 3 \text{ more terms} \dots) \\
&\quad + \sum_{i,j,k}^{3N-6} \left( \frac{a_{21}^{ij,a} a_{21}^{jk,b}}{a_{20}^j} q_1^{i,c} q_1^{k,d} + \frac{a_{21}^{ij,b} a_{21}^{jk,a}}{a_{20}^j} q_1^{i,c} q_1^{k,d} + \frac{a_{21}^{ij,a} a_{21}^{jk,b}}{a_{20}^j} q_1^{i,d} q_1^{k,c} + 21 \text{ more terms} \dots \right) \\
&\quad - 24 \sum_{i,j,k,l}^{3N-6} (a_{40}^{ijkl} q_1^{i,a} q_1^{j,b} q_1^{k,c} q_1^{l,d}) - 6 \sum_{i,j,k,l}^{3N-6} \left( \frac{a_{30}^{ijk} a_{21}^{kl,a}}{a_{20}^k} q_1^{i,c} q_1^{j,d} q_1^{l,b} + \frac{a_{30}^{ijk} a_{21}^{kl,b}}{a_{20}^k} q_1^{i,c} q_1^{j,d} q_1^{l,a} \right. \\
&\quad \left. + \frac{a_{30}^{ijk} a_{21}^{kl,a}}{a_{20}^k} q_1^{i,b} q_1^{j,d} q_1^{l,c} + 9 \text{ more terms} \dots \right) \\
&\quad + 9 \sum_{i,j,k,l,m}^{3N-6} \left( \frac{a_{30}^{ijk} a_{30}^{klm}}{a_{20}^k} q_1^{i,a} q_1^{j,b} q_1^{l,c} q_1^{m,d} + \frac{a_{30}^{ijk} a_{30}^{klm}}{a_{20}^k} q_1^{i,a} q_1^{j,b} q_1^{l,c} q_1^{m,d} + 4 \text{ more terms} \dots \right). \quad (\text{B1})
\end{aligned}$$

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