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1           **Occurrence and persistence of antibiotic resistance genes in river**  
2                           **biofilms after wastewater inputs in small rivers**

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41 **Abstract**

42

43 The extensive use of antibiotics in human and veterinary medicine and their  
44 subsequent release into the environment may have direct consequences for  
45 autochthonous bacterial communities, especially in freshwater ecosystems. In small  
46 streams and rivers, local inputs of wastewater treatment plants (WWTPs) may become  
47 important sources of organic matter, nutrients and emerging pollutants, such as  
48 antibiotic resistance genes (ARGs). In this study, we evaluated the effect of WWTP  
49 effluents as a source of ARGs in river biofilms. The prevalence of genes conferring  
50 resistance to main antibiotic families, such as beta-lactams (*bla<sub>CTX-M</sub>*), fluoroquinolones  
51 (*qnrS*), sulfonamides (*sul I*), and macrolides (*ermB*), was determined using quantitative  
52 PCR (qPCR) in biofilm samples collected upstream and downstream WWTPs discharge  
53 points in four low-order streams. Our results showed that the WWTP effluents strongly  
54 modified the hydrology, physico-chemistry and biological characteristics of the  
55 receiving streams and favoured the persistence and spread of antibiotic resistance in  
56 microbial benthic communities. It was also shown that the magnitude of effects  
57 depended on the relative contribution of each WWTP to the receiving system.  
58 Specifically, low concentrations of ARGs were detected at sites located upstream of the  
59 WWTPs, while a significant increase of their concentrations was observed in biofilms  
60 collected downstream of the WWTP discharge points (particularly *ermB* and *sul I*  
61 genes). These findings suggest that WWTP discharges may favour the increase and  
62 spread of antibiotic resistance among streambed biofilms. The present study also  
63 showed that the presence of ARGs in biofilms was noticeable far downstream of the  
64 WWTP discharge (up to 1 km). It is therefore reasonable to assume that biofilms may  
65 represent an ideal setting for the acquisition and spread of antibiotic resistance

66 determinants and thus be considered suitable biological indicators of anthropogenic  
67 pollution by active pharmaceutical compounds.

68 **Capsule abstract**

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70 The study of biofilms in rivers revealed that small wastewater treatment plants can be a  
71 relevant source of antibiotic resistance genes to benthic communities of freshwater  
72 ecosystems.

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## 100 **1. Introduction**

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102 Antibiotics are widely used to treat or prevent bacterial infectious diseases in both  
103 human and veterinary medicine, but overuse and misuse has led to the increase of  
104 antibiotic-resistance among microbes (Servais and Passerat, 2009). This has caused  
105 antibiotic resistance to become a global health concern. Infectious microorganisms are  
106 becoming resistant to the commonly prescribed antibiotics, resulting in prolonged illness  
107 and greater risk of death (Cosgrove, 2006). Recent data from the European Centre for  
108 Disease Prevention and Control and the European Medicines Agency shows that every  
109 year approximately 25,000 European citizens die from infections caused by bacteria that  
110 developed antibiotics resistance (Borg, 2011). Moreover, it is estimated that more than  
111 70% of bacteria causing these infections are resistant to at least one of the antibiotics  
112 commonly used to treat them (Muto, 2005).

113 Some bacteria are intrinsically resistant to antibiotics because they have either an  
114 impermeable membrane or they lack the antibiotic target, whereas others can actively  
115 pump antibiotics outside the cell by membrane efflux pumps. In other cases, bacteria  
116 acquire resistance to antibiotics through gene mutations that alter the target protein (*e.g.*  
117 the antibiotic binding-site) without affecting its functionality (*e.g.* mutations in *gyrA* and  
118 *parC* genes that encode DNA gyrase and topoisomerase IV conferring resistance to  
119 fluoroquinolones). Bacteria can also be resistant through the production of enzymes that  
120 inactivate antibiotics both by modification (*e.g.* covalent modification of  
121 aminoglycoside antibiotics catalysed by acetyltransferases) or degradation (*e.g.* such as  
122 that catalysed by  $\beta$ -lactamases acting on  $\beta$ -lactam antibiotics) (Allen et al., 2010).  
123 Susceptible bacteria may furthermore become resistant to antibiotics by acquiring  
124 resistance genes through horizontal gene transfer, which is largely, although not

125 exclusively, responsible for the spread of antibiotic resistance among bacteria (Frost et  
126 al., 2005; Taylor et al., 2011).

127 Despite growing concerns on antibiotic resistance, this phenomenon has not been fully  
128 explored in environmental settings, possibly because antibiotic concentrations in non-  
129 clinical settings are generally very low (Marti et al., 2014). However, recent studies  
130 have revealed that sub-inhibitory concentrations of antibiotics, similar to those found in  
131 some aquatic environments (Kümmerer, 2009), may promote antibiotic resistance and  
132 select for resistant bacteria (Chow et al., 2015; Gullberg et al., 2011). Moreover, the  
133 extensive use of antibiotics in human and veterinary medicine and their subsequent  
134 release into the environment, via treated or untreated wastewater discharges, increasing  
135 use of recycled water in agricultural practices, and agricultural runoff, may have direct  
136 consequences for autochthonous bacterial communities, especially in freshwater  
137 ecosystems. Previous studies have shown the detrimental effect of antibiotics on the  
138 environment because of their effects on autochthonous bacteria and their impairment of  
139 biogeochemical processes or the degradation of organic pollutants (Buesing and  
140 Gessner, 2006; Garcia-Armisen et al., 2011; Proia et al., 2013a; Roose-Amsaleg and  
141 Laverman, 2015).

142 In small streams and rivers, local inputs of wastewater treatment plants (WWTPs) may  
143 become sources of organic matter, nutrients and emerging pollutants including antibiotic  
144 resistance genes (ARGs) (Pruden et al., 2006; Rysz and Alvarez, 2004). Many of these  
145 WWTPs receive inputs from municipal, clinical, agricultural, and industrial sources  
146 providing an optimal setting for the emergence and selection of antibiotic resistant  
147 bacteria (Amos et al., 2014). As a consequence, ARGs and resistant bacteria are released  
148 to the receiving water bodies through WWTP effluents (Marti et al., 2013; Rodriguez-  
149 Mozaz et al., 2015). Although the prevalence of ARGs has been studied in aquatic

150 systems worldwide, most data focused on their abundance in the water column and the  
151 sediment of anthropogenic impacted systems (Huerta et al., 2013; Lapara et al., 2011;  
152 Pruden et al., 2012, 2006) whereas few studies address the role of biofilms in the  
153 acquisition and spread of ARGs in aquatic environment (Balcázar et al., 2015).

154 Streambed biofilms play a fundamental role in the trophic web and biogeochemical  
155 cycles (Battin et al., 2003; Lock et al., 1993), acting as an interface between the water  
156 and the riverbed (Sabater et al., 2007; Romani, 2010). The short life cycle of biofilm  
157 microorganisms, the microbial interactions occurring among them and their reduced  
158 mobility allow for the detection of direct and indirect effects on the biofilm consortia on  
159 both short and long-term time-scale (Proia et al., 2012). River biofilms can therefore be  
160 useful in determining the early effects of pollutants on freshwater ecosystems (Sabater et  
161 al., 2007) thus triggering their extensive use as indicators to assess the effects of priority  
162 and emerging compounds both in the field and in the laboratory (Bonnineau et al., 2010;  
163 Morin et al., 2010; Proia et al., 2011; Proia et al., 2013a&b). Antibiotic-resistant bacteria  
164 and resistance determinants may integrate into biofilms (Donlan et al., 2002; Engemann  
165 et al., 2008), together with other autotrophic and heterotrophic organisms. In contrast to  
166 the planktonic lifestyle, biofilms provide a more efficient environment for genetic  
167 exchange due to high cell density, proximity, and accumulation of mobile genetic  
168 elements (Gillings et al., 2009; Sorensen et al., 2005).

169 The occurrence of ARGs in river biofilm, sediments or water column has been  
170 reported along anthropogenic impacted riverine systems (e.g. Marti et al., 2013; Pruden  
171 et al., 2006; Rodriguez-Mozaz et al., 2015). However, this is the first extensive study  
172 evaluating the effect of WWTP effluents on the prevalence of ARGs in river biofilms.  
173 The abundance of genes conferring resistance to main antibiotic families, such as beta-  
174 lactams (*bla*<sub>CTX-M</sub>), fluoroquinolones (*qnrS*), sulfonamides (*sul* I), and macrolides

175 (*ermB*), was determined using quantitative PCR (qPCR) in biofilm samples collected  
176 upstream and downstream of WWTP discharge points in four small Mediterranean  
177 streams. The target ARGs were selected because these confer resistance to antibiotics  
178 commonly used in hospital and community settings in our region. Moreover, previous  
179 studies have demonstrated a higher prevalence of those antibiotics — considered to  
180 select our resistance genes — in water samples collected from Mediterranean rivers  
181 impacted by WWTP discharges (Gros et al., 2012; Rodriguez-Mozaz et al., 2015).

182 We hypothesize that WWTP effluent inputs into streams would favour increased levels  
183 of downstream biofilm biomass and ARGs. We theorize that the magnitude of the  
184 increase would be related to the relative contribution of the WWTP effluents to the  
185 stream flow: the higher the percentage of WWTP water, the greater the effects observed.  
186 Finally, we assumed that the alterations associated to the WWTPs would persist  
187 downstream of the effluents.

## 188 **2. Materials and methods**

### 189 **2.1. Study sites**

190 This study was performed on four streams within the Tordera River Basin (Catalonia,  
191 Spain), selected considering the domestic sewage contribution through WWTP  
192 discharges (Table 1). The streams had limited anthropogenic activity upstream of the  
193 WWTPs, and were selected for the WWTPs to include a gradient of population-  
194 equivalent (PE) treated and discharge released. The Gualba plant (GUA) treats 1,035 PE  
195 and releases  $207 \text{ m}^3 \text{ day}^{-1}$  to the Gualba stream. The Breda (BRE) plant treats 5,600 PE  
196 and releases  $906 \text{ m}^3 \text{ day}^{-1}$  to the Repiaix stream. The Arbúcies (ARB) plant treats 9,000  
197 PE and releases  $2,400 \text{ m}^3 \text{ day}^{-1}$  to the Xica stream. Finally, the Santa Maria de  
198 Palautordera (SMP) plant treats 11,663 PE and releases  $3,255 \text{ m}^3 \text{ day}^{-1}$  to the Tordera



199 stream. In all the streams, three sites were selected *i*) 100 m upstream (UP); *ii*) 50–100  
200 m downstream (DW), and; *iii*) 1 km downstream (DW1).

## 201 **2.2 Water physical and chemical parameters**

202 Discharge, water velocity, width and depth were measured at each section directly in the  
203 field with an acoustic-Doppler velocity meter (Sontek, YSI, USA). Conductivity,  
204 temperature, pH and dissolved oxygen were measured with sensor probes (Hach Lange,  
205 Germany) directly in the field. Water samples ( $n = 3$  per site) for total organic carbon  
206 (TOC), phosphorus (TP) and nitrogen (TN) were collected and stored in polyethylene  
207 bottles. TOC was analysed with a Total Organic Carbon Analyzer (TOC-V CSH,  
208 Shimadzu, Japan) using the catalytic oxidation method Total N and P were determined  
209 after alkaline digestion and subsequent spectrophotometric determination (Grasshoff et  
210 al., 1983).

## 211 **2.3. Biofilm descriptors**

212 Biofilm samples were randomly collected from cobbles along a 50–100 m stream  
213 section at each sampling site. The entire surface of each cobble was scrapped with  
214 sterile brushes and stored in filtered river water (0.2  $\mu\text{m}$  Nylon Membrane Filters,  
215 Whatman, UK) to avoid the inclusion of suspended organisms. The surface of each  
216 cobble was measured by wrapping with aluminium foil. The foil was later dried and  
217 weighed, and cobble surface area estimated using a weight/area regression (McCreadie  
218 and Colbo, 1991). All variables were analysed in triplicate, and results expressed per  
219 unit surface area.

220 Each biofilm suspension was homogenized and two subsamples of known volume  
221 were filtered through pre-combusted (4 h at 450 °C) and pre-weighed 25 mm diameter  
222 GF/F Whatman glass fibre filters (0.7  $\mu\text{m}$  pore size). One of the filters was used to

223 determine Ash Free Dry Mass (AFDW) after drying (72 h at 50 °C, for dry weight) and  
224 combustion (4 h at 450 °C) and the remaining one was immersed in 10 ml of acetone  
225 (90% v/v) for 12 h in the dark at 4 °C for pigment extraction. Filters were then  
226 homogenized, and the absorbance was measured with a Shimadzu UV-1800  
227 spectrophotometer (Shimadzu, Japan). The Chl-*a* concentration was calculated by  
228 means of the Jeffrey & Humphrey (1975) equation. The Autotrophic Index (AI) was  
229 calculated for each replicate as the ratio between AFDW and Chl-*a* both expressed as  
230 mg per cm<sup>2</sup> (Weber, 1973).

#### 231 **2.4. Quantification of ARGs**

232 Biofilm samples were collected in triplicate from each sampling location, homogenized  
233 in phosphate-buffered saline solution (PBS; 10 mM sodium phosphate, 150 mM sodium  
234 chloride, pH 7.2) and centrifuged at 8,000 *g* for 10 min. Pellets were then re-suspended  
235 in lysis buffer (20 mM Tris-Cl, pH 8.0; 2 mM sodium EDTA; and 1.2 % Triton X-100),  
236 followed by enzymatic digestion with lysozyme (20 mg ml<sup>-1</sup>) and proteinase K (10 mg  
237 ml<sup>-1</sup>). Genomic DNA was extracted using the DNeasy Blood & Tissue Kit (Qiagen;  
238 Valencia, CA, USA), according to manufacturer's instructions. The DNA concentration  
239 of each sample was measured using a Qubit 2.0 fluorometer (Life Technologies;  
240 Carlsbad, CA, USA) and 260/280 ratios (Table S1) were determined using a NanoDrop  
241 2000 spectrophotometer (Thermo Scientific; Wilmington, DE, USA). All DNA samples  
242 were adjusted to 10 ng µl<sup>-1</sup> for qPCR analysis.

243 The copy numbers of the selected ARGs (*bla*<sub>CTX-M</sub>, *qnrS*, *sul* I and *ermB*) were  
244 quantified by qPCR assays. Ten-fold dilutions of plasmid DNA containing known  
245 concentration of the target gene were used as standard curves, which were generated by  
246 cloning the amplicon from positive controls into *Escherichia coli* using the pCR2.1-  
247 TOPO vector system (Invitrogen, Carlsbad, CA, USA). All qPCR assays were

248 performed in duplicate using SYBR green detection chemistry on a MX3005P system  
249 (Agilent Technologies; Santa Clara, CA, USA), as previously described (Marti et al.,  
250 2013). Briefly, each reaction contained 12.5  $\mu\text{l}$  of 2 $\times$  SYBR Green QPCR master mix  
251 (Agilent Technologies, Palo Alto, CA, USA), 200 nM each forward and reverse primer,  
252 1  $\mu\text{l}$  of 10 ng  $\mu\text{l}^{-1}$  DNA template, and the final volume was adjusted to 25  $\mu\text{l}$  by adding  
253 DNase-free water. Each gene was amplified using specific primer sets (Table S2) and  
254 the PCR conditions included an initial denaturation at 95  $^{\circ}\text{C}$  for 3 min, followed by 40  
255 cycles at 95  $^{\circ}\text{C}$  for 15 s and at the annealing temperature given in Table S1 for 20 s.  
256 Copy number of the bacterial 16S rRNA gene was also quantified for normalization of  
257 the data, and the amplification conditions included an initial denaturation of 35 cycles at  
258 95  $^{\circ}\text{C}$  for 15 s, followed by an annealing temperature at 60  $^{\circ}\text{C}$  for 1 min. A dissociation  
259 curve was applied at the end of each run to detect nonspecific amplifications. Ten-fold  
260 serial dilutions of the standards for each ARG were run in parallel with DNA samples  
261 and blank controls (qPCR premix without DNA template). The efficiency and  
262 sensitivity of each qPCR assay was determined by the amplification of standard serial  
263 dilutions, as previously described (Marti et al., 2013). Amplification efficiency was  
264 calculated from the resulting standard curves using the formula  $E = 10^{(-1/\text{slope})} - 1$ , and  
265 the analytical sensitivity of the real-time PCRs was determined as the smallest DNA  
266 quantity detected for each assay

## 267 **2.5. Statistical analysis**

268 The differences in biofilm descriptors and ARGs were analysed by two-way ANOVA in  
269 which Site and Stream were set as fixed factors. Post-hoc comparisons were done with  
270 Tukey test after passing the homogeneity of variance and normality (Kolmogorov-  
271 Smirnov) tests. The relation between biological and environmental variables was

272 analysed using Pearson correlation tests.. Statistical significance was set at  $p < 0.05$ . All  
273 analyses were carried out using Sigma Plot v.11.0 (Systat Software Inc. London, UK).

274

## 275 **3. Results**

### 276 **3.1 Water physical and chemical parameters**

277 The WWTP inputs influenced most parameters (conductivity, discharge and nutrient  
278 concentrations) downstream, and the influence extended up to 1 km downstream (Table  
279 1). The relative increase caused by WWTP effluents ranged between 11% and 97% of  
280 the stream flow, being the highest in BRE stream (Table 2). Water conductivity  
281 increased between 7 and 34% at downstream sites, with patterns depending on the  
282 stream. In particular, conductivity increased from DW to DW1 in GUA and ARB  
283 streams and decreased in SMP and BRE streams. TOC concentration was usually 2 to 3-  
284 fold higher downstream, except in ARB where no increase was produced. Total  
285 nitrogen increased 2-fold downstream the WWTPs, expect in SMP where the difference  
286 was negligible. BRE was the stream most impacted by effluent discharge, the TN  
287 increased 20-fold in the DW site. Total phosphorus concentrations increased 3 to 11-  
288 times, and reached 20 times higher in BRE. Dissolved oxygen, temperature and pH did  
289 not vary significantly after the inputs of WWTPs effluents, except in BRE where  
290 temperature increased 1.8 °C at the DW site.

### 291 **3.2 Characterization of streambed biofilms**

292 Biofilm biomass (AFDW) was similar between the streams. Even though the  
293 significant effect of the stream factor (Table 3), the post hoc analysis did not reveal  
294 difference among streams. The AFDW was significantly higher at DW sites ( $p = 0.012$ ),  
295 and especially in the DW1 sites ( $p = 0.002$ ) compared to the UP ones. However, these

296 effects were not equal in all the systems, as confirmed by the significant interaction  
297 between stream and site factors (Figure 1a, Table 3). This pattern could be attributed to  
298 differences in nutrient concentrations at different sites. In fact, the Pearson correlation  
299 analysis evidenced that AFDW was significantly correlated with both TN ( $r = 0.768$ ;  $p$   
300  $= 0.003$ ) and TP ( $r = 0.753$ ;  $p = 0.005$ ).

301 Chlorophyll-*a* content was significantly higher in BRE than in ARB and SMP ( $p =$   
302  $0.002$ ; Table 3). Chl-*a* was generally higher at DW ( $p = 0.002$ ) and DW1 ( $p = 0.001$ )  
303 sites. The effects differed however depending on the studied systems (Figure 1b). The  
304 highest Chl-*a* value was recorded at the DW site in BRE stream (Figure 1b;  $p < 0.001$ ).  
305 The SMP and GUA streams had higher Chl-*a* measured at the DW1 than at the DW  
306 ( $p < 0.001$  and  $p = 0.032$  respectively) (Figure 1b). Pearson analysis revealed that Chl-*a*  
307 was significantly and positively correlated with both TN ( $r = 0.887$ ;  $p < 0.001$ ) and TP ( $r =$   
308  $0.857$ ;  $p < 0.001$ ).

309 The AI of biofilms was significantly different among streams (Table 3), being higher  
310 in GUA than in the others streams ( $p < 0.05$ ). The AI increased moderately after  
311 receiving the WWTP effluents, except in the BRE stream (Figure 1c; Table 3).

### 312 **3.3. Prevalence of ARGs**

313 All the qPCR assays were performed with high  $R^2$  values (average 0.99), high  
314 efficiencies (89.6 to 99.6 %) and a dynamic range of at least 5 orders of magnitude,  
315 indicating the validity of the resulting quantifications. The results from qPCR analyses  
316 showed that the total copy number of bacterial 16S rRNA genes were consistent in all  
317 samples and ranged from  $3.88 \times 10^5$  to  $1.16 \times 10^6$  copies  $\text{ng}^{-1}$  DNA. The concentrations of  
318 ARGs copies were normalized to the bacterial 16S rRNA gene copy number to avoid an  
319 underestimation of the abundance that can be caused by the presence of eukaryotic  
320 DNA (*i.e.* protozoa, fungi and algae). Statistical analysis confirmed that normalized

321 ARG gene copies were usually higher in biofilms at DW sites than those from UP sites  
322 (Figure 2 and Table 3).

323 Regarding particular genes, the relative concentration of the *bla*<sub>CTX-M</sub> gene was higher  
324 in the DW biofilms in the SMP stream ( $p < 0.05$ ; Figure 2). Remarkably, *bla*<sub>CTX-M</sub> was  
325 below detection limit at upstream sites of BRE and GUA streams, but it was detected  
326 downstream (Figure 2).

327 The *qnrS* gene abundance was also higher in SMP biofilms ( $p < 0.001$ ; Table 3). This  
328 gene was significantly more abundant in biofilms from DW ( $p = 0.003$ ) and DW1  
329 ( $p < 0.001$ ) than in those from upstream (Table 3). DW and DW1 biofilms showed  
330 significantly higher concentrations of *qnrS* gene (respect to samples collected upstream)  
331 in the BRE stream ( $p = 0.005$  and  $p < 0.001$ , respectively; Figure 2), and changes were  
332 only significant in the DW site of the GUA stream ( $p = 0.043$ ) and in the DW1 site of  
333 SMP (Figure 2).

334 The relative concentration of the *sul* I gene was more abundant in SMP and BRE than in  
335 ARB and GUA streams ( $p < 0.001$ ; Table 3). This gene resulted significantly more  
336 abundant in DW ( $p < 0.001$ ) and DW1 ( $p = 0.003$ ) sites (Table 3). In ARB and GUA  
337 streams, both DW ( $p = 0.001$  and  $p = 0.016$ , respectively) and DW1 ( $p = 0.002$  and  $p =$   
338  $0.001$ , respectively) biofilms had significantly higher concentrations of the *sul* I gene  
339 than in upstream sites (Figure 2). In BRE stream, the difference was only observed at  
340 the DW site ( $p < 0.001$ ; Figure 2).

341 The relative concentration of the *ermB* gene was the highest in SMP stream ( $p < 0.001$ ;  
342 Table 3). The *ermB* gene abundance was significantly higher at the DW and DW1 sites  
343 ( $p < 0.001$ ; Table 3). The biofilms in ARB, SMP and BRE streams showed significantly  
344 higher abundance of *ermB* gene in the DW and DW1 sites than in UP ( $p < 0.02$ ; Figure  
345 2). Only in GUA stream the significant increase of *ermB* gene relative concentration

346 observed at DW ( $p = 0.003$ ) was not observed at the DW1 site (Figure 3). Pearson  
347 correlation analysis evidenced the significant and positive correlation between *ermB* and  
348 *qnrS* gene abundances ( $r=0.840$ ,  $p<0.001$ ).

#### 349 **4. Discussion**

350 WWTP effluents strongly modified the hydrology, physico-chemistry and biological  
351 characteristics of the receiving low order streams and increased the abundance of ARGs  
352 in microbial benthic communities. It was also shown that the magnitude of the effect  
353 depended on the relative contribution of each WWTP to the receiving system.

354 The increase in nutrient concentrations after the WWTP discharge was proportional and  
355 consistent with the increase of river flow caused by the effluents (Table 2). These  
356 alterations on the hydrology and water chemistry were also observed in studies carried  
357 out in larger rivers (Acuña et al., 2015; Aristi et al., 2015), and were also reflected in the  
358 structural properties of microbial benthic communities. In fact, the biofilm biomass  
359 (both AFDW and Chl-*a*) increased downstream from the WWTP discharge point  
360 mirroring the nutrients concentration pattern. This was confirmed by the significant  
361 positive correlation between biomass-related parameters (AFDW and Chl-*a*) and both  
362 TN and TP. Similar increases in biofilm biomass and nutrient concentrations  
363 downstream the WWTP were described by Aristi et al. (2015). In our study, the effect  
364 of the WWTP effluent was particularly evident in the case of BRE stream, where the  
365 contribution of the treated water to total downstream flow was the highest (97%, Table  
366 2). Thus, BRE was the stream where biofilms at downstream sites (mainly at DW)  
367 received the highest nutrient loading (and probably of antibiotic resistant elements)  
368 from the WWTP because the negligible dilution of the effluent. As a consequence, BRE  
369 was the stream in which the most significant changes were observed, and the only  
370 stream where all ARGs increased downstream from the discharge point (Figure 2). The

371 magnitude and pattern of downstream increase of target ARGs also mirrored those of  
372 nutrients and water flow, stressing the important role of WWTP effluents as a source of  
373 resistance genes to streambed biofilm communities.

374 Although previous studies have demonstrated that WWTPs reduce the concentration of  
375 antibiotics and ARGs from raw sewage (Rodriguez-Mozaz et al., 2015), our results  
376 indicate that WWTP discharges significantly increase the prevalence of antibiotic  
377 resistance in the aquatic environment, and in particular in the streambed microbial  
378 biofilms. ARGs are currently considered as emerging pollutants because they are  
379 introduced into the environment due to the inefficient treatment of domestic and  
380 hospital wastewater, favouring the presence of antibiotic resistant bacteria in WWTP  
381 effluents and, thus, in the receiving systems (Alonso et al., 2001; Martinez, 2009;  
382 Pruden et al., 2012). Nevertheless, risk assessment procedures to properly quantify the  
383 levels of ARGs that represent a threat for human and ecosystem health are still not  
384 available (Berendonk et al., 2015). Baquero and colleagues, (2008) describe genetic  
385 reactors as the places in which high biological connectivity, generation of variation and  
386 presence of specific selection favour genetic evolution. In particular four main reactors  
387 in the evolution of antibiotic resistance were highlighted: human and animal microbiota,  
388 hospital and farms, wastewater treatment systems and receiving systems in which  
389 environmental organisms may be in continuous contact with organisms originated in the  
390 previous reactors (Baquero et al., 2008). In fact, bacteria can be exposed to high doses  
391 of antibiotic compounds due to its therapeutic use in both human and veterinary  
392 medicine, and thereby develop resistance before being released into the aquatic  
393 environment through faeces (i.e. sewage), surface runoff and soil leaching (Servais and  
394 Passerat, 2009). We found a significant increase in the concentration of ARGs,  
395 particularly the *ermB* and *sul I* genes, in biofilms collected downstream of the WWTP



396 discharge points. These observations are consistent with previous studies, suggesting  
397 that WWTP discharges may contribute to an increase of antibiotic resistance (Berglund  
398 et al., 2015; Marti et al., 2013; Rodriguez-Mozaz et al., 2015). Interestingly, biofilms  
399 collected upstream the WWTP discharge point showed lower but detectable levels of  
400 almost all the ARGs analysed (with some exceptions, see Figure 2). This is in  
401 agreement with previous studies analysing ARGs in different river compartments  
402 (biofilms, sediments and water column) up and downstream the WWTPs inputs to the  
403 river channel (Marti et al., 2013; Pei et al., 2006; Pruden et al., 2006; Rodriguez-Mozaz  
404 et al., 2015). The idea of an existing background level of antibiotic resistance naturally  
405 occurring in the environment has been pointed out by several authors (Allen et al.,  
406 2010; Berglund, 2015; D'Costa et al., 2011) and our observation of ARG levels in UP  
407 biofilms supports this hypothesis. In the absence of point WWTP discharges, several  
408 factors may account for the maintenance of this background resistance level in  
409 streambed biofilms collected at upstream sites, namely: livestock rearing, agricultural  
410 runoff and soil leaching, which may represent diffuse sources of both antibiotic residues  
411 and ARGs into the streams.

412 We also showed that the presence of ARGs in biofilms was noticeable far downstream  
413 (*i.e.* DW1) of the WWTP discharge. This observation points out that some ARGs genes  
414 can persist in the environment, even in absence of additional punctual pollution sources  
415 such as WWTP effluents. This trend was particularly relevant in the Breda stream where  
416 the concentration of *ermB* and *qnrS* genes 1 km downstream of the WWTP discharge  
417 were significantly higher than in DW biofilms (Figure 2). This could be explained either  
418 by the presence of unknown diffuse sources of ARGs between DW and DW1 (not  
419 directly investigated in this study), or by the drift of either antibiotic resistant bacteria or  
420 ARGs originated upstream that may settled into riverbed biofilms after travelling with

421 flowing water far from the emission point. This latter possibility is unlikely in this  
422 particular case, as the “drift effect” is expected to be more relevant closer to the point  
423 source of resistant bacteria and decreases travelling downstream. In fact, a controlled  
424 spike experiment in a low-order stream showed that more than 67% of the *E. coli* added  
425 to the channel were retained in the first 200 meters (Drummond et al., 2015). The  
426 relevant decrease of water conductivity we observed between DW and DW1 at BRE  
427 (from 667  $\mu\text{S cm}^{-1}$  down to 208  $\mu\text{S cm}^{-1}$ ) evidenced that different waters probably  
428 entered the main stream channel within this river reach. Unfortunately our results can  
429 not discriminate between both hypothesis since no analyses were carried out to identify  
430 potential diffuse sources of pollution between DW and DW1 sites..

431 The pattern observed in BRE stream with *ermB* and *qnrS* is, however, not a general  
432 trend since other ARGs in other streams decreased their abundance with stream dilution,  
433 similarly to results observed by Czekalski et al. (2014) downstream of the Lake Geneva  
434 (Switzerland). Although antibiotics released from the WWTP are diluted into river  
435 water and their concentrations are expected to decrease considerably downstream, these  
436 compounds can also exert a selective pressure on autochthonous bacteria, thereby  
437 increasing horizontal transfer of resistance genes (Balcázar et al., 2015; Gullberg et al.,  
438 2011). This can be especially relevant in streams with low dilution, a common situation  
439 in semiarid streams such as the Mediterranean ones selected here, where the relative  
440 contribution of the WWTP effluent may become seasonally dominant (Kuster et al.,  
441 2008; Osorio et al., 2012). Alternatively, environmental and chemical stressors other  
442 than antibiotics (*i.e.* metals) can trigger stress-responses that stimulate ARGs transfer  
443 among prokaryotes (Baker-Austin et al., 2006).

444 In conclusion, WWTP effluents can deeply modify the characteristics of low order  
445 streams, being a relevant source of ARGs to benthic microbial biofilms. It is therefore

446 reasonable to assume that biofilms may represent an ideal setting for the acquisition and  
447 spread of antibiotic resistance determinants and thus be considered excellent biological  
448 indicators of anthropogenic pollution by pharmaceutical active compounds. However,  
449 further studies will be needed to determine if the detected increase of ARGs in biofilm  
450 microbial communities is caused either by the release of resistant bacteria from WWTPs  
451 or by a resistance response of indigenous biofilm communities to antibiotic residues  
452 discharged into the stream. A better understanding of the mechanisms and pathways  
453 involved in the acquisition and spread of antibiotic resistance will be essential to reach  
454 these goals.

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466

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573 **Figure captions**

574

575 **Figure 1.** Differences among biofilms at sampled streams and sites in: a) Ash Free Dry  
576 Weight; b) Chl-*a* content and c) Autotrophic Index. Post-hoc Tukey analysis results are  
577 shown with letters when differences resulted significant. Statistical significance was set  
578 at  $p < 0.05$  (two-way ANOVA).

579

580 **Figure 2.** Relative concentrations of the ARGs measured in the biofilms from the  
581 different sites of the studied streams. Post-hoc Tukey analysis results are shown with  
582 letters when differences resulted significant. Statistical significance was set at  $p \leq 0.05$   
583 (two-way ANOVA).



Figure

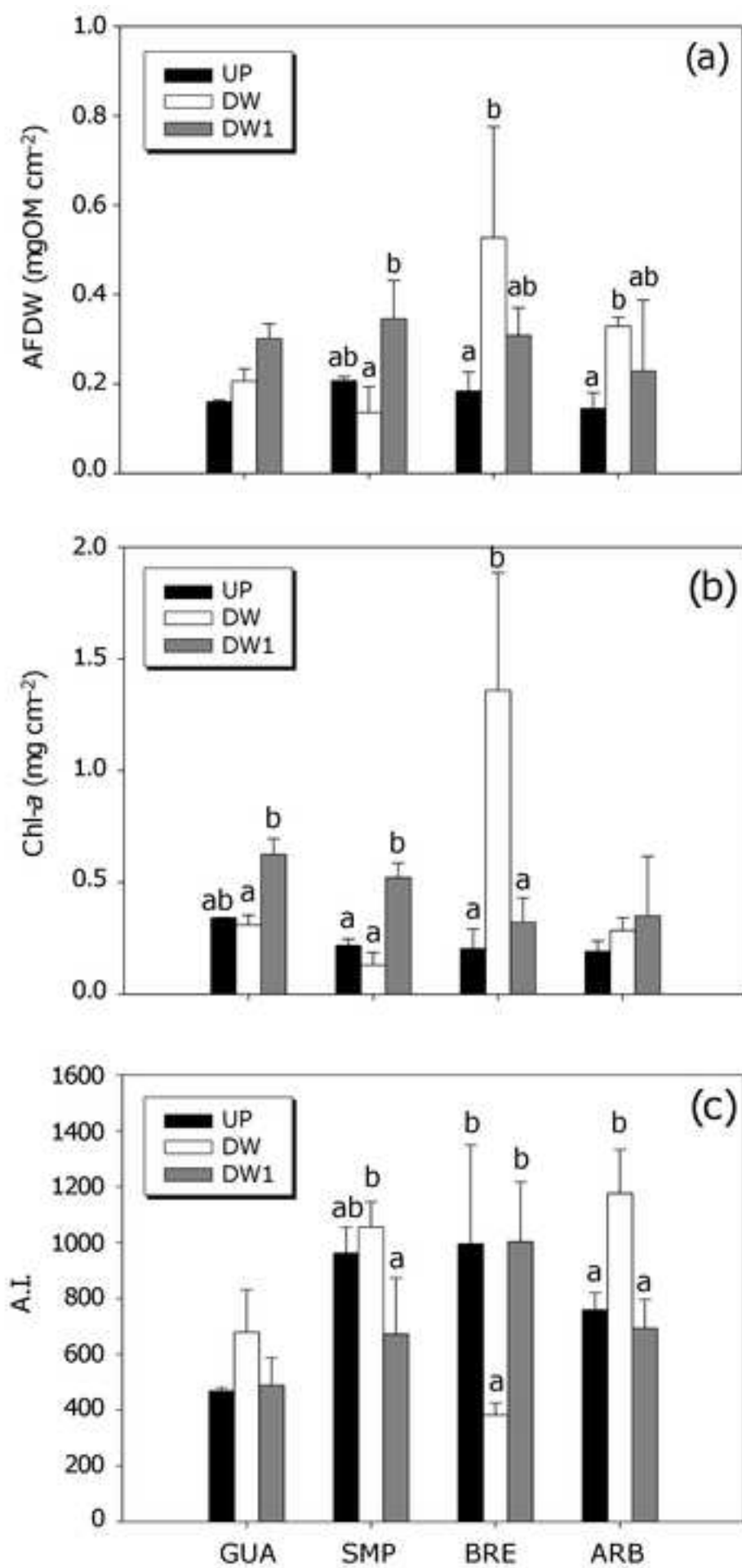
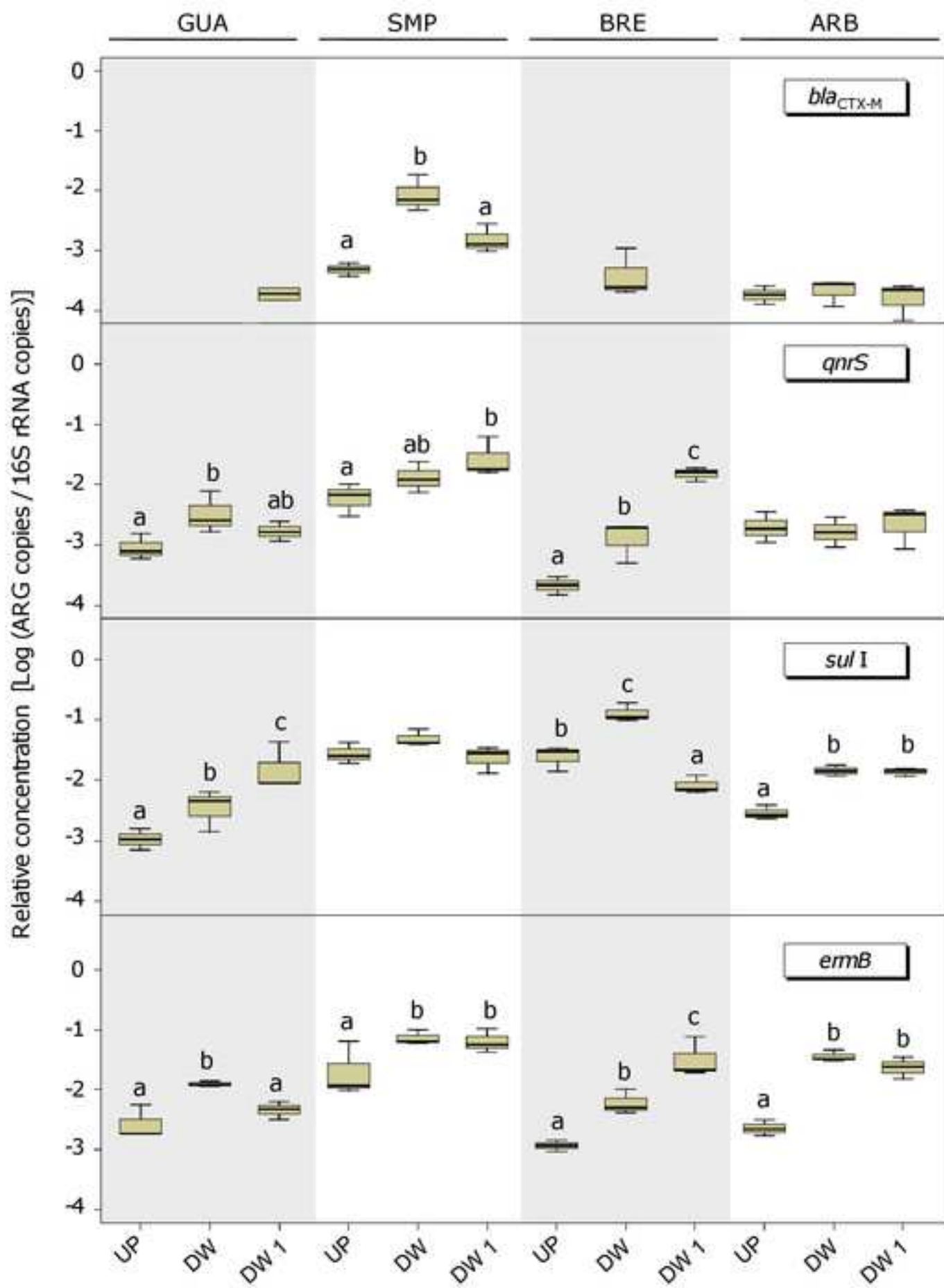
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Figure  
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**Table 1.** Location of sampling sites. Physical, chemical and hydrological variables measured at the different sampling sites of the different streams studied. GUA = Gualba; BRE= Breda, ARB= Arbúcies, SMP= Santa Maria Palautordera. Cond = Conductivity, T = Temperature, DO = Dissolved Oxygen, TOC = Total Organic Carbon, TN =Total Nitrogen, TP = Total Phosphorus.

Stream	Site	Location		Discharge (L s <sup>-1</sup> )	Cond (μS cm <sup>-1</sup> )	T °C	DO (mg L <sup>-1</sup> )	pH	TOC (mg C L <sup>-1</sup> )	TN (mg N L <sup>-1</sup> )	TP (mg P L <sup>-1</sup> )
		Long 2°E	Lat 41°N								
GUA	UP	43' 47"	30' 33"	42	140	17.3	9.42	7.83	2.90 ± 0.23	0.43 ± 0.01	0.035 ± 0.004
	DW	43' 42"	30' 38"	56	152	17.3	9.22	7.83	2.79 ± 0.29	0.99 ± 0.10	0.133 ± 0.007
	DW1	43' 22"	31' 05"	63	156	17.7	8.96	7.82	3.41 ± 0.23	1.05 ± 0.07	0.129 ± 0.004
SMP	UP	41' 04"	27' 26"	176	164	15.7	8.93	7.33	1.65 ± 0.27	1.51 ± 0.03	0.059 ± 0.014
	DW	41' 02"	27' 32"	195	217	17.9	8.73	7.42	2.57 ± 0.19	1.43 ± 0.01	0.178 ± 0.003
	DW1	40' 45"	28' 07"	197	204	18.1	8.84	7.52	2.45 ± 0.12	1.47 ± 0.02	0.195 ± 0.0003
BRE	UP	44' 12"	34' 10"	1	624	19.7	5.42	7.68	6.98 ± 0.41	1.23 ± 0.03	0.678 ± 0.016
	DW	44' 09"	34' 08"	9	667	21.5	6.3	7.74	10.37 ± 0.21	21.58 ± 0.02	2.64 ± 0.027
	DW1	43' 40"	34' 13"	7	208	17.5	7.89	7.48	3.00 ± 0.29	1.56 ± 0.004	0.313 ± 0.014
ARB	UP	48' 50"	31' 16"	151	238	17.4	9.58	8.3	1.76 ± 0.18	1.04 ± 0.001	0.054 ± 0.002
	DW	48' 52"	31' 22"	230	291	16.9	9.23	8.1	4.25 ± 0.09	2.37 ± 0.03	0.306 ± 0.021
	DW1	48' 42"	31' 53"	269	318	16.8	8.82	8.06	7.84 ± 0.32	5.43 ± 0.03	0.589 ± 0.029

**Table 2.** Relative contribution of WWTP effluents to the studied streams at DW in terms of water flow and nutrient concentration.

Stream	Contribution of WWTP effluents at DW (%)	
	Flow	Nutrients
GUA	25.0	42.0
SMP	9.7	32.2
BRE	93.6	67.1
ARB	34.5	65.7

**Table 3.** Results from the two-way ANOVA using Stream (GUA, SMP, BRE and ARB) and Site (UP, DW and DW1) factors on the measured biofilm variables. Significant effects are marked in bold.

		<b>Stream</b>	<b>Site</b>	<b>Site × Stream</b>
<b>AFDW</b>	d.f.	3	2	6
	MS	0.29	0.82	0.51
	F	3.05	8.55	5.28
	P level	<b>0.048</b>	<b>0.002</b>	<b>0.001</b>
<b>Chl-a</b>	d.f.	3	2	6
	MS	0.00009	0.00012	0.00016
	F	8.33	10.37	12.18
	P level	<b>&lt;0.001</b>	<b>&lt;0.001</b>	<b>&lt;0.001</b>
<b>AI</b>	d.f.	3	2	6
	MS	233667	38656	235666
	F	9.27	1.53	9.35
	P level	<b>&lt;0.001</b>	0.240	<b>&lt;0.001</b>
<b>ermB</b>	d.f.	3	2	6
	MS	1.62	2.51	0.31
	F	33.09	51.21	6.24
	P level	<b>&lt;0.001</b>	<b>&lt;0.001</b>	<b>&lt;0.001</b>
<b>sul I</b>	d.f.	3	2	6
	MS	1.77	0.90	0.58
	F	41.00	20.81	13.36
	P level	<b>&lt;0.001</b>	<b>&lt;0.001</b>	<b>&lt;0.001</b>
<b>qnrS</b>	d.f.	3	2	6
	MS	1.69	1.51	0.55
	F	23.99	21.37	7.81
	P level	<b>&lt;0.001</b>	<b>&lt;0.001</b>	<b>&lt;0.001</b>