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Title: Uptake, translocation and ligand of silver in *Lactuca sativa* exposed to silver nanoparticles of different size, coatings and concentration

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Abstract: The broad use of silver nanoparticles (AgNPs) in daily life products enhances their possibilities to reach the environment. Therefore, it is important to understand the uptake, translocation and biotransformation in plants and the toxicological impacts derived from these biological processes. In this work, *Lactuca sativa* (lettuce) was exposed during 9 days to different coated (citrate, polyvinylpyrrolidone, polyethylene glycol) and sized (60, 75, 100 nm) AgNPs at different concentrations (1, 3, 5, 7, 10, 15 mg L⁻¹). Total silver measurements in lettuce roots indicated that accumulation of AgNPs is influenced by size and concentration, but not by nanoparticle coating. On the other hand, nanosilver translocation to shoots was more pronounced for neutral charged and large sized NPs at higher NP concentrations. Single particle inductively coupled plasma mass spectrometry analysis, after an enzymatic digestion of lettuce tissues indicated the dissolution of some NPs. Ag K-edge X-ray absorption spectroscopy analysis corroborated the AgNPs dissolution due to the presence of less Ag-Ag bonds and appearance of Ag-O and/or Ag-S bonds in lettuce roots. Toxicological effects on lettuces were observed after exposure to nanosilver, especially for transpiration and stomatal conductance. These findings indicated that AgNPs can enter to edible plants, exerting toxicological effects on them.

HIGHLIGHTS

- *Lactuca sativa* were exposed to different AgNPs at different concentrations
- Accumulation of AgNPs depends on their size and concentration
- NP characteristics and concentration has an influence on their transport to shoots
- Appearance of Ag-O/Ag-S bonds indicated the dissolution of some NPs in roots
- Transpiration and stomatal conductance were affected after being exposed to AgNPs

1 **Uptake, translocation and ligand of silver in *Lactuca sativa* exposed to silver**
2 **nanoparticles of different size, coatings and concentration**

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18 **Abstract**

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37 *Keywords: silver nanoparticles, Lactuca sativa, inductively coupled plasma optic emission spectrometry,*
38 *single particle inductively coupled plasma mass spectrometry, X-ray absorption spectroscopy.*

39

40 **1. Introduction**

41 Silver nanoparticles (AgNPs) are one of the most commercialized nanoparticles due to
42 their antibacterial and physicochemical properties given by their nanosize (1-100 nm).
43 This fact, increases their chance to reach the environment [1-4]. Consequently, living
44 organisms are exposed to these particles generating a growing concern in the risks that
45 can pose to ecosystem and human health. AgNPs can enter into vegetal species through
46 roots or aerial parts of the plant [4,5]. Inside the plant, AgNPs are susceptible to suffer
47 transformation processes that can induce toxicity effects, especially the dissolution of
48 metallic silver from nanoparticles that is considered to partly explain nanoparticles
49 toxicity [6-9]. To understand their behavior and the toxicological effects in plants,
50 several studies have been performed. Most of them have been conducted with
51 *Arabidopsis thaliana* as model plant because it has a short generation time, small size,
52 high seed production and its fully sequenced genome is published [3]. From
53 these works, it has been confirmed that AgNPs can be accumulated in *A. thaliana*
54 plants cultivated in different media such as agar [10,11], Hoagland's [12,13] or soil
55 [14]. It has been demonstrated that their accumulation can decrease plant
56 chlorophyll content affecting its photosynthesis [10,15] and can provoke root,
57 shoot and leaf growth reduction [10,13-15]. In addition, several studies have
58 found an increase of ROS species, oxidative stress, cellular structural damages
59 or gene alterations when *A. thaliana* has been exposed to AgNPs [10-12,15-18].
60 Diverse authors have corroborated that toxicity of nanosilver to *A. thaliana* depends
61 on particle concentration [11,16], particle size [13] and silver species (AgNPs or
62 Ag(I)) [13,16]. Because of the negative effects that nanosilver can exert to *A.*
63 *thaliana*, it was also considered essential to evaluate the toxicity of these particles
64 in aquatic [19,20], wetland [21,22] and edible plants [7,26-38]. Among the studied
crop plants, some works have been performed with

65 *Lactuca sativa* (lettuce), which is common in farmlands and as foodstuff. Barrena et al.
66 [29] observed that uncoated AgNPs (2 nm) can modify lettuce seed germination. Larue
67 et al. [39] determined that foliar exposure of *L. sativa* to uncoated AgNPs (38.6 nm) at
68 different concentration levels (1, 10, 100 $\mu\text{g g}^{-1}$) did not lead to changes in biomass,
69 protein content and photosynthetic parameters. Nevertheless, Ag agglomerates were
70 detected by micro X-ray fluorescence over the surface of lettuce leaves including
71 stomata. Moreover, it was determined by X-ray absorption near edge structure
72 spectroscopy, that lettuce leaves contained a mixture of AgNPs and secondary species
73 such as Ag-glutathione. Doolette et al. [40] demonstrated that AgNPs (40 nm) and
74 silver sulfide nanoparticles (152 nm) uptake by lettuce from dosed soils depends on
75 nanoparticles dissolution. The bioavailability of Ag from these particles increases with
76 the application of ammonium thiosulfate fertilizer. Furthermore, a low translocation of
77 Ag from roots to shoots in lettuce was also reported. These previous studies with lettuce
78 informed about the uptake, transport and toxicity of AgNPs, but none of them assessed
79 the impact of nanosilver characteristics on these biological processes. Cvjetko et al. [9]
80 stated that AgNPs toxicity in *Allium cepa* is directly correlated to particle size, charge
81 and/or coating. Thus, in order to better understand the behavior of nanosilver in lettuces,
82 the effect of these particles in relation to their characteristics has also to be studied. The
83 aims of this work were to: (1) assess the concentration effect on uptake and
84 translocation of AgNPs and Ag(I) in lettuce tissues; (2) evaluate the uptake and
85 translocation of different types of AgNPs (size/coating) and Ag(I) in *L. sativa* roots and
86 shoots; (3) evaluate the toxicity effects of AgNPs and Ag(I) by some *L. sativa* plant
87 physiological parameters; (4) determine silver form in roots and shoots from the studied
88 lettuce plants; and (5) investigate Ag speciation and ligand environment in the model
89 crop plant by Ag K-edge X-ray absorption spectroscopy (XAS).

90 2. Material and methods

91 2.1 Chemicals, materials and apparatus

92 Well characterized (AgNPs characteristics supplied by the manufacturer
93 NanoComposix) commercial solutions of sodium citrate (2 mM) stabilized silver
94 nanoparticles (citrate-AgNPs) of 60 and 100 nm; polyvinylpyrrolidone coated AgNPs
95 (PVP-AgNPs) of 75 and 100 nm stabilized with sodium citrate (2 mM); and
96 polyethylene glycol coated AgNPs (PEG-AgNPs) of 100 nm dissolved in water were
97 purchased from NanoComposix (San Diego, USA). These AgNPs commercial stock
98 solutions were used to dose plant growth medium for assessing nanosilver absorption by
99 lettuces. An ionic silver stock solution of $1000 \pm 2 \text{ mg L}^{-1}$ (Merck KGaA, Darmstadt,
100 Germany) was also employed for spiking plant growth medium, and for preparing
101 inductively coupled plasma optical emission spectrometry (ICP-OES) and inductively
102 coupled plasma mass spectrometry (ICP-MS) standard solutions. The Hoagland solution
103 (nutrient solution) was prepared with the following reagents: zinc sulfate monohydrate
104 ($\text{ZnSO}_4 \cdot \text{H}_2\text{O}$, Panreac, Barcelona, Spain), molybdenum trioxide (MoO_3 , Panreac,
105 Barcelona, Spain), calcium nitrate tetrahydrate ($(\text{CaNO}_3)_2 \cdot 4\text{H}_2\text{O}$, Panreac, Barcelona,
106 Spain), ammonium iron (III) sulfate dodecahydrate ($\text{NH}_4\text{Fe}(\text{SO}_4)_2 \cdot 12\text{H}_2\text{O}$, Panreac,
107 Barcelona, Spain), manganese chloride tetrahydrate ($\text{MnCl}_2 \cdot 4\text{H}_2\text{O}$, Panreac, Barcelona,
108 Spain), potassium nitrate (KNO_3 , Merck KGaA, Darmstadt, Germany), copper sulfate
109 pentahydrate ($\text{CuSO}_4 \cdot 5\text{H}_2\text{O}$, Panreac, Barcelona, Spain), boric acid (H_3BO_3 , Merck
110 KGaA, Darmstadt, Germany), ammonium hydrogen phosphate ($(\text{NH}_4)_2\text{HPO}_4$, Sigma-
111 Aldrich, St. Louis, USA) and magnesium sulfate (MgSO_4 , Sigma-Aldrich, St. Louis,
112 USA). In addition, for preparing the Hoagland solution, sodium hydroxide (NaOH,
113 Panreac, Barcelona, Spain) was used to adjust the pH. High purity water obtained from a
114 Milli-Q purification system (Millipore Corp., Bedford, MA) was employed to prepare

115 the Hoagland solution and to dilute stock solutions and sample digests. Acetone and
116 calcium carbonate (CaCO₃, Panreac, Barcelona, Spain) were employed to determine
117 chlorophyll and carotene contents. For the microwave acid digestion of lettuce tissues
118 analytical grade hiperpur quality nitric acid (HNO₃ 69%, Ag 0.1 ng g⁻¹, Panreac,
119 Barcelona, Spain) and hydrogen peroxide (H₂O₂ 30%, Sigma-Aldrich, St. Louis, USA)
120 was used. Macerozyme R-10 enzyme from *Rhizopus* sp. (Serva Electrophoresis GmbH,
121 Heidelberg, Germany) and citric acid (Panreac, Barcelona, Spain) were utilized to
122 digest plant tissues for AgNPs extraction.

123 Ovan magnetic stirrers (Barcelona, Spain) were employed to agitate the lettuce growth
124 media (Hoagland solution). An ultrasonic bath J.P. Selecta (Barcelona, Spain) was
125 employed to break down the possible agglomerated AgNPs.

126 Leaf gas exchange parameters were measured using a portable open-circuit infrared gas
127 analyzer system (CIRAS-2, PP-Systems Inc. Amesbury, USA) at about 400 mg L⁻¹ of
128 CO₂ equipped with a leaf chamber cuvette (PLC6–18mm of diameter, PP-systems Inc.
129 Amesbury, USA). Chlorophyll fluorescence of the adaxial surface of attached leaves
130 was measured using a portable modulated fluorimeter PAM-2100 (Heinz Walz GmbH,
131 Effeltrich, Germany). Lettuce leaf chlorophylls and carotenoids concentration were
132 determined employing a spectrophotometer Genesys 6 (Thermo electron corporation,
133 Massachusetts, USA).

134 The acid digestion of vegetal tissue samples was carried out with a Berghof Speedwave
135 Xpert microwave digestion system (Eningen, Germany) equipped with an innovative
136 sensor technology (temperature and pressure). The enzymatic digestion of lettuce tissue
137 samples was performed with an incubator Ecotron (Infors HT, Bottmingen,
138 Switzerland) equipped with a temperature sensor. The separation of plant tissues from
139 the supernatant during enzymatic digestion procedure was done with a Rotofix 32A

140 centrifuge (Hettich-Zentrifugen, Lauenau, Germany).

141 **2.2 Plant culture**

142 *Lactuca sativa* plantlets obtained from a garden center (Can Morera, Girona,
143 Spain) were cleaned with tap water, especially their roots since they contained organic
144 matter. After the cleaning procedure, the plantlets were completely rinsed with Milli-
145 Q water. Lettuces were cultivated in polypropylene containers containing 100 mL of
146 Hoagland solution [41] (pH ~5.7) contaminated with AgNPs or Ag(I) depending on the
147 test to be performed as shown in **Table 1**. The growing period was 9 days with 16h/8h
148 light/dark regime at controlled temperature (21 ± 2 °C). In addition, hydroponic media
149 were under constant magnetic stirring during the growing period.

150 **2.3 Measurement of physiological parameters**

151 After lettuces cultivation and exposure to AgNPs or Ag(I), the physiological parameters
152 (leaf gas exchange, chlorophyll fluorescence and photosynthetic pigment content) of
153 fully-developed lettuce leaves were measured to determine if these emerging
154 contaminants have a toxicological effect on the studied plant. Data were analyzed
155 separately for both tests, and one-way ANOVAs were applied to test whether different
156 Ag(I) or AgNPs concentration or coated AgNPs were statistically different from
157 controls.

158 **2.3.1 Gas-exchange measurements**

159 Foliar gas exchange parameters, including transpiration rates (E), stomatal conductance
160 (g_s) and net photosynthetic rates (A) were measured in an attached fully-developed leaf
161 from three (or four) different *L. sativa* plants per treatment in the concentration and
162 coating experiments performed (see **Table 1**). These parameters were analyzed using a
163 portable open-circuit infrared gas analyzer system. Intrinsic (A/g_s ; WUE) and
164 instantaneous (A/E ; WUE_i) water use efficiencies were also calculated.

2.3.2 Chlorophyll *a* fluorescence measurements

Measurement of chlorophyll *a* fluorescence provides an insight about the health of the photosynthetic system. Components of chlorophyll *a* fluorescence were quantified with a portable modulated fluorometer PAM-2100 (Heinz Walz GmbH, Effeltrich, Germany) using 4 plants per treatment in the concentration effect test and 2-4 plants per treatment in the coating effect test. Measurements were performed on one exposed and fully-developed leaf per plant. After a dark-adaptation period of at least 30 min, we obtained the potential photochemical efficiency of PSII or F_v/F_m , where F_v was the variable fluorescence calculated as $F_v = F_m - F_o$, being F_o the minimum and F_m the maximum dark-adapted fluorescence. The actual photochemical efficiency of PSII in the light-adapted state was estimated as: $\Delta F/F_m' = (F_m' - F)/F_m'$, where F is the steady-state fluorescence yield under the given environmental conditions, and F_m' is the maximum level of fluorescence obtained during a saturating flash of light. From this index, we calculated the apparent electron transport rate (ETR) as: $ETR = \Delta F/F_m' \times PAR \times 0.84 \times 0.5$, where PAR was the incident photosynthetically active radiation (expressed in $\mu\text{mol m}^2 \text{s}^{-1}$), 0.84 was the assumed coefficient of absorption of the leaves, and 0.5 was the assumed distribution of absorbed energy between the two photosystems [42]. Finally, the non-photochemical quenching coefficient (NPQ), which is a measure of the thermal dissipation of excess energy, was determined as $NPQ = (F_m - F_m')/F_m'$.

2.3.3 Chlorophyll and carotenoid concentration

To determine leaf concentration of chlorophylls (Chl) and carotenoids (Car), 0.1 g of fresh lettuce leaves per plant were ground with a mortar in presence of a little quantity of liquid nitrogen, 10 mg CaCO_3 to buffer the solution and 1 mL of acetone (100%). Then, 7 mL of 80% acetone were added in aliquots. When the sample was colorless and totally ground, it was transferred into 15 mL centrifuge tube, being centrifuged at 6000

190 rpm during 5 min. The resultant supernatant was transferred to another centrifuge tube
191 and it was diluted to 10 mL with 80% acetone. Finally, the absorbance was measured at
192 470, 646.6 and 663.6 nm wavelengths using 80% acetone as blank sample. Leaf
193 concentrations of chlorophyll *a* and *b*, total chlorophylls, and carotenoids were then
194 estimated using Porra et al. [43] equations for the chlorophylls and Lichtenthaler and
195 Wellburn [44] equation for the carotenoids, as in Verdaguer et al. [45]. The content of
196 pigments was finally expressed as mg g⁻¹ dry weight (DW) and the ratio *Car/Chl a+b*
197 was calculated.

198 **2.4 Plant treatments**

199 After measuring plant physiological parameters, lettuces were submitted to different
200 sample treatments depending on the analysis to be performed. The sample treatments
201 applied are described in the following sections:

202 **2.4.1 Total sample digestion**

203 In order to determine the total silver content accumulated in plant tissues (shoots and
204 roots) a microwave acid digestion was performed. For that, lettuces were washed 3
205 times with Milli-Q water to remove the residual dosing solution and then the shoots
206 were separated from the roots. Afterwards, shoots and roots were dried in the oven
207 during 24 h at 60-70 °C. After 24 h, the dried samples were weighed, placed in a
208 polytetrafluoroethylene (PTFE) reactor and were ground with a glass rod. Next, 9 mL of
209 HNO₃ (69%) and 1 mL of H₂O₂ (30%) were added in each PTFE reactor. After capping
210 the vessels, the vegetal samples were digested into the microwave following a digestion
211 program consisting on a first step of 5 min to reach 180 °C, a second step of 10 min at
212 180 °C and a third step of 15 min of cooling to room temperature [46]. Once at room
213 temperature, plant digests were transferred to polystyrene tubes and were diluted to 20
214 mL with Milli-Q water. Finally, the samples were stored at 4 °C until their analysis by

215 ICP-OES or ICP-MS. Data were analyzed separately for concentration, coating and size
216 tests. One-way ANOVAs were applied to all these tests separately to determine whether
217 different Ag(I) or AgNPs concentration, different coated AgNPs and different sized
218 AgNPs were statistically different between each other.

219 **2.4.2 Enzymatic sample digestion**

220 An enzymatic digestion was performed to verify the presence of AgNPs after being
221 accumulated in lettuce tissues. As in microwave acid digestion procedure, lettuces were
222 washed 3 times with Milli-Q water before carrying out the enzymatic digestion. After
223 removing the residual dosing solution, the shoots were separated from the roots and
224 then both vegetal tissues (roots and shoots) were cut in small pieces using scissors.
225 Then, the tissues were homogenized in 8 mL of citrate buffer (2 mM) adjusted to
226 optimum pH range (3.5-7.0) for Macerozyme R-10 in accordance with manufacturer's
227 information. Afterwards, 2 mL of Macerozyme R-10 (1 g of enzyme powder in 20 mL
228 of Milli-Q water) were added. Macerozyme R-10 from *Rhizopus* sp. is a multi-
229 component enzyme containing pectinase 0.5 unit/mg, hemicellulose 0.25 unit/mg and
230 cellulose 0.1 unit/mg, which enables the digestion of vegetal tissues and the extraction
231 of the nanoparticles. After adding the Macerozyme R-10, the samples were shaken in an
232 incubator at 37 °C during 24 h. Afterwards, the plant digests were settled during 1 h and
233 then were filtered by gravity to remove the small pieces of plant tissues [47]. Finally,
234 the samples were filtered through 0.45 µm cellulose acetate filter (Whatman, Filterlab,
235 Barcelona, Spain) and diluted to 20 mL with Milli-Q water.

236 **2.4.3 XAS sample preparation**

237 The fresh roots were rinsed with Milli-Q water, frozen in liquid nitrogen, homogenized
238 and fixed onto Cu holders for measurements under cryo conditions.

239 **2.5 Analysis of plant digests**

240 The plant tissue digests obtained from the two digestion methods were analyzed with
241 two different instruments as described below:

242 **2.5.1 Measurement of total silver content in lettuce tissues**

243 Lettuce root digests obtained from microwave acid digestion were analyzed with an
244 ICP-OES system (Agilent 5100 Vertical Dual View, Agilent Technologies, Tokyo,
245 Japan) in order to determine the total silver content accumulated in the roots. This
246 instrument is equipped with a concentric glass nebulizer, a double pass glass cyclonic
247 spray chamber, an echelle polychromator wavelength selector and a charge-coupled
248 device (CCD) detector. The measurement conditions were: 1200 W RF power, axial
249 plasma configuration, 12 L min⁻¹ plasma gas flow rate, 0.7 L min⁻¹ nebulizer flow rate,
250 25 s of stabilization time, 1 s of reading time and 3 replicates for each reading. The
251 silver wavelength used was 328.068 nm.

252 Silver concentrations in lettuce root and shoot tissues below the detection limit of ICP-
253 OES (lettuce tissue digests obtained from enzymatic and microwave acid digestions)
254 were determined by an ICP-MS 7500 c (Agilent Technologies, Tokyo, Japan) equipped
255 with a Babington nebulizer, a double pass scott nebulizer chamber, an octopole reaction
256 collision cell system (ORS), a quadrupole analyzer and an electron multiplier detector.
257 Measurement parameters were: 1500 W RF power, 15 L min⁻¹ plasma gas flow rate, 1.1
258 L min⁻¹ nebulizer flow rate, 20 s of stabilization time, integration time of 0.1 s and 3
259 readings per replicate. The isotope monitored was ¹⁰⁷Ag.

260 **2.5.2 Detection of AgNPs in lettuce tissues**

261 Single particle inductively coupled plasma mass spectrometry (SP-ICP-MS) was
262 employed for analyzing the plant tissue digests obtained by enzymatic digestion in order
263 to determine the chemical form of silver (AgNPs or Ag(I)) after being accumulated in
264 these plants. Prior to SP-ICP-MS analysis, the total silver content was determined using

265 ICP-OES or ICP-MS system to adjust the silver concentration in sample digests at $<1 \mu\text{g}$
266 L^{-1} . SP-ICP-MS analysis was carried out with an ICP-MS 7500 c (Agilent Technologies,
267 Tokyo, Japan) using a 10 ms dwell time and a total measurement time of 60 s. The raw
268 data obtained by the analysis performed was treated using Excel software.

269 **2.6 XAS analysis**

270 The samples were measured at CLÆSS beamline of Synchrotron ALBA in Barcelona,
271 Spain. The samples were fixed on the Cu holders and mounted on a finger cooled by a
272 He cryostat. Ag K-edge EXAFS spectra were measured in fluorescence mode using
273 CdTe detector (Amptek, USA) with a total collection time of ~ 2 h in consecutive runs,
274 to ensure the useful interval up to $k = 10 \text{ \AA}^{-1}$. The spectra were analyzed with IFFEFIT
275 software [48]. Statistical analysis of Ag neighborhood in plants was performed by
276 XLSTAT software.

277 **3. Results and discussion**

278 **3.1 Evaluation of plant growing medium conditions**

279 A test to evaluate the best conditions for accumulating the maximum quantity of silver
280 inside lettuce tissues (roots and shoots) during the growing process was performed
281 before carrying out the experiments of this study. For this test, lettuce plants were
282 cultivated during 9 days (16h/8h light/dark, $21 \pm 2 \text{ }^\circ\text{C}$) in 100 mL hydroponic medium
283 containing 75 nm PVP-AgNPs (1 mg L^{-1}) under two different conditions: without
284 agitation and magnetic stirring (~ 200 rpm). After 9 days of cultivation, lettuce roots and
285 shoots were submitted to an acid digestion following the procedure described previously
286 (section 2.4.1). Then, the resulting sample digests were analyzed by ICP-OES or ICP-
287 MS to determine the total silver content in lettuce tissues. As can be seen in **Fig. A1**
288 from **Appendix**, the highest total silver concentration was obtained in lettuce roots and
289 shoots cultivated in nutrient medium submitted to magnetic stirring agitation. This

290 could be due to the fact that AgNPs tend to be deposited at the bottom of the growing
291 tank when no stirring is used during lettuce cultivation. For this reason, it was decided
292 to agitate the Hoagland medium with magnetic stirrers during lettuce cultivation.

293 **3.2 Physiological parameters**

294 **3.2.1 Gas-exchange**

295 The analyses of gas exchange parameters in *L. sativa* revealed that plants were sensitive
296 to the different 75 nm PVP-AgNPs concentrations (0, 3, 5 and 10 mg L⁻¹) since the
297 transpiration rate and stomatal conductance were affected. Specifically, plants subjected
298 to the different AgNPs concentrations showed lower transpiration rate values than
299 control ones (see **Table 2**), but the effect was not in dose dependent manner, since there
300 were not statistically significant differences between the tested concentrations
301 (statistical data not shown). The stomatal conductance was also reduced in lettuce
302 plants, but only when they grew under 3 and 5 mg L⁻¹ AgNPs concentration, although
303 the same tendency was observed by plants under 10 mg L⁻¹ ($F_{1,6}= 5.511, p = 0.057$).

304 Hence, the presence of 75 nm PVP-AgNPs in the culture medium affected negatively
305 lettuce plant water relations being reduced the transpiration rates and stomatal
306 conductance by nearly half of that of control plants (49.4% ± 6.5 and 53% ± 4.2,
307 respectively). Lower transpiration rates agree with lower stomatal conductance.
308 Stomatal closure could be the consequence of a direct PVP-AgNPs effect on guard
309 cells, since nanosilver particles, through the xylem stream water, can reach leaves and
310 thus stomata [49]. In fact, other authors stated that in cut roses nanosilver particles also
311 reduced the stomatal conductance and transpiration rate [50]. Nevertheless, when those
312 particles are coated with PVP, results could vary. For instance, in willow and hybrid
313 poplar cuttings the transpiration was unaffected by PVP-AgNPs [51], although values
314 obtained were also lower than those of controls. The decrease in stomatal conductance

315 could also be a consequence of an indirect effect due to an interference of PVP-AgNPs
316 with root water uptake. In the treated lettuce plants of this study, much more nanosilver
317 particles were found in roots than in shoots, which would support a stomatal closure due
318 to a disruption of water uptake. Accordingly, in *A. thaliana*, AgNPs caused imbalance
319 in the levels of water affecting the transcript levels of aquaporins [10]. A decrease in
320 root water uptake could provoke plant water deficit, reduction of mineral nutrients,
321 rising ABA in leaves and promoting stomatal closure [52,53]. Nevertheless, more
322 studies are needed to assess in lettuce the relationship between PVP-AgNPs
323 aquaporin root cell, water uptake, ABA and mineral nutrients levels, and stomata
324 movement. Photosynthetic rates did not vary in lettuce plants treated with 75 nm
325 PVP-AgNPs in comparison to control ones. Likely, because of the low light
326 intensity conditions that limits plant CO₂ fixation. Neither the water use efficiency
327 (WUE and WUE_i) of treated plants differed from control ones.

328 Regarding results from the coating effect test, only data for the transpiration rate and
329 stomatal conductance was provided. In this case, the instrument was not sensitive
330 enough to make the photosynthesis measurements under low light exposure.
331 Transpiration rate and stomatal conductance only diminished in *L. sativa* plants growing
332 under Ag(I) (about three-fold reduction of E and g_s) and 100 nm PVP-AgNPs (about
333 1.5-fold reduction of E and g_s), in comparison to control ones (see **Table 3**), being the
334 most intense the effects for Ag(I). These results highlight the negative effect of Ag(I) on
335 plant water relations, which could agree with a potent inhibitor effect of silver on
336 plasma membrane aquaporins of root cells [54]. Moreover, the results suggest that
337 coated nanosilver particles reduce the negative effect of Ag(I). PVP, PEG and citrate are
338 used as coatings in order to promote stability, avoid aggregation of AgNPs and decrease

339 interaction between proteins [55] so, root water uptake disruption could, likely, be
340 alleviated by these stabilizing compounds.

341 **3.2.2 Chlorophyll fluorescence parameters and leaf chlorophyll and carotenoid** 342 **concentrations**

343 In the concentration effect test, there were no significant differences among lettuce
344 plants grown under 0, 3, 5 and 10 mg L⁻¹ of 75 nm PVP-AgNPs in the parameters
345 estimated from the chlorophyll fluorescence measurements (*Fv/Fm*, *ETR* and *NPQ*).
346 The high values of *Fv/Fm* obtained in all the treatments (between 0.81 and 0.82)
347 indicate that plants did not experience photoinhibition under any of the different 75 nm
348 PVP-AgNPs concentrations assayed, which was expected taking into account the low
349 light intensity (below 50 mol m² s⁻¹) applied to the plants. Accordingly, treatments did
350 neither affect the leaf amount of chlorophylls and carotenoids nor the ratio
351 carotenoids/chlorophylls (data not shown).

352 Interestingly, in the coating effect test, we found significantly ($p = 0.032$) higher *Fv/Fm*
353 values in plants treated with 100 nm PVP-AgNPs compared to controls (see **Fig. A2**
354 from **Appendix**) which was in agreement with the significantly lower
355 carotenoid/chlorophyll ratio observed in these plants (see **Fig. A3** from **Appendix**).
356 Enhanced photochemical efficiency (*Fv/Fm*) indicates that a higher number of reaction
357 centers are able to accept electrons and carry out photosynthesis, which would be in
358 accordance with a lower need for photoprotection *via* an increased
359 carotenoid/chlorophylls ratio. In plants treated with 100 nm PEG-AgNPs, the leaf
360 content of *Chl a* ($p = 0.011$), *Chl b* ($p = 0.004$), total *Chl* ($p = 0.009$) and carotenoids (p
361 $= 0.012$) was more than twice that of controls (see **Fig. A4** from **Appendix**) and, though
362 not significant, *Fv/Fm* values also tended to be higher compared to controls. These
363 results are opposite to those reported on *Vigna subterranean* [56] or *Brassica* sp. [57],

364 but they are in agreement with Sharma et al. [58], who found enhanced quantum
365 efficiency and more chlorophyll content in *Brassica juncea* leaves treated with silver
366 nanoparticles. Salama [59] demonstrated a concentration-dependent effect of silver
367 nanoparticles on the leaf amount of chlorophylls and carotenoids of *Phaseolus vulgaris*
368 and *Zea mays*. Indeed, increasing concentration of silver nanoparticles from 20 to 60 mg
369 L⁻¹ led to enhanced growth, photosynthetic pigment, carbohydrate and protein contents,
370 while concentrations over 60 mg L⁻¹ induced an inhibitory effect on these parameters.
371 Yang et al. [53] observed that the contents of Fe and Cu, which play a role in the
372 photosynthesis process, were lowered when *Triticum aestivum* L. plants were exposed
373 to 200 and 2000 mg kg⁻¹ AgNPs. Additionally, Rui et al. [60] determined that the
374 expression of antioxidant isoenzymes localized in the chloroplast and/or cytosol (Fe-
375 superoxide dismutase and Cu/Zn-superoxide dismutase) increase in AgNPs treated roots
376 and pods of peanuts because of stress conditions for the plant. Finally, citrate-AgNPs
377 and Ag(I) treatments did not modify significantly the studied parameters in relation to
378 controls.

379 **3.3 Total silver content in lettuce tissues**

380 As it is stated in the Introduction, nanoparticle properties and their concentration in the
381 environment greatly influence plant uptake and translocation, as well as toxicity for the
382 plant. In order to better understand the behavior of AgNPs in lettuce tissues (roots and
383 shoots), total silver concentration was determined in vegetal samples after being
384 exposed to different types of AgNPs (size and coating) and at different nanosilver
385 concentrations treatments. The results obtained for the different tests performed are
386 shown in the following points:

387 **3.3.1 AgNPs and Ag(I) concentration effect**

388 In order to determine the amount of AgNPs that lettuces (root and shoot tissues) can
389 uptake, accumulate and translocate, Hoagland solution was spiked at different
390 nanosilver concentrations (concentration range: 3-15 mg L⁻¹). In addition, Ag(I) was
391 also tested at the same concentration levels to determine if there were differences in
392 respect to nanosilver.

393 As it is shown in **Fig. 1a**, AgNPs and Ag(I) were trapped by lettuce roots because there
394 was an increase in the silver content in these tissues in relation to controls. Total silver
395 accumulated in lettuce root tissues increased in dose-dependent manner for both silver
396 forms. No significant differences (p (3 mg L⁻¹) = 0.2754; p (5 mg L⁻¹) = 0.0520) were
397 observed between the two silver species uptake at low concentrations but at
398 concentrations above 7 mg L⁻¹ significant differences were observed (p (10 mg L⁻¹) =
399 0.0403; p (15 mg L⁻¹) = 0.0031) showing a higher Ag(I) uptake by root tissues in
400 respect to 75 nm PVP-AgNPs. Cvjetko et al. [7] and Vinković et al. [27] observed also
401 differences between Ag(I) and AgNPs uptake by root tissues at higher concentrations
402 with *Allium cepa* and *Capsicum annuum* L. plants. It is worth mentioning that the error
403 bars were bigger at 10-15 mg L⁻¹ AgNPs or Ag(I) concentration, probably because roots
404 could not accumulate more silver and they started to suffer changes in their metabolism
405 due to toxicological effects (e.g. a minor growth of the lettuce plant was observed).

406 Previous studies demonstrated that AgNPs can be accumulated in roots and be
407 transported to aerial parts of the plant [53,57,60]. For this reason, the total silver content
408 in lettuce shoots was also determined after an acid microwave digestion. The results
409 showed that both silver chemical species (75 nm PVP-AgNPs and Ag(I)) accumulated
410 in lettuce roots were transported to shoot tissues that did not contain silver as could be
411 seen in control sample (see **Fig. 1b**). Nevertheless, the content of silver accumulated in
412 lettuce roots was mainly 5000-fold higher than in lettuce shoots. The same trend was

413 determined in *Triticum aestivum* L. plants exposed to 20, 200 and 2000 mg kg⁻¹ AgNPs
414 [53]. Statistical differences at some concentration levels (e.g. p (3 mg L⁻¹) = 0.0215; p
415 (15 mg L⁻¹) = 0.0028) were observed between 75 nm PVP-AgNPs and Ag(I), the latter
416 being more effectively transported to lettuce aerial parts. Vinković et al. [27] observed
417 also this difference with *Capsicum annuum* L. plants and the reason of this dissimilarity
418 was explained by the aggregation behavior of AgNPs, which at the studied
419 concentrations easily aggregated and consequently they were less efficiently
420 transported. Additionally, it was observed that the silver concentration accumulated in
421 lettuce shoots increased in dose-dependent manner for both silver forms up to 7 mg L⁻¹,
422 especially 75 nm PVP-AgNPs. At concentrations higher than 7 mg L⁻¹ the shoot tissues
423 probably contained less silver because they started to suffer toxicological effects and
424 their metabolism was affected (e.g. deadness of lettuce shoots as a consequence of
425 necrosis was observed) (see **Fig. 1a**). Indeed, the hydric status of lettuce leaves (E , g_s)
426 was already affected by 75 nm PVP-AgNPs at a concentration of 3 mg L⁻¹.

427 **3.3.2 AgNPs coating effect**

428 AgNPs are synthesized with different surface coatings to improve their stability. The
429 coat around nanoparticles modifies their surface characteristics (e.g. surface charge) and
430 consequently their intrinsic behavior. Furthermore, under environmental conditions
431 surface coatings are susceptible to suffer changes. For instance in their superficial
432 charge, which is an important parameter affecting the interactions with other
433 components [7,61]. In this work three different 100 nm coated AgNPs were tested at 1
434 mg L⁻¹ concentration: PVP-AgNPs (negatively charged at pH 7), citrate-AgNPs (highly
435 negatively charged at pH 7) and PEG-AgNPs (neutral at pH 7) [62]. In **Fig. 2a** it can be
436 seen that the different coated AgNPs tested were similarly accumulated in lettuce roots
437 ($p = 0.9514$). So, surface charge seems to have no effect on AgNPs uptake by lettuce

438 roots although, as commented above (section 3.2.1) can affect plant physiology in a
439 different manner. Cvjetko et al. [7] studied the uptake of PVP-AgNPs and citrate-
440 AgNPs by *Allium cepa* roots and observed a similar silver uptake, especially at higher
441 concentrations (50 and 75 μM). In addition, Ag(I) uptake by lettuce roots was also
442 tested to check if there were differences in respect to nanosilver. The results obtained
443 demonstrated that Ag(I) concentration in root samples was higher although no statistical
444 differences were observed ($p = 0.1237$). Published studies with other edible plants also
445 determined that Ag accumulation was lower in treatments with AgNPs than with
446 AgNO_3 [7,27,57].

447 Total silver content in lettuce shoots was also determined. Although no statistical
448 differences ($p = 0.7700$) were observed between total silver concentrations in lettuce
449 shoots for all treatments performed (see **Fig. 2b**), it seems that neutral surface charged
450 AgNPs (PEG-AgNPs) and Ag(I) were more easily transported from roots to shoots
451 compared to negatively charged AgNPs (PVP- and citrate-AgNPs). These results are in
452 agreement with other works in which is confirmed that translocation of Ag(I) from roots
453 to shoots is higher than AgNPs [27,63]. Additionally, a stronger reduction in
454 transpiration and stomatal conductance due to the presence of Ag(I) inside plants was
455 already observed in comparison with other treatments (lowest E and g_s values) (see
456 **Table 3**).

457 **3.3.3 AgNPs size effect**

458 AgNPs can be synthesized at different sizes. This particle characteristic could also
459 influence their behavior in the environment. For this reason, the size effect on AgNPs
460 uptake by lettuce roots and their translocation to shoots was studied with two different
461 sized PVP-AgNPs (75 and 100 nm) and citrate-AgNPs (60 and 100 nm). The results
462 obtained showed that small sized AgNPs (75 nm PVP-AgNPs and 60 nm citrate-
463 AgNPs) were more absorbed by lettuce roots than large sized AgNPs (100 nm PVP- and

464 citrate-AgNPs) (see **Fig. 3a** and **3c**), observing statistical differences between the tested
465 particle sizes ($p_{PVP} = 0.0001$; $p_{citrate} = 0.0037$). The same tendency was observed in a
466 bioaccumulation study of different sized AgNPs (20, 30-60, 70-120 and 150 nm) in
467 *Oryza sativa* L. cv *KDML 105* root tissues [23]. This supports the hypothesis that roots
468 have a higher tendency of trapping smaller AgNPs rather than bigger ones. However, it
469 is worth mentioning that in this work the same AgNPs mass concentration is used, so
470 the number of particles present in the solutions of 75 nm PVP-AgNPs and 60 nm
471 citrate-AgNPs is higher than the solutions of 100 nm PVP- and citrate-AgNPs.
472 Therefore, the probability of smaller AgNPs being absorbed by lettuce roots is higher
473 than for the bigger ones.

474 The total silver content in lettuce shoots was also determined. The concentration of
475 large sized AgNPs (100 nm PVP- and citrate-AgNPs) was higher than small sized
476 AgNPs (75 nm PVP-AgNPs and 60 nm citrate-AgNPs) (see **Fig. 3b** and **3d**), exhibiting
477 statistical differences between different sized PVP-AgNPs ($p = 0.050$) but none for
478 citrate-AgNPs ($p = 0.1501$). These results are in agreement with Thuesombat et al. [23]
479 that also determined the total silver content in *Oryza sativa* L. cv *KDML 105* leaf tissues
480 of different sized AgNPs (20 nm, 30-60, 70-120 and 150 nm) and they concluded that
481 20 nm AgNPs were less accumulated in rice aerial parts than 150 nm AgNPs. This
482 tendency was also confirmed by calculating the translocation factor (*TF*) with the
483 following equation [64]:

$$TF = C_{shoot}/C_{root} \quad (1)$$

484 where C_{shoot} is the total silver concentration in lettuce shoots and C_{root} is the total silver
485 concentration in lettuce roots. The *TF*s obtained were higher for large sized AgNPs (100
486 nm PVP-AgNPs: 0.0099; 100 nm citrate-AgNPs: 0.0068) than small sized AgNPs (75
487 nm PVP-AgNPs: 0.0004; 60 nm citrate-AgNPs: 0.0019). These results indicate that

488 large sized AgNPs could be transported more efficiently from root tissues to shoot
489 tissues than small sized AgNPs.

490 **3.4 AgNPs detection in plant tissues by SP-ICP-MS**

491 SP-ICP-MS is a viable methodology for detecting nanoparticles at levels down the ng L^{-1}
492

493 in liquid samples, differentiating them from other forms of the same element. To
494 obtain reliable results, just one nanoparticle must be measured during each reading
495 period. So sufficiently diluted metal-rich particle suspensions and adequate data
496 acquisition frequency are required [65,66]. In this study, an enzymatic digestion was
497 carried out in order to maintain intact the AgNPs accumulated in lettuce tissues for their
498 determination by SP-ICP-MS. The nanoparticles transformation inside lettuce tissues
499 was assessed at different concentrations and using AgNPs with different coatings and
500 sizes. The results obtained from these three different tests performed are shown below:

501 **3.4.1 AgNPs concentration effect**

502 Before carrying out the SP-ICP-MS analysis of vegetal tissues, the total amount of
503 silver present in the enzymatic plant digests was determined to know the silver extracted
504 by enzymatic digestion from lettuce roots and shoots.

505 After knowing the silver concentrations in lettuce enzymatic digests (shoots and roots);
506 sample dilution was performed, when it was necessary ($\text{concentration} \leq 1 \mu\text{g L}^{-1}$), to
507 obtain an adequate sample to be analyzed by SP-ICP-MS. The time resolved plots
508 obtained for the different concentration levels studied ($3, 5$ and 10 mg L^{-1}) are shown in
509 **Fig. 4**. A large number of peaks above the baseline were observed in **Fig. 4c** and **4e**,
510 indicating the presence of AgNPs in lettuce roots except for **Fig. 4a** where less peaks
511 were observed (3 mg L^{-1}). This could probably be because the presence of AgNPs is
512 smaller at 3 mg L^{-1} and the probability to get all of them partially or totally dissolved is
higher than at 5 and 10 mg L^{-1} . Therefore, the amount of Ag(I) is higher in 3 mg L^{-1} in

513 comparison with 5 and 10 mg L⁻¹, where the presence of Ag(I) was also determined
514 (baseline counts around 100-120). This was also reflected by the calculations carried out
515 to estimate the concentration of both metal forms (AgNPs and Ag(I)) in which it was
516 observed that at 5 and 10 mg L⁻¹ the percentage of AgNPs was higher than at 3 mg L⁻¹
517 (data not shown). Furthermore, the histogram representations (see **Fig. 4d** and **4f**) of all
518 the concentration levels tested showed a first distribution at low pulse intensity values
519 corresponding to dissolved silver and a second distribution at high pulse intensity values
520 corresponding to nanosilver except for **Fig. 4b** (3 mg L⁻¹ concentration level) where
521 both distributions (AgNPs and Ag(I)) were overlapped. Ag K-edge EXAFS also
522 confirmed the dissolution of AgNPs, where significantly higher proportion of -S and -O
523 ligands was observed at 3 mg L⁻¹ (**Table 4, Fig. 8**).

524 In lettuce shoots the presence of AgNPs was also confirmed at higher concentration
525 levels (see **Fig. 5**). At 3 mg L⁻¹ the baseline counts were shifted to higher values in
526 respect to baseline (around 40-50 counts) and the signal was practically constant (see
527 **Fig. 5a**). Additionally, the histogram representation showed one distribution at low
528 pulse intensity corresponding to Ag(I) (see **Fig. 5b**). At 5 and 10 mg L⁻¹ the presence of
529 Ag(I) was also confirmed but some peaks above the baseline were observed indicating
530 the presence of AgNPs as well (see **Fig. 5c, 5e**). Although there were some AgNPs in
531 lettuce shoots at 5 and 10 mg L⁻¹, the percentage of AgNPs quantified by SP-ICP-MS
532 was insignificant in comparison with Ag(I) percentage (data not shown). Therefore,
533 their presence was lower than in root tissues. The presence of Ag(I) in all concentration
534 levels, because of AgNPs dissolution, could explain the lower results obtained in the
535 different physiological parameters evaluated (E , g_S and Fm/Fv) in respect to controls
536 (see **Table 2** and **Fig. A2** from **Appendix**), indicating that the toxicity of AgNPs could
537 be partially explained by the presence of Ag(I) as some authors stated [8,9]. However,

538 AgNPs toxicity to lettuces cannot be only a consequence of their dissolution, since in 5
539 mg L⁻¹ treatment more Ag-Ag bonds were observed by EXAFS analysis (**Table 4**).

540 **3.4.2 AgNPs coating effect**

541 As in the concentration test, the total amount of silver in lettuce tissues was determined
542 by ICP-MS before SP-ICP-MS analysis. Silver was detected in plant roots but not in
543 plant shoots; thus, in this latter case the SP-ICP-MS analysis was not carried out.

544 After diluting the lettuce root enzymatic digests to a proper concentration ($\leq 1 \mu\text{g L}^{-1}$),
545 time resolved plots obtained for all the coated AgNPs tested shown several peaks above
546 the baseline indicating the presence of AgNPs in root tissues (see **Fig. 6a, 6c, 6e**).
547 However, the presence of dissolved silver was higher in roots treated with citrate-
548 AgNPs (baseline around 50 counts) and PEG-AgNPs (baseline around 25 counts) (see
549 **Fig. 6a and 6e**) in comparison with roots treated with PVP-AgNPs (baseline around 10
550 counts) (see **Fig. 6c**). This was also observed in histogram representation, where the
551 first distribution corresponding to Ag(I) was at higher pulse intensity, especially for
552 citrate-AgNPs, compared to PVP-AgNPs. Additionally, the percentage of estimated
553 PVP-AgNPs was higher than PEG-AgNPs and citrate-AgNPs (data not shown). The
554 same behavior was observed with Ag K-edge EXAFS (see **Table 5**). Thus, in biological
555 environment, PVP-AgNPs would be more stable and less susceptible to suffer
556 transformations than citrate-AgNPs and PEG-AgNPs. On the other hand, citrate-AgNPs
557 chlorophyll (*Chl a* and *Chl b*) and carotenoid values obtained were similar to Ag(I) (see
558 **Fig. A4 from Appendix**) but the *E* and *g_s* were more similar to those obtained for PEG-
559 AgNPs (see **Table 3**). Therefore, more experiments are needed to understand citrate-
560 and PEG-AgNPs dissolution and to confirm that they can behave similarly to Ag(I).

561 **3.4.3 AgNPs size effect**

562 In this test, as in coating effect test, silver was only detected in lettuce root enzymatic
563 digests during ICP-OES analysis. So, lettuce shoots were not analyzed by SP-ICP-MS.
564 Previous to SP-ICP-MS analysis, the samples were diluted to an adequate concentration
565 ($\leq 1 \mu\text{g L}^{-1}$). From 75 nm PVP-AgNPs time resolved plot, it was observed that silver
566 signal was constant and shifted to higher values in respect to baseline (around 100
567 counts) (see **Fig. 7a**), indicating that AgNPs were dissolved to Ag(I). On the other hand,
568 100 nm PVP-AgNPs time resolved plot showed several peaks above the baseline,
569 evidencing the presence of silver nanoparticles in lettuce roots (see **Fig. 7c**).
570 Histogram representations showed only one distribution corresponding to Ag(I) in the
571 case of 75 nm PVP-AgNPs (see **Fig. 7b**) and two distributions corresponding to Ag(I)
572 (first distribution) and AgNPs (second distribution) in the case of 100 nm PVP-AgNPs
573 (see **Fig. 7d**). Furthermore, the percentage of Ag(I) estimated for the smaller particles
574 was higher than for the bigger ones (99% and 26%, respectively). These results are in
575 agreement with EXAFS analysis, because a higher number of Ag-Ag bonds were found
576 for 100 nm NPs than for 75 nm NPs (see **Table 6**). Therefore, larger particles are more
577 stable than smaller ones in lettuce roots. This fact supports the hypothesis that smaller
578 NPs are more prone to get dissolved than bigger NPs as it is stated by some authors
579 [67].

580 **3.5 Speciation and ligand environment of Ag in lettuce plant**

581 The prevailing feature in EXAFS spectra of all samples is the signal of the neighbor Ag
582 atoms in the NPs: it follows the spectral features of bulk Ag metal up to 5 Å. The
583 contribution of low-Z organic ligands is hardly noticeable beneath the signal of ~12
584 strongly scattering Ag neighbors. Two candidate ligands are identified: sulfur at 2.4 Å,
585 and oxygen at 2.3 Å (see **Fig. 8**). In the Feff model constructed from the first 5
586 scattering paths of Ag metal lattice and single paths of O and S ligands, the number of

587 required parameters is at par with the number of freedom degrees of the usable part of
588 the spectrum. Statistically stable values of the parameters can thus only be gained in
589 collective fitting, preferably of related groups of spectra (studying e.g. concentration
590 effect, coating effect, size effect), so that common values can be assumed for some
591 parameters. When parameter values for a given sample from fitting in different groups
592 are compared, their reliability can be assessed. Those pertaining to the Ag neighbors
593 within the NP are highly reliable, with the spread in the percentage range. The
594 parameters of the S-shell vary typically for 25%, and those of O-shell more than 50%.

595 When compared to the initial AgNPs added to the nutrient solution, the Ag
596 neighborhood in plant roots is characterized by lower number of Ag neighbors,
597 indicating leaching of Ag(I), which makes the AgNPs smaller (see **Tables 4, 5, 6**). Ag
598 ions are then bound to -S or -O ligands, but *de novo* formation of Ag-Ag bonds is also
599 possible.

600 In the concentration effect study, agglomerative hierarchical clustering analysis of Ag
601 neighborhood in plants *vs* intact 75 nm PVP-AgNPs shows that the Ag neighborhood at
602 5 and 10 mg L⁻¹ resembles that of intact AgNPs (see **Fig. 9a**), while at 3 mg L⁻¹ the Ag
603 neighborhood is significantly different, with higher number of -O and -S ligands due to
604 higher level of AgNPs dissolution. A higher number of Ag-Ag bonds are seen at 5 mg
605 L⁻¹ than at 3 and 10 mg L⁻¹ (see **Table 4**), which correlates with increased plant
606 physiological parameters as WUE_i and WUE and lowered E and g_s (see **Table 2**),
607 possibly as a direct effect of AgNPs on the membrane systems of plant cells.

608 In the coating effects study, PVP coated 100 nm NPs show the highest stability (53%
609 Ag-Ag bonds) (see **Table 5**), again correlating with lower E and g_s (see **Table 3**).
610 Agglomerative hierarchical clustering confirms higher similarity of Ag neighborhood in
611 the lettuce roots exposed to PEG and citrate coated AgNPs (see **Fig. 9b**), characterized

612 by a lower number of Ag-Ag bonds, while higher number of O-ligands is seen in roots
613 exposed to 100 nm PVP-AgNPs.

614 In the size effects study, 100 nm PVP-AgNPs show better stability than 75 nm NPs,
615 with higher percentage of Ag-Ag bonds (53% vs 38%) (see **Table 6**) correlating with
616 lower root and higher shoot Ag concentration. This indicates that more stable AgNPs
617 are more easily transported from the roots to the shoots, since silver ions probably react
618 already with the cell components in the root system.

619 **4. Conclusions**

620 The AgNPs accumulation and biotransformation processes in plants and their
621 subsequent toxicological effects are governed by several factors, among them
622 nanoparticle physicochemical properties. The results showed that nanosilver absorption
623 by lettuce roots and their translocation to shoots were concentration dependent
624 processes. Furthermore, the accumulation of these emerging pollutants in lettuce tissues
625 at low exposure concentrations was similar to Ag(I), but at high concentration levels
626 Ag(I) was accumulated at a greater extent. Nanosilver concentration tests also indicated
627 through SP-ICP-MS analysis that at 3 mg L⁻¹ these emerging pollutants were more
628 prone to get dissolved compared to 5 mg L⁻¹ and 10 mg L⁻¹. The same results were
629 obtained by Ag K-edge EXAFS analysis of plant roots due to higher number of Ag-S
630 and Ag-O bonds at low concentrations were found, pointing to a higher level of AgNPs
631 dissolution at lower nanoparticle concentration. Although transpiration rate values and
632 stomatal conductance of lettuces were reduced after being exposed to AgNPs,
633 toxicological effects were not concentration dependent in the different exposure levels
634 tested in this work.

635 Particle coatings were found to have no effect on nanosilver uptake by lettuce roots.
636 Nevertheless, 100 nm PEG-AgNPs and Ag(I) seemed to be more transported to aerial

637 parts of the edible plant than negatively charged nanoparticles. SP-ICP-MS and Ag
638 K-edge EXAFS analysis demonstrated that PVP coated nanosilver is more stable in
639 lettuce roots than PEG- and citrate-AgNPs. Toxicological studies showed that
640 Ag(I) has a higher negative impact on transpiration and stomatal conductance in
641 respect to other studied AgNPs, although the differences on toxicological effects that
642 differently coated AgNPs can exert in lettuce are not clear. On the other hand, particle
643 size has an effect on their absorption by lettuce roots and their translocation to shoots.
644 Small sized AgNPs were more accumulated by roots, meanwhile large sized
645 nanosilver were more efficiently transported to lettuce aerial parts. Ag K-edge
646 EXAFS results indicated that 100 nm PVP-AgNPs were more stable because the
647 presence of Ag-Ag bonds was higher in comparison with 75 nm PVP-AgNPs. SP-ICP-
648 MS results also showed the dissolution of smaller tested AgNPs and the maintenance
649 of nanoform for bigger NPs in lettuce roots. Although this work gives an overview
650 of the parameters that can influence the AgNPs accumulation, transformation and the
651 toxicological effects derived from these processes to lettuce plants, more research is
652 needed to understand the behavior and risks that can pose these emerging contaminants
653 to edible plants.

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664 7.6 References

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904 **List of tables**

905 **Table 1** Lettuce growing conditions in the different tests performed (control = 0 mg L⁻¹).

Test	Silver form in lettuce growing media	Concentration of silver in lettuce growing media
AgNPs and Ag(I) concentration effect	75 nm PVP-AgNPs Ag(I)	0, 3, 5, 7, 10 and 15 mg L ⁻¹
AgNPs coating effect	100 nm PVP-AgNPs 100 nm citrate-AgNPs 100 nm PEG-AgNPs	0, 1, 3 mg L ⁻¹
AgNPs size effect	75 nm PVP-AgNPs 100 nm PVP-AgNPs 60 nm citrate-AgNPs 100 nm citrate-AgNPs	0, 1, 3 mg L ⁻¹

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908 **Table 2** Overall means ± standard errors for the studied leaf physiological parameters in
 909 *Lactuca sativa* plants grown under four different 75 nm PVP-AgNPs concentration (0, 3, 5 and
 910 10 mg L⁻¹). The sample size used was $n = 4$ for each concentration. p -values for each
 911 physiological parameter were the result of comparing each AgNPs concentration with the
 912 control one (0 mg L⁻¹) by one-way ANOVA tests. The significance level considered was $p \leq 0.05$
 913 (* indicate a p -value marginally significant; E : transpiration rate; g_s : stomatal conductance; A :
 914 photosynthetic rate; WUE_i : instantaneous water use efficiency; WUE : intrinsic water use
 915 efficiency; ns : not significant; p : p -values).

	0 mg L ⁻¹	3 mg L ⁻¹	p	5 mg L ⁻¹	p	10 mg L ⁻¹	P
E (mmols H ₂ O m ⁻² s ⁻¹)	0.86 ± 0.03	0.45 ± 0.13	0.022	0.33 ± 0.15	0.013	0.53 ± 0.13	0.045
g_s (mmols H ₂ O m ⁻² s ⁻¹)	54 ± 4.26	24.75 ± 7.55	0.015	21.75 ± 10.83	0.032	29.50 ± 9.53	0.057*
A (µmols CO ₂ m ⁻² s ⁻¹)	0.60 ± 0.07	0.50 ± 0.09	ns	0.48 ± 0.08	ns	0.50 ± 0.14	ns
WUE_i (A/E)	0.69 ± 0.07	1.92 ± 1.04	ns	3.38 ± 2.21	ns	1.09 ± 0.35	ns
WUE (A/ g_s)	0.01 ± 0.00	0.04 ± 0.02	ns	0.06 ± 0.05	ns	0.02 ± 0.01	ns

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930 **Table 3** Overall means \pm standard errors for the *Lactuca sativa* leaf transpiration rate (E) and
 931 stomatal conductance (g_s) from plants grown under 3 mg L⁻¹ Ag(I) or AgNPs coated with
 932 different compounds (PEG, citrate or PVP). The sample size used was $n = 4$ for controls and
 933 citrate-AgNPs and $n = 3$ for PEG-AgNPs and PVP-AgNPs. For Ag(I) $n = 2$ because two of the
 934 four initial plantlets died before ending the experiment. p -values given for each physiological
 935 parameter are the results of comparing each treatment with the control one (0 mg L⁻¹) by one-
 936 way ANOVA tests. The significance level considered was $p \leq 0.05$ (ns : not significant; p : p -
 937 values).

	0 mg L ⁻¹	Ag(I)	p	Citrate-AgNPs	p	PVP-AgNPs	p	PEG-AgNPs	p
E (mmols H ₂ O m ⁻² s ⁻¹)	1.22 \pm 0.09	0.46 \pm 0.04	0.004	1.39 \pm 0.15	ns	0.78 \pm 0.09	0.018	1.01 \pm 0.19	ns
g_s (mmols H ₂ O m ⁻² s ⁻¹)	47.00 \pm 4.02	16.00 \pm 1.00	0.007	54.25 \pm 7.39	ns	27.00 \pm 4.04	0.019	42.67 \pm 9.24	ns

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Table 4 Best fit model parameters of Ag K-edge EXAFS spectra measured on frozen-hydrated
 plant roots exposed to 75 nm PVP-AgNPs at different concentrations. Rf – relative frequency, R
 the distance between Ag and the neighbor atom (Ag, S or O), σ^2 – the width of the radial
 distribution of the neighbors. $E0$ – effective zero energy of the photoelectron. Estimated errors
 in units of the last decimal place are given in parentheses.

AgNPs concentration	75 nm PVP-AgNPs added to the nutrient solution	Roots 3 mg L ⁻¹	Roots 5 mg L ⁻¹	Roots 10 mg L ⁻¹
Rf (Ag)	0.76(2)	0.38(3)	0.57(3)	0.35(3)
R (Ag) [Å]	2.875(2)	2.866(2)	2.872(2)	2.863(2)
σ^2 Ag [Å ²]	32(6)	32	32	32
Rf (S)	0**	0.35(10)	0.0(10)	0.11(10)
R (S) [Å]*	-	2.430(11)	2.430	2.430
σ^2 S [Å ²]*	-	72(30)	72	72
Rf (O)	0.18(20)	0.60(20)	0.29(20)	0.22(20)
R (O) [Å]**	2.300	2.300	2.300	2.300
σ^2 (O) [Å ²]**	72	72	72	72
$E0$ [eV]	1.2(3)	0.6(3)	1.4(3)	1.0(3)

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*- Constrained to common value, **- fixed value

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963 **Table 5** Best fit model parameters of Ag K-edge EXAFS spectra measured on frozen-hydrated
 964 plant roots exposed to 100 nm PVP, citrate and PEG coated AgNPs. Rf – relative frequency, R
 965 the distance between Ag and the neighbor atom (Ag, S or O), σ^2 – the width of the radial
 966 distribution of the neighbors. EO – effective zero energy of the photoelectron. Estimated errors
 967 in units of the last decimal place are given in parentheses.

AgNPs concentration, coating and size	Roots 3 mg L ⁻¹ PVP, 100 nm	Roots 3 mg L ⁻¹ citrate, 100 nm	Roots 3 mg L ⁻¹ PEG, 100 nm
Rf (Ag)	0.54(3)	0.38(2)	0.34(2)
R (Ag) [Å]	2.868(3)	2.867(2)	2.869(2)
σ^2 Ag [Å ²]	32(5)	32	32
Rf (S)	0.18(8)	0.20(8)	0.21(8)
R (S) [Å]*	2.430(12)	2.430	2.430
σ^2 S [Å ²]*	72(30)	72	72
Rf (O)	0.34(15)	0.19(15)	0.16(15)
R (O) [Å]**	2.300	2.300	2.300
σ^2 (O) [Å ²]**	72	72	72
EO [eV]	5.8(9)	0.0(8)	1.0(7)

*. Constrained to common value, **. fixed value

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970 **Table 6** Best fit model parameters of Ag K-edge EXAFS spectra measured on frozen-hydrated
 971 plant roots exposed to 75 and 100 nm PVP-AgNPs at different concentrations. Rf – relative
 972 frequency, R the distance between Ag and the neighbor atom (Ag, S or O), σ^2 – the width of the
 973 radial distribution of the neighbors. EO – effective zero energy of the photoelectron. Estimated
 974 errors in units of the last decimal place are given in parentheses.

AgNPs concentration, coating and size	Roots 3 mg L ⁻¹ PVP, 75 nm	Roots 3 mg L ⁻¹ PVP, 100 nm
Rf (Ag)	0.38(3)	0.53(3)
R (Ag) [Å]	2.866(2)	2.868(2)
σ^2 Ag [Å ²]	37(5)	32(5)
Rf (S)	0.35(10)	0.18(10)
R (S) [Å]*	2.430(12)	2.430
σ^2 S [Å ²]*	72(30)	72
Rf (O)	0.60(22)	0.34(20)
R (O) [Å]**	2.300	2.300
σ^2 (O) [Å ²]**	72	72
EO [eV]	0.6(8)	5.8(9)

*. Constrained to common value, **. fixed value

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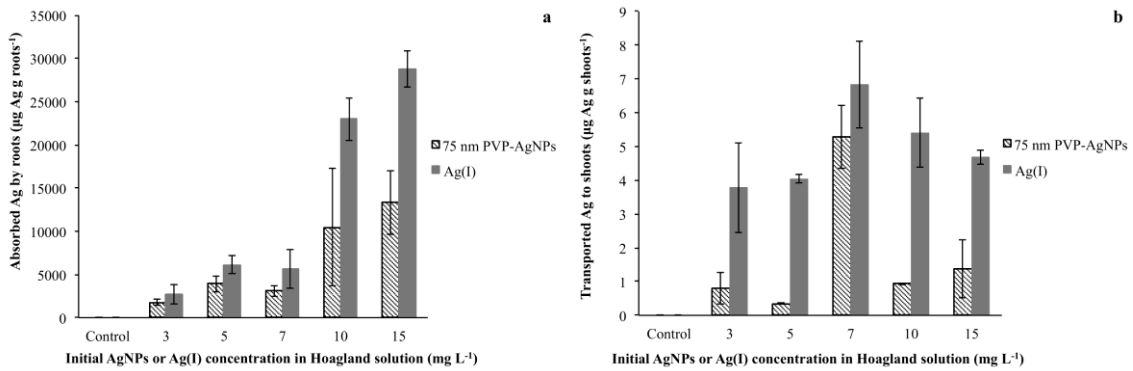
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982 **List of figures**



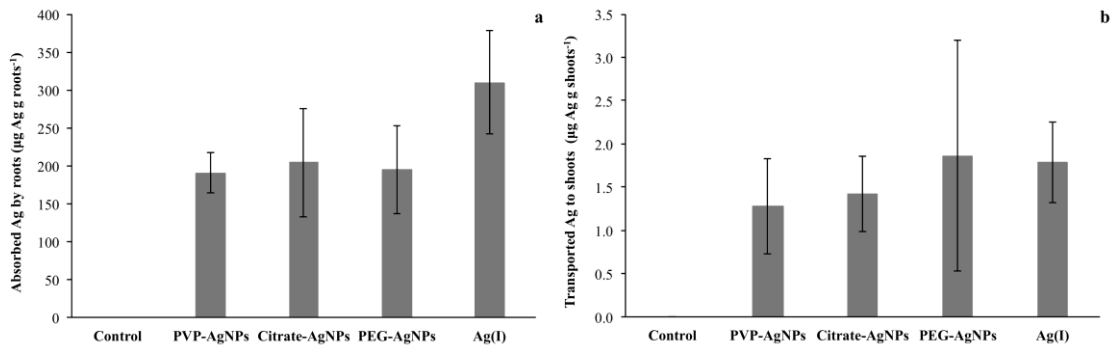
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984 **Fig. 1** Total silver concentrations in lettuce roots (a) and lettuce shoots (b) obtained from
 985 concentration effect test (control (0), 3, 5, 7, 10 and 15 mg L⁻¹) with 75 nm PVP-AgNPs and
 986 Ag(I) (error bars: standard deviation (n = 3)).
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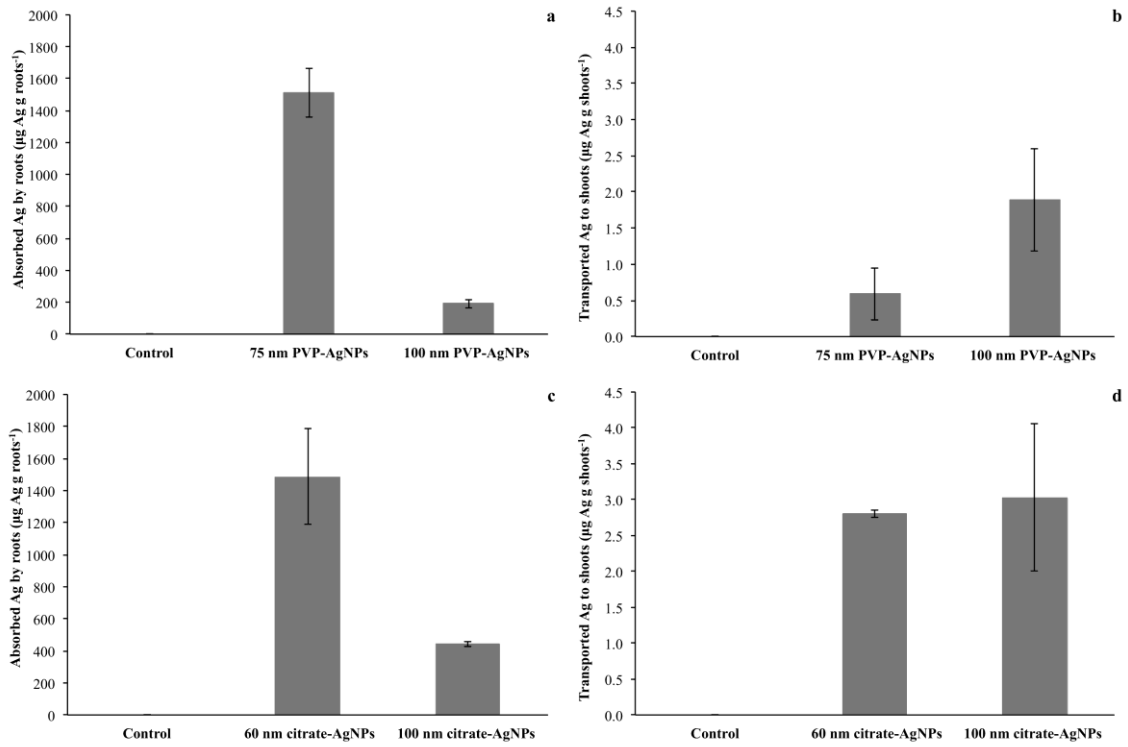
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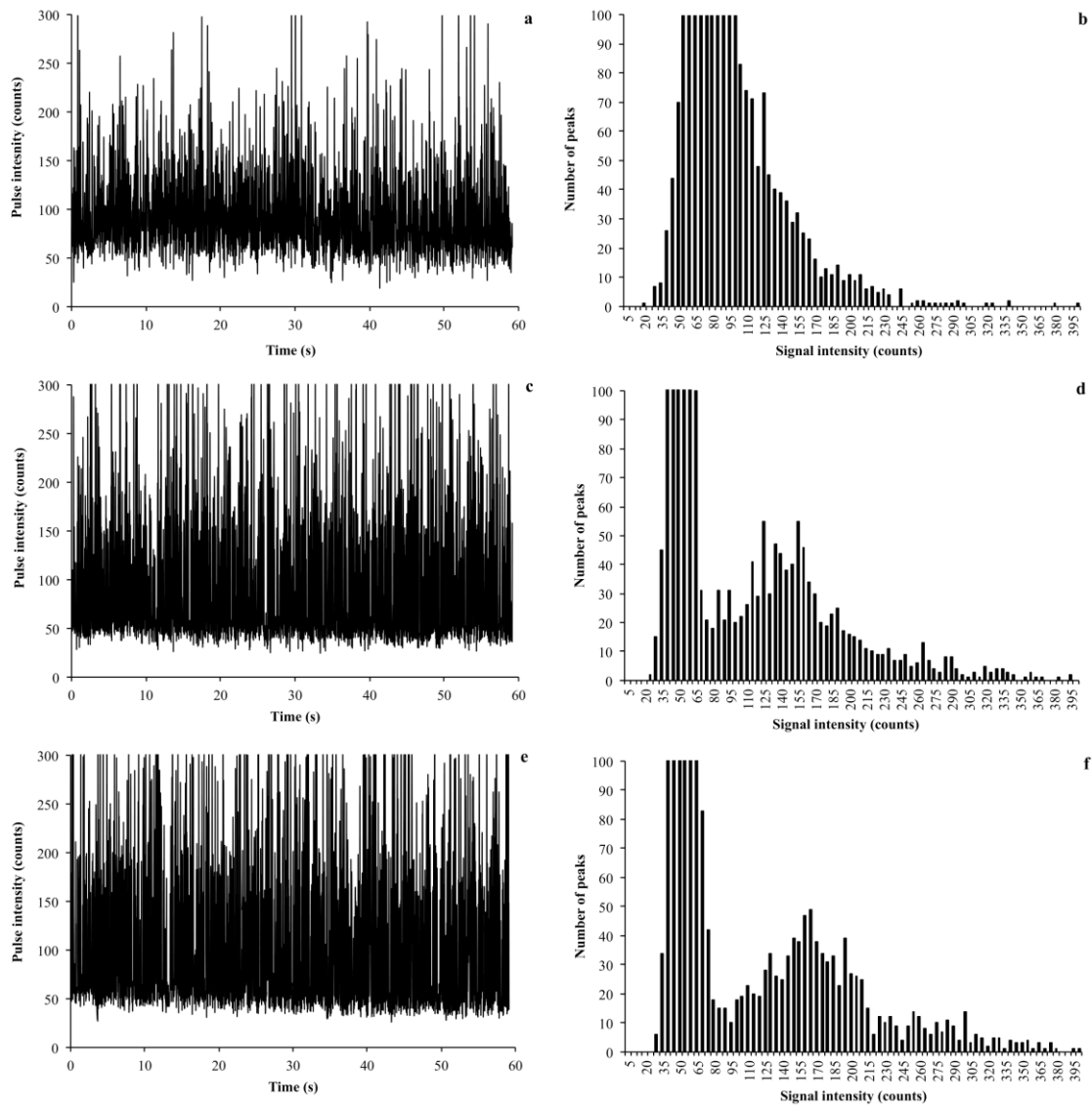
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991 **Fig. 2** Total silver content in lettuce tissues (a roots; b shoots) resulting from coating effect test
 992 using different coated 100 nm AgNPs (PVP-, citrate- and PEG-AgNPs) at 1 mg L⁻¹
 993 concentration in Hoagland solution (error bars: standard deviation (n = 3)).



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995 **Fig. 3** Content of silver in vegetal roots (**a** PVP-AgNPs; **c** citrate-AgNPs) and shoots (**b** PVP-
 996 AgNPs; **d** citrate-AgNPs) after performing particle size effect test at 1 mg L⁻¹ concentration
 997 (error bars: standard deviation ($n = 3$)).



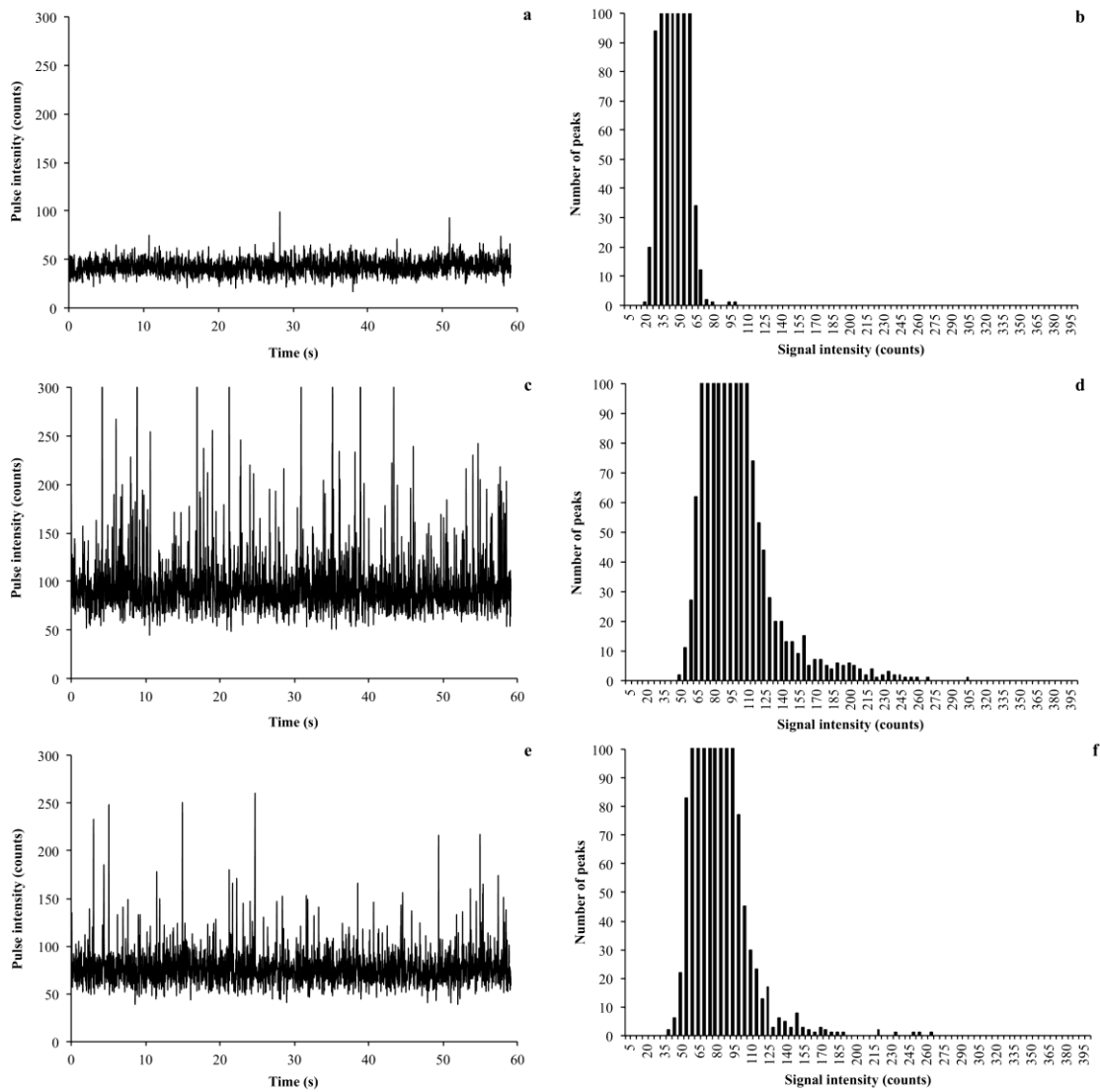
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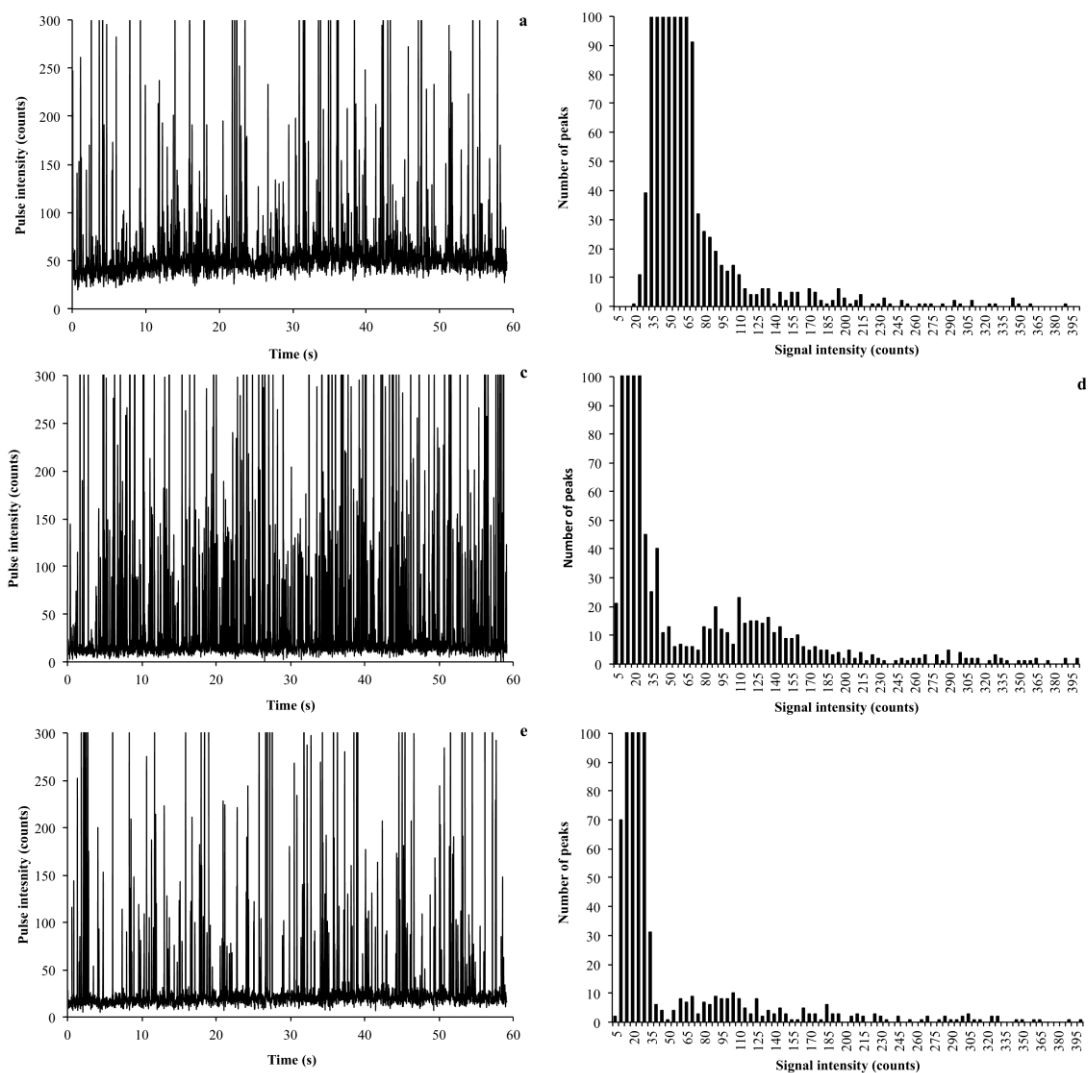
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Fig. 4 SP-ICP-MS time resolved plots and histograms of lettuce roots contaminated with 75 nm PVP-AgNPs at different concentration levels (a-b 3 mg L⁻¹; c-d 5 mg L⁻¹; e-f 10 mg L⁻¹).



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Fig. 5 SP-ICP-MS time resolved plots and histograms of lettuce shoots contaminated with 75 nm PVP-AgNPs at different concentration levels (**a-b** 3 mg L⁻¹; **c-d** 5 mg L⁻¹; **e-f** 10 mg L⁻¹).

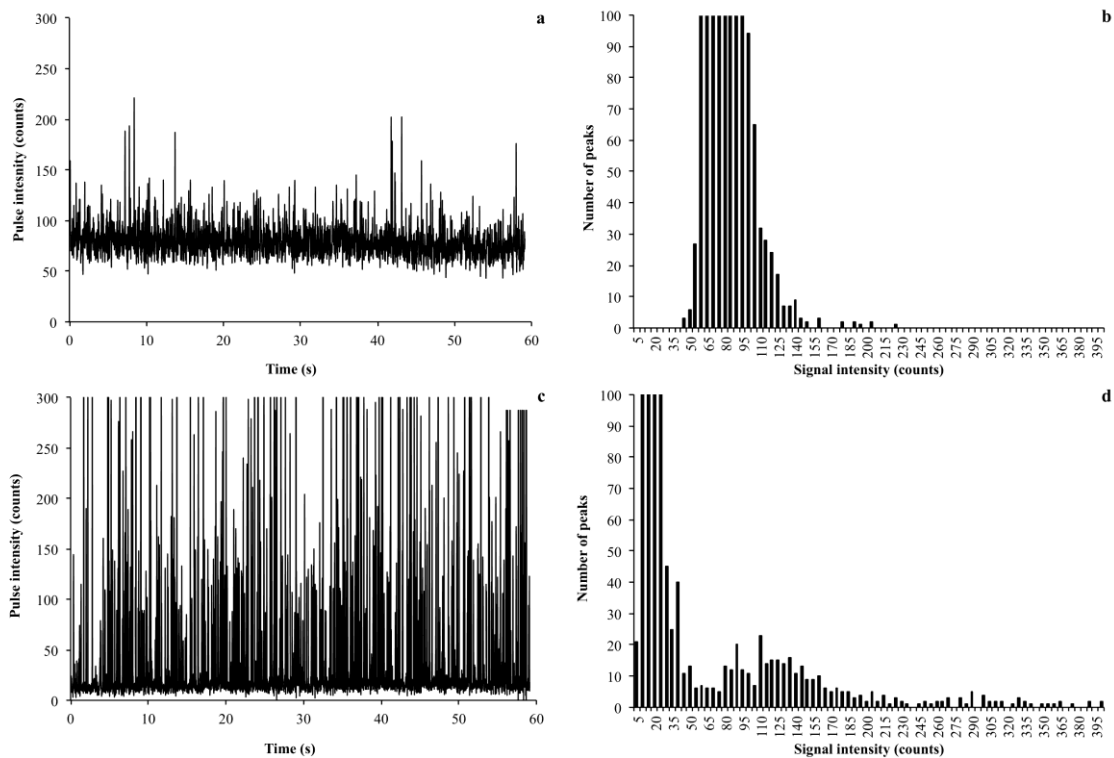


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Fig. 6 SP-ICP-MS time resolved plots and histograms of lettuce roots contaminated with different coated AgNPs at 1 mg L^{-1} (**a-b** citrate-AgNPs **c-d** PVP-AgNPs; **e-f** PEG-AgNPs).

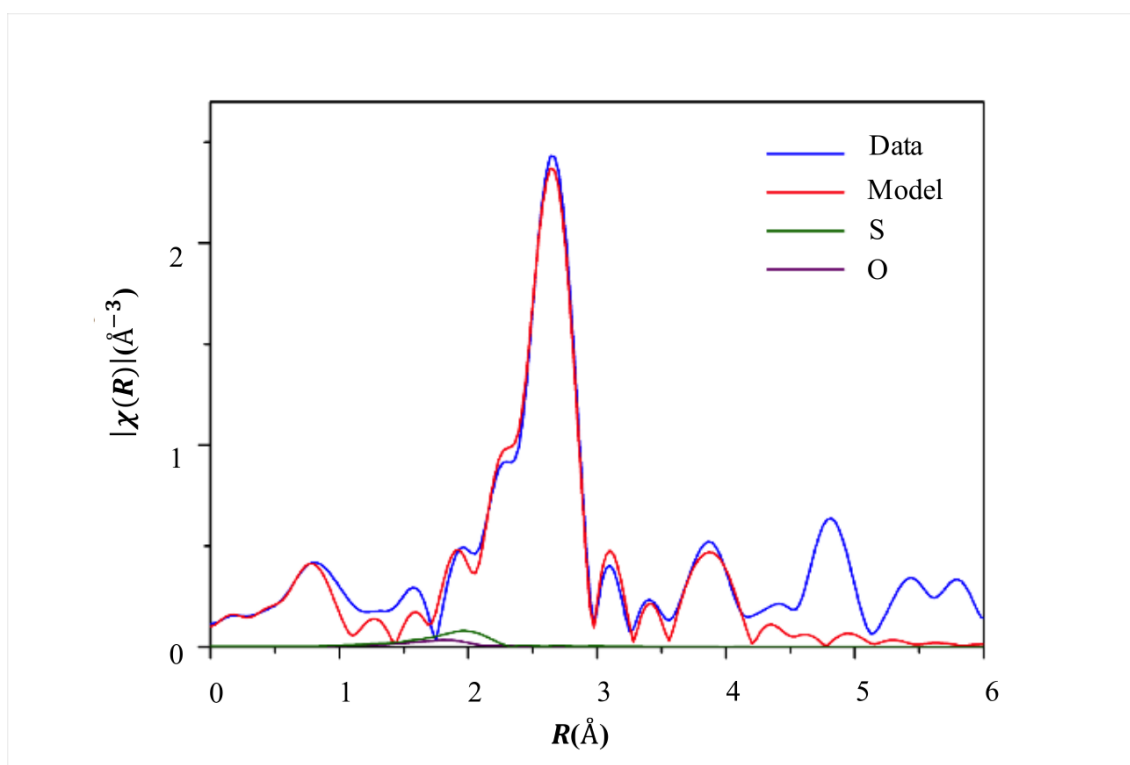


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Fig. 7 SP-ICP-MS time resolved plots and histograms of lettuce roots contaminated with different sized AgNPs at 1 mg L^{-1} (a-b) 75 nm PVP-AgNPs c-d) 100 nm PVP-AgNPs).



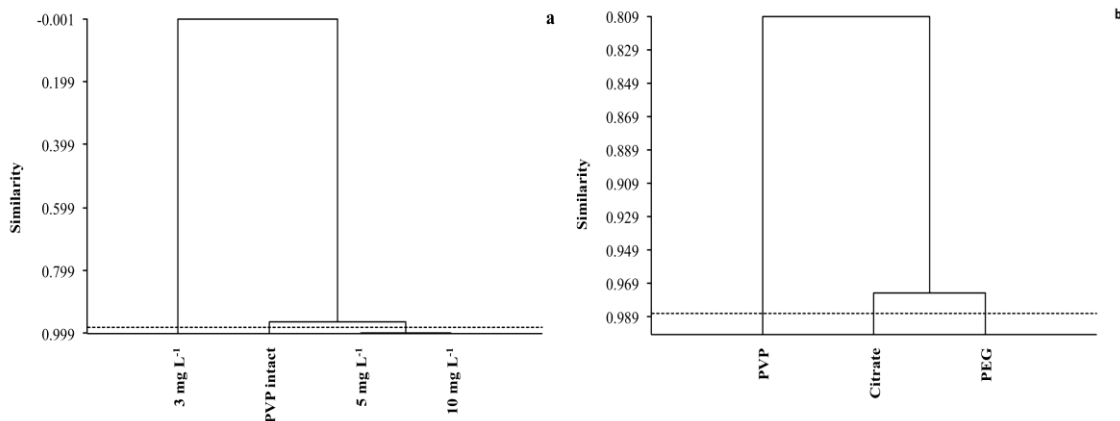
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Fig. 8 Fourier transform magnitudes of the k3 weighted Ag K-edge EXAFS of plant roots exposed to 3 mg L^{-1} of PVP-AgNPs.

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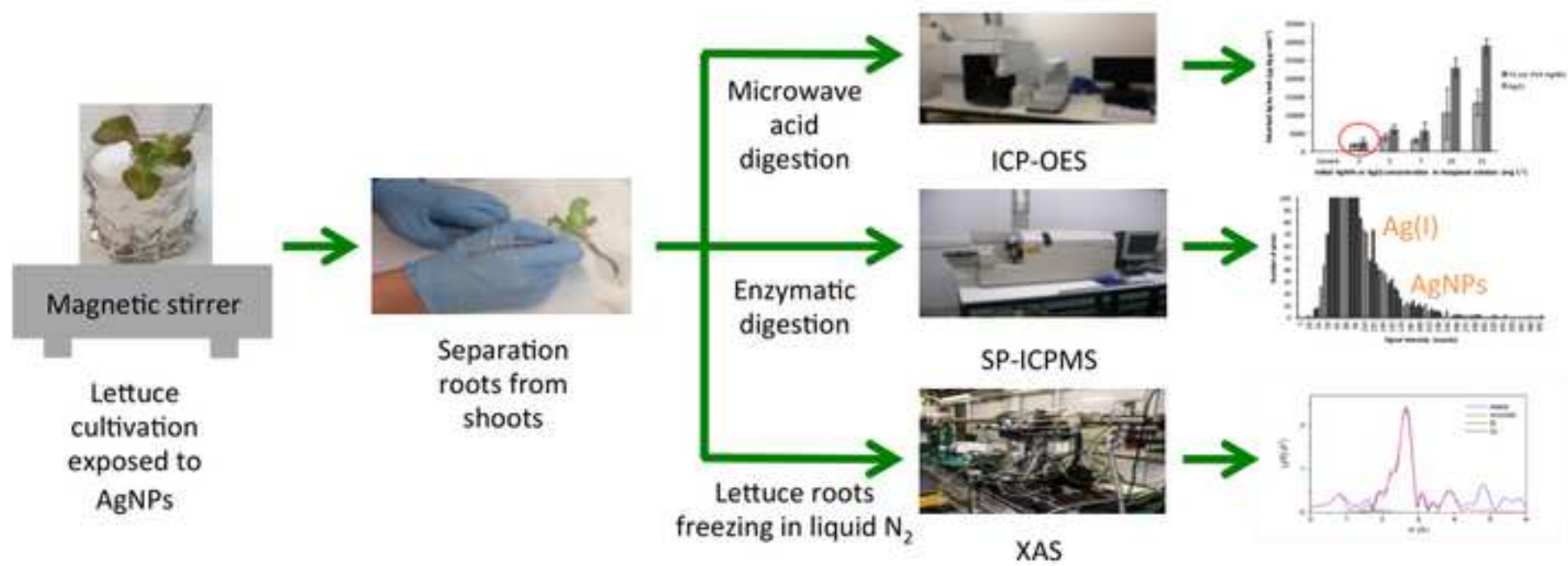
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Fig. 9 Agglomerative hierarchical clustering analysis based on Pearson's correlation coefficient, of Ag neighborhood as obtained by Ag K-edge EXAFS analysis in concentration effect study, where the lettuces were exposed to 3, 5, 10 mg L⁻¹ of 75 nm PVP-AgNPs and PVP intact (Ag neighborhood in raw 75 nm PVP-AgNPs) (a), and coating effect study where the lettuce plants were exposed to 3 mg L⁻¹ of 100 nm PVP, citrate and PEG coated AgNPs (b).

Supplementary Material

[Click here to download Supplementary Material: Supplementary material_HAZMAT.docx](#)



Abstract

The broad use of silver nanoparticles (AgNPs) in daily life products enhances their possibilities to reach the environment. Therefore, it is important to understand the uptake, translocation and biotransformation in plants and the toxicological impacts derived from these biological processes. In this work, *Lactuca sativa* (lettuce) was exposed during 9 days to different coated (citrate, polyvinylpyrrolidone, polyethylene glycol) and sized (60, 75, 100 nm) AgNPs at different concentrations (1, 3, 5, 7, 10, 15 mg L⁻¹). Total silver measurements in lettuce roots indicated that accumulation of AgNPs is influenced by size and concentration, but not by nanoparticle coating. On the other hand, nanosilver translocation to shoots was more pronounced for neutral charged and large sized NPs at higher NP concentrations. Single particle inductively coupled plasma mass spectrometry analysis, after an enzymatic digestion of lettuce tissues indicated the dissolution of some NPs. Ag K-edge X-ray absorption spectroscopy analysis corroborated the AgNPs dissolution due to the presence of less Ag-Ag bonds and appearance of Ag-O and/or Ag-S bonds in lettuce roots. Toxicological effects on lettuces were observed after exposure to nanosilver, especially for transpiration and stomatal conductance. These findings indicated that AgNPs can enter to edible plants, exerting toxicological effects on them.