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# 1 CO<sub>2</sub> in indoor environments: from environmental and health risk to potential renewable

## 2 carbon source

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## 13 Highlights

- Environmental pressure requires further use of renewable energy and carbon sources
- Indoor CO<sub>2</sub> from Direct Air Capture is assessed as potential renewable carbon feedstock
- Environmental and health risks associated to CO<sub>2</sub> exposure are presented
- Factors influencing CO<sub>2</sub> concentration in representative indoor air are reviewed
- Envisioned solutions aim to capture indoor air pollutants to transform them into green chemicals
- 19
- 20

#### 21 Graphical abstract



#### 22

#### 23 Abstract

In the developed world, individuals spend most of their time indoors. Poor Indoor Air Quality (IAQ) has a 24 25 wide range of effects on human health. The burden of disease associated with indoor air accounts for 26 millions of premature deaths related to exposure to Indoor Air Pollutants (IAPs). Among them, CO<sub>2</sub> is the most common one, and is commonly used as a metric of IAQ. Indoor CO<sub>2</sub> concentrations can be 27 28 significantly higher than outdoors due to human metabolism and activities. Even in presence of ventilation, controlling the CO<sub>2</sub> concentration below the Indoor Air Guideline Values (IAGVs) is a challenge, and many 29 30 indoor environments including schools, offices and transportation exceed the recommended value of 1000 31 ppmy. This is often accompanied by high concentration of other pollutants, including bio-effluents such as 32 viruses, and the importance of mitigating the transmission of airborne diseases has been highlighted by the 33 COVID-19 pandemic. On the other hand, the relatively high CO<sub>2</sub> concentration of indoor environments 34 presents a thermodynamic advantage for direct air capture (DAC) in comparison to atmospheric  $CO_2$ 35 concentration. This review aims to describe the issues associated with poor IAQ, and to demonstrate the 36 potential of indoor CO<sub>2</sub> DAC to purify indoor air whilst generating a renewable carbon stream that can 37 replace conventional carbon sources as a building block for chemical production, contributing to the circular

38 economy.

- 39 Keywords: climate change, Indoor air quality, health risk, CO<sub>2</sub> capture, renewable energy, biofuels,
- 40 microbial electrochemical technologies

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## 71 **1.** Motivation: The Need For Renewable Carbon Sources

# 72 1.1. Climate Change Emergency: A Serious Challenge With Present And Future

## 73 Consequences

74 Climate change is increasing the frequency and the intensity of extreme weather events such as heatwaves,

75 droughts, floods and tropical cyclones, aggravating water management problems, damaging critical

- <sup>76</sup> infrastructures, and interrupting the provision of basic services such as water and sanitation, education,
- energy, and transport (Keim, 2019). Some effects of climate change can already be quantified and are

#### summarized in Table 1.

#### Table 1

Summary of climate change effects			
Effect	Period	Reference	
The average global temperature increased by 0.85 °C	1880-2012	Intergovernmental Panel on Climate Change, 2021	
Oceans level rose by 19 cm as oceans expanded	1901-2010	Church et al., 2013	
Arctic's Sea ice extent has shrunk at a 13.1% per decade	1979-2020	Landrum and Holland, 2020	
$CO_2$ concentration in the atmosphere has increased from the pre-industrial 277 ppm <sub>v</sub> to 407.8 ppm <sub>v</sub>	1750-2018	Le Quéré et al., 2019	
Greenhouse gas emissions (GHG) grew at an average of 1 Gt CO <sub>2</sub> eq $(2.2\%)$ per year between 2000 and 2010, compared to 0.4 Gt CO <sub>2</sub> eq $(1.3\%)$ per year between 1970 and 2000	1970-2000 2000-2010	Intergovernmental Panel on Climate Change, 2015	

#### 79

80 The latest Intergovernmental Panel on Climate Change (IPCC) report states that unless there are immediate, rapid, and large-scale reductions in GHG emissions, limiting global warming to close to 1.5 °C or even 2 81 82 °C will be beyond reach (Intergovernmental Panel on Climate Change, 2021). GHGs such as methane and 83 nitrous oxide have a 25 and 298-fold higher global warming potential (GWP) than CO<sub>2</sub>, respectively 84 (Forster et al., 2007; Huang et al., 2013). However,  $CO_2$  is the most common GHG emitted by human 85 activities, in terms of quantity released and the total impact on global warming. In the US in 2019,  $CO_2$ 86 accounted for about 80% of GHG emissions (US EPA, 2021a), which was 15% of the global emission, 87 behind China with 30% (Olivier and Peters, 2020).

88 Over the past decades, the European Union (EU) has taken essential steps toward achieving its goal of

climate neutrality by 2050. Since 1990, GHG emissions in the EU have been steadily declining. In 2018,

90 GHG emissions in the EU-28 have fallen 23% below 1990 levels (European Environment Agency, 2019). 91 Actions taken by the EU, including a rapid decarbonization of the power sector, deployment of renewable 92 energy and improvements in energy efficiency, together with an increasing public awareness of climate 93 change effects, helped the EU to reach the 2020 targets (Directorate-General for Research and Innovation, 94 2018; Eureopean Commission, 2021a). In 2020, GHG emissions dropped further due to the COVID-19 95 forced confinement, resulting in the largest-ever decline in global GHG emissions (Andreoni, 2021; Han et 96 al., 2021). Daily CO<sub>2</sub> emissions decreased by 17% by early April 2020 compared with the mean of 2019 97 levels, with a 26% decrease on average during the pandemic peak (Le Quéré et al., 2020). However, this 98 improvement was only temporary and global GHG emissions continue a relentless rise. Forecasts anticipate 99 that fossil fuel consumption and CO<sub>2</sub> emissions will return to the pre-pandemic levels, and even exceed 100 them (Smith et al., 2021). Such rebound effect in global carbon emissions after COVID-19 crisis is a stark 101 warning that not enough is being done to accelerate the clean energy transition worldwide.

# 102 **1.2.** Climate Change Mitigation through Circular Economy Strategies

To efficiently and permanently fight climate change, short-term (NewClimate Institute et al., 2016) and middle-term (UNEP, 2018) actions must be aligned with long-term goals to achieve durable transformation (Falduto and Rocha, 2020). The development of new policies against GHG emissions, *i.e.* the cap and trade policy adopted in the EU since 2005 (European Commission, 2020) and incentives towards green infrastructure (Forster et al., 2020), are strongly needed.

One path towards sustainability is the adoption of a circular economy over the inefficient linear "take-makewaste" economic model. Circular economy is defined as a regenerative system in which resource input and waste, emission, and energy leakage are minimized by slowing, closing, and narrowing material and energy loops. This can be achieved through long-lasting design, maintenance, repair, reuse, remanufacturing, refurbishing, and recycling (Geissdoerfer et al., 2017). The Circularity GAP Report highlights the vast scope to reduce GHG emissions by applying circular principles- notably re-use, re-manufacturing and recycling. A circular economy intends to maximize the use of existing assets, while reducing dependence on
new raw materials and minimizing waste (Christis et al., 2019).

According to the Circular Economy Action plan guidelines developed by the European Commission, the transition from linear to circular economy must be driven by research, innovation and digitalization (European Commission, 2020a). The European Regional Development Fund, through its smart specialization, LIFE and Horizon Europe research programmes aim to complement private funding and support the whole innovation cycle. One of the aims of the Horizon Europe program within the Circular Economy Action plan is the development of novel materials and products, new production and recycling technologies, including exploring the potential of chemical recycling.

## 123 **1.3.** Renewable Carbon-Sources: From Waste to a Sustainable Solution

124 Through the Paris Agreement of December 2015, signing governments have implicitly agreed to 125 dramatically reduce the use of fossil fuels over the coming decades (Piggot et al., 2020). Later, in July 2021, 126 the EU adopted legislative proposals to achieve climate neutrality by 2050, with an intermediate target of 127 55% net reduction in GHG emissions by 2030 (European Commission, 2021b). Climate neutrality is 128 defined as the reduction of GHG emissions in all sectors to reach an absolute net-zero emission level 129 (Arikan et al., 2020). This implies moving away from fossil fuels such as crude oil and coal, which are still 130 the main energy sources (79%) (IEA, 2020; Singh et al., 2020) and the prevalent feedstock consumed in 131 the chemical industry (85%) (Levi and Cullen, 2018; Skoczinski et al., 2021), towards clean energy sources 132 such as wind, water and solar power, among others (Directorate-General for Research and Innovation, 2018; 133 European Comission, 2020b; European Environment Agency, 2019; Leonard et al., 2020).

Unlike the energy sector, the chemical and materials industry is essentially carbon-based and has no alternative to carbon sources as feedstock (Lee, 2019). This irreplaceability of carbon is due to the production of materials largely linked to the presence of carbon in their chemical composition (Cazorlaamorós, 2014; Nesbitt, 2020). Thus, it is of high importance to find greener and sustainable carbon sources for a transition to renewable carbon in the next years (Ángel et al., 2021). Carus et al. (2020a) defined as renewable carbon all the carbon sources that avoid or substitute fossil carbon from the geosphere. According to the Renewable Carbon initiative, there are only three sources of renewable carbon (Figure 1). The first one comes from the biosphere, and can be re-grown, such as all types of biomasses (food crops, non-food crops, side streams, by-products and biogenic waste) (Kalt et al., 2021; Serrano-ruiz, 2020). Using biomass as feedstock is potentially carbon neutral since plants harvest CO<sub>2</sub> during their growth, which is released back upon combustion of biomass-based products such as biofuels (Popp et al., 2014; Sheldon, 2011).

The second source of renewable carbon comes from the techno-sphere, and is obtained by recycling carbon-146 147 containing products such as plastics at the end of their life cycle (Bachmann et al., 2021; Shamsuyeva and 148 Endres, 2021). Recycling is performed by chemical technologies that break down polymeric materials to 149 monomers or larger polymerizable units, or fragments from which polymers or other useful products can 150 be made (Chanda, 2021; Rickert et al., 2020). Recycling carbon-containing products can help bringing 151 businesses closer to shaping a circular economy (Meys et al., 2020). Waste that can be recycled and injected 152 back into the economy as secondary raw material to substitute and reduce virgin materials consumption, 153 allowing to deliver the same, or a better, output with less material input (European Comission, 2020a; Haigh 154 et al., 2021). .

The third source of renewable carbon is the  $CO_2$  that comes either from the techno-sphere or the atmosphere, which can be captured from the exhaust gas of industries (Gabrielli et al., 2020; Kätelhön et al., 2019; Naims, 2016), or directly from the atmosphere, providing an almost endlessly available resource (Goeppert et al., 2012a; Marchese et al., 2021; Schellevis et al., 2021). The carbon cycle can be closed by converting industrially emitted or atmospheric  $CO_2$  using carbon capture and utilization (CCU) technologies.



**Fig. 1**. Schematic overview of the renewable carbons sources At the top: i) biomass (food crops, non-food crops, side streams, by-products and biogenic waste), ii) recycling of carbon-containing products such as plastics, and iii)  $CO_2$  capture from flue gases and direct air capture (DAC); at the bottom, the sustainable products that can be obtained from renewable carbon sources. Figure adapted from Renewable energy and renewable carbon (Carus et al., 2020a).

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## 162 1.4. Carbon Capture And Utilization Technologies: Turning Waste Into Building Blocks

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# For A Sustainable Future

Carbon Capture and Storage (CCS) and CCU technologies are increasingly important for mitigating CO<sub>2</sub> 164 165 emissions difficult to avoid (Bruhn et al., 2016; Wang et al., 2020), and minimizing the extraction of carbon 166 from the geosphere (Carus et al., 2020a). CCS uses a combination of technologies to capture the  $CO_2$ 167 released from fossil fuel usage and transport it to safe and permanent storage locations (Wilberforce et al., 2021; Zhang et al., 2014). Such technology is aimed at CO<sub>2</sub> emissions from large point sources such as 168 169 power plants, cement plants, oil refineries, and iron or steel industry installations (Sanz-Pérez et al., 2016; 170 Valentić et al., 2016; Yang et al., 2021; Zhu, 2019). Dessì et al. (2021) summarized CO<sub>2</sub> emissions for 171 specific industries such as steel mills (20-30 vol. % CO<sub>2</sub>), ceramic (20-30 vol. % CO<sub>2</sub>), glass (10-15 vol. % 172 CO<sub>2</sub>), refineries (10-20 vol. % CO<sub>2</sub>), cement industries (15-20 vol. % CO<sub>2</sub>) and power plants (10-15 vol. %

173 CO<sub>2</sub>). Other gases such as biogenic gas from fermentation and oxy-fuel combustion outlet present CO<sub>2</sub>
174 content higher than 90 vol. %.

Although CCS play an important role in mitigating CO<sub>2</sub> emissions from large sources, (Peridas and

176 Schmidt, 2021; Tamme, 2021), the IPCC AR4 reported that even under an ideal scenario, CCS technologies 177 would at the most slow down the increase of  $CO_2$  concentration in the atmosphere (Metz et al., 2005; 178 Solomon et al., 2007). CCS technologies advantages and challenges are summarized in Table 2. The challenges that CCS technologies have to overcome result in a lack of financial support that delays 179 180 their implementation worldwide (Lupion et al., 2015; Shirmohammadi et al., 2020). Moreover, there is a 181 significant social scepticism partly due to poor communication efforts in demonstration projects (Bui et al., 182 2018; De Coninck and Benson, 2014). Consequently, there has been a shift from CCS paradigm to CCU, particularly in Europe (Sayari et al., 2016). However, CCU technologies are much more complicated than 183 184 CCS technologies, primarily due to the inert nature and high thermodynamic stability of CO<sub>2</sub> (Sakakura et 185 al., 2007), the high energy input required, and the control of complex processes (Cuéllar-Franca and Azapagic, 2015). The main advantage of CCU over CCS is that utilization of CO<sub>2</sub> is a profitable activity 186 187 that produces a variety of products (Styring et al., 2011).

Table 2.

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	Description	Reference
	Mitigate CO <sub>2</sub> emissions difficult to avoid	Wang et al., 2020
	Avoid the extraction of carbon from the geosphere	Carus et al., 2020
Adventego	No risk of fires that can release $CO_2$ stored in biomass into the atmosphere	Tamme, 2021
Auvainage	Can be integrated into existing energy systems without requiring large amendments	Bui et al., 2018
	Can be combined with low-carbon or carbon-neutral bioenergy to generate negative emissions	Fuss et al., 2014
	Large parasitic load because of the low concentration of CO <sub>2</sub> in	Global CCS Institute,
	combustion flue gases, between 10-15% from coal power and 4-5%	2012; Salvi and Jindal,
	for natural gas-fired power plants	2019
Challenge	High cost of concentrating CO <sub>2</sub> (above 95.5% needed for transport	Brownsort, 2019;
	and storage)	Wetenhall et al., 2014
	Uncertainties in quantifying leakages rates and expected economic	Deng et al., 2017; Vinca
	and environmental cost of leakage	et al., 2018

188 CCU technologies aim to capture CO<sub>2</sub>, either from industrial point sources or directly from the air, and

189 transform it into valuable chemical building blocks, synthetic fuels or construction materials (CO<sub>2</sub> Value

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Europe, 2021). CCU technologies can convert CO<sub>2</sub> into fine chemicals with high market value such as methylurethane, 2-oxazolidone and isopropyl isocyanate (Yang et al., 2021) or bulk chemicals such as formic acid (Leitner, 1995), methanol (Pontzen et al., 2011), acetic acid (Gildemyn et al., 2015), urea (Xiaoding and Moulijn, 1996), butyrate (Batlle-Vilanova et al., 2017) among others.

194 The scale of fixation for a specific product is an important factor regarding CCU technologies (Yu et al., 195 2008). While fine chemicals, or those of pharmaceutical interest, have limited demand from hundreds of 196 tons annually, other production lines can have a higher impact on the overall worldwide carbon emissions. 197  $CO_2$  can be used to produce chemicals that can store chemical energy like methanol, dimethyl carbonate 198 (DMC) and dimethyl ether (DME) (Ganesh, 2011; Razali et al., 2012), while fuels such as light olefins and 199 liquid hydrocarbons can be produced from direct hydrogenation of  $CO_2$ , similarly to the Fischer-Tropsch reaction of CO and H<sub>2</sub> (Sakakura et al., 2007; Steynberg, 2004). Another CCU technology that has shown 200 201 a significant impact on carbon emissions is Mineral Carbonation Technology (MCT). In MCT, CO<sub>2</sub> is 202 chemically reacted with calcium and/or magnesium-containing minerals to form carbonate materials (Olajire, 2013). MCT can be considered both CCS and CCU, however, the latter applies if the intended 203 204 application of carbonate goes beyond storing  $CO_2$ , by using it as a material in the construction industry 205 (Bodor et al., 2013; Ghiat and Al-Ansari, 2021; Woodall et al., 2019).

CCU pathways are mainly divided into five wide-ranging categories as summarized in Table 3 (Ghiat and 206 207 Al-Ansari, 2021). Some authors consider enhanced oil recovery (EOR) and enhanced gas recovery (EGR) 208 as a direct utilization of CO<sub>2</sub>, where it is injected into depleted oil and natural gas fields (Norhasyima and 209 Mahlia, 2018), while others argue their inclusion as CCU technologies because EOR and EGR may foster 210 the continued use of fossil fuel resources in combination with carbon capture (Olfe-Kräutlein, 2020). 211 Chauvy et al. (2019) proposed a simpler CCU classification with two big categories: direct use of  $CO_2$ , and 212  $CO_2$  conversion. A large number of research studies on CCU technologies based on  $CO_2$  conversion have 213 been published recently (Dessì et al., 2021; Guzmán et al., 2021; McQueen et al., 2021; Rovira-Alsina et

#### al., 2021; Schievano et al., 2019), principally motivated by the increase of political and public awareness

about climate change.

CCU technologies classification					
Category	Example	Product	Reference		
Conversion to chemicals	CO <sub>2</sub> recycling platform based on	First step: acetic acid and	Romans-Casas		
and fuels	two separated steps	ethanol; second step:	et al., 2021		
	(bioelectrosynthesis and	butyric and caproic acid			
	fermentation)				
Mineral carbonation	Mineral carbonation of pulp and	Calcium carbonates (CaCO <sub>3</sub> )	Spínola et al.,		
	paper industry waste		2021		
Enhanced oil recovery	Inject CO2 into the oil and gas	Oil and Gas	Norhasyima		
(EOR) and enhanced gas	reservoirs to recover the oil		and Mahlia,		
recovery (EGR)	trapped in the rocks.		2018		
<b>Biological conversion</b>	CO <sub>2</sub> absorption and fixation by	Biofuel production, animal	Pahunang et		
	algae and other terrestrial crops	feed	al., 2021		
	via the photosynthesis process				
Direct CO <sub>2</sub> utilization	CO <sub>2</sub> is used as a refrigerant, for	Food and beverage industry	Zhu, 2019		
	carbonation of beverages, and				
	food preservation				

Table 3.

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Although CCS technologies mostly aim at  $CO_2$  emissions from large point sources (Zhang et al., 2014), CO<sub>2</sub> also originates from numerous other sources, including medium-scale CO<sub>2</sub> releasing sources from commercial and industrial buildings and small sources such as the transportation sector (Ghiat and Al-Ansari, 2021; Rossing and Chiaverina, 2019). Between one-third and one-half of total CO<sub>2</sub> emissions are associated with billions of distributed sources such as vehicles, airplanes, and furnaces used in residential and commercial buildings (Lackner et al., 2012). Hence, more attention is needed towards capturing CO<sub>2</sub> directly from the atmosphere.

## **1.5.** CO<sub>2</sub> From Direct Air Capture As Renewable Carbon Feedstock

Direct Air Capture (DAC) is a promising approach for atmospheric Carbon Dioxide Removal (CDR) firstly introduced by Lackner et al. (1999), although several authors claim that technologies for air purification have been introduced already in the 1940s and 1950s for use in submarines and spacecrafts (Sanz-Pérez et al., 2016; Satyapal et al., 2001; Tepe and Dodge, 1943). Beuttler et al. (2019) defined DAC as a range of technological solutions to extract  $CO_2$  from ambient air at any location on the planet In particular, the purpose of DAC technologies is to capture  $CO_2$  from air and produce a more concentrated stream of  $CO_2$  231 for storage or utilization (McQueen et al., 2021). Originally, the cost of DAC was argued to be prohibitively 232 high (Herzog, 2003) as a result of the extreme dilute nature of atmospheric  $CO_2$  (Bui et al., 2018). However, 233 recent reports demonstrate that DAC has a sufficient technical maturity for economic feasibility (Keith et 234 al., 2018). According to the International Energy Agency (IEA) there are 15 DAC plants operating 235 worldwide, where the primary industrial developers are Carbon Engineering (Canada), Climeworks 236 (Switzerland), and Global Thermostat (USA) (McQueen et al., 2021). Unlike CO<sub>2</sub> capture from flue-gas, 237 DAC does not operate in the presence of high levels of contaminants (SO<sub>x</sub>, NO<sub>x</sub>, and mercury), as occurs in many industry emissions, and does not need to achieve near-complete CO<sub>2</sub> removal in a single pass. 238

239 Special focus in the field of DAC technologies has been placed towards sorbent selection (Azarabadi and Lackner, 2019; Goeppert et al., 2012a; Sanz-Pérez et al., 2016), unit operation design (Lackner, 2013; 240 241 Wurzbacher et al., 2016; Zhang et al., 2014) or basic process development (Bretherton, F. and Bretherton, 242 1961; Goeppert et al., 2012a; Mazzotti et al., 2013). According to Schellevis et al., two major technologies 243 are considered for DAC on commercial scale (Schellevis et al., 2021): absorption using alkaline solutions 244 where aqueous KOH is the capture medium and a calcium caustic loop is used to recover CO<sub>2</sub> (Keith et al., 245 2018; Lackner et al., 1999), and adsoption using amine-functionalized solid sorbents, in which CO<sub>2</sub> reacts 246 with amine-groups on the internal surface of the sorbent and then CO<sub>2</sub> is recovered by a temperature and/or 247 vacuum swing (Bos et al., 2019; Elfving et al., 2021). The majority of DAC studies with sorbents have 248 focused on solid-supported amine materials (Sanz-Pérez et al., 2016; Schellevis et al., 2021). Aqueous 249 solvent-based approaches typically require high temperatures (over 800 °C) and an oxygen atmosphere to 250 recover CO<sub>2</sub> as calcium carbonate, and to regenerate the alkaline sorbent (Williams and Custelcean, 2020). 251 Furthermore, due to the low  $CO_2$  concentration in air, the energy penalty caused by the latent and sensible 252 heat required to desorb CO<sub>2</sub> from the alkaline medium is higher than the separation from solid sorbents 253 (McQueen et al., 2021; Zhang et al., 2016). DAC using amine-specialized sorbents is thus less energy 254 intensive, as the desoprtion process can be carried out at milder temperatures (below 110 °C) (Goeppert et 255 al., 2014, 2012a). The mild operating conditions of amine-specialized sorbents makes them suitable for 256 capturing CO<sub>2</sub> from enclosed spaces such as submarines (Carey et al., 1983; Lackner et al., 1999) and 257 spaceships (Carey et al., 1983; Satyapal et al., 2001), which opens the door to explore the application of 258  $CO_2 DAC$  into other types of indoor spaces. As suggested by Modak et al. (2020), DAC has a wide scope 259 for implementation in enclosed environments, which has remained unexploited to date, although research 260 on novel materials is still limited. This technology can only be implemented on a broad scale if  $CO_2$ 261 selective, abundant, sustainable and low-cost materials are developed. Further details about technical (Al-262 Absi et al., 2022; Goeppert et al., 2014, 2012b; Sanz-Pérez et al., 2016) and economic feasibility of CO<sub>2</sub>-263 DAC can be found elsewhere (Chen and Tavoni, 2013; Daniel et al., 2022; Socolow et al., 2011; Wang et 264 al., 2013; Zhang et al., 2014).

265 The main goal of this review is to assess the potential of  $CO_2$  from indoor air environments as a renewable carbon source to minimize, reduce and ultimately substitute fossil fuel carbon sources. Specifically, this 266 267 work provides insights on indoor air quality, focusing on the health effects of  $CO_2$  and other indoor air 268 pollutants in three representative indoor environments (school classrooms, office environments and 269 underground transport vehicles), examining them as potential indoor environments to perform DAC (iCO2-270 DAC). Typical  $CO_2$  concentrations in such spaces, substantially higher than atmospheric concentrations, 271 are reported and discussed, and the different factors contributing to the CO<sub>2</sub> concentration in indoor 272 environments and current mitigation strategies are reviewed. The information provided lays the basis to 273 perform a techno-economic assessment of iCO<sub>2</sub>-DAC that is still missing in the literature.

274 2. Indoor Air Quality

The World Health Organization (WHO) identified exposure to poor air quality (indoors and outdoors) as the most important environmental threat to global public health, with 90% of the world population exposed to air quality below that recommended by WHO guidelines (Health Organization, 2016). While epidemiologic evidence indicates a relationship between outdoor and indoor pollutants (Brunekreef et al., 2005; Yocom, 1982), indoor air pollutants (IAPs) concentrations are higher than outdoor air pollutants (Leung, 2015). IAPs of concern include: particulate matter (PM), biological organisms (fungal spores, bacteria and viruses), allergens, over 400 different chemical compounds, mainly volatile organic 282 compounds (VOCs) such as benzene, toluene, methanol, ethylbenzene and xylene, inorganic compounds 283 (ICs) such as carbon monoxide (CO), carbon dioxide (CO<sub>2</sub>), nitrogen oxides (NO<sub>x</sub>) and ozone (O<sub>3</sub>), amongst 284 others (González-Martín et al., 2021; Kraakman et al., 2021; López de León et al., 2019). To understand the 285 effect of IAPs over human health, it is key to characterize and identify the IAPs and quantify the exposure 286 characteristics. The IAP level is determined by the ventilation rate, and by the concentration, magnitude 287 and density of internal sources. Ventilation rates for buildings are normally designed to prevent reaching 288 unhealthy or uncomfortable pollution levels, but it leads to high energy consumption on heating and cooling 289 of building. Therefore, the most efficient IAP reduction strategy begins with an emission reduction on the 290 source, and dilution with external air. However, there is a need for technologies to improve indoor air quality, and many many research efforts are directed to improve physic-chemical pollution abatement and 291 292 on the use of new tools, such as biotechnologies (Kraakman et al., 2021).

293 2.1. Sources of Indoor Air Pollutants

294 Luengas et al. (2015) grouped IAPs sources into four large categories: 1) endogenous sources, which can 295 be permanent (building materials, carpets, paints, varnishes, etc.) (Harb et al., 2018; Katsoyiannis et al., 296 2012; Kelly and Fussell, 2019) or occasional (furniture, cleaning and disinfection products, cooking, 297 personal care products, tobacco smoke, etc.) (Kaunelienė et al., 2018; Steinemann et al., 2011); 2) human 298 metabolism (Liu et al., 2017; Tang et al., 2016); 3) reaction products in an indoor environment (Nazaroff 299 and Goldstein, 2015; Wells et al., 2017), and 4) infiltration from the outdoor environment. Many studies 300 state that endogenous sources and human activity related emissions are the major sources of IAPs 301 (Gunschera et al., 2013; Tran et al., 2020). Reaction products might play an important role in indoor air 302 chemistry in the presence of gas phase oxidants like O<sub>3</sub>, hydroxyl radicals and nitrate radicals (Wells et al., 303 2017), which most of the time are released as by-products of incomplete oxidation of other IAPs by indoor 304 air treatment technologies (Debono et al., 2017). Such compounds are hardly measurable by conventional 305 methods and tools due to their low concentration (Farmer, 2019). Indoor air pollution from outdoor 306 environment occurs when outdoor-sourced contaminated air enters indoor spaces and combines with indoor 307 pollutants (González-Martín et al., 2021). Outdoor-sourced contaminated air can have both natural and

anthropogenic origin, the latter being the predominant source (Chen and Zhao, 2011). It includes
automobile emissions (Perry and Gee, 1994), industrial emissions (Tunno et al., 2015) and many
combustion processes such as coal burning, (Zhang and Smith, 2007). Residential biomass burning is also
one of the major sources of air pollutants, including PM<sub>2.5</sub> (Shen et al., 2020b). The most relevant IAPs
sources and types are summarized in Table 4.

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#### Table 4.

Indoor air space	Source	IAP	Type of	Reference
			source	
Home	Smoking	PM and VOCs	Occasional	Vanker et al., 2019
	Woodstoves	PM, CO, $CO_2$	Occasional	Vicente et al., 2020
	Building materials	VOCs	Permanent	Wang, 2018
	Earth radon	Radioactivity	Permanent	Jassim and Isaifan,
				2018)
	Furnishings	VOCs, PM	Permanent	Chang et al., 2019
	Household products	VOCs	Occasional	Zota et al., 2017
	Car exhaust	$PM, NO_x, CO, CO_2, VOCs$	Occasional	González-Martín et
	Human matabalism	CO. CO VOC	Dormonont	al., $2021$
	Human metabolism	CO <sub>2</sub> , CO, VOCS,	Fermanent	Douwes et al., 2005
Office	Smoking	PM VOCs	Occasional	Kounalianà at ol
Once	Shloking	rw, voes	Occasional	2018
	Building materials	VOCs	Permanent	Šeduikyté and
	2 minung materials		1 011110110	Bliüdžius, 2005
	Printers and photocopy	VOCs, PM, O <sub>3</sub>	Occasional	Destaillats et al.,
	machines-	, , , ,		2008
	Cleaning products	VOCs,	Occasional	(Paciência et al.,
				2016)
	Air-conditioning	CO, CO <sub>2</sub> , NO <sub>x</sub> , VOCs, PM	Permanent	Yu et al., 2009
	Human metabolism	CO <sub>2</sub> , CO, VOCs,	Permanent	Cheng et al., 2012;
		Bioaerosols		JG. et al., 2016
Transportation	Wear metal emissions due	PM	Occasional	Aarnio et al., 2005
vehicles	to friction between wheels			
	and rails brake pads			
	Metals vaporization due to	PM	Occasional	Mohsen et al., 2018
	sparking			
	Outdoor air infiltration	PM, CO <sub>2</sub>	Occasional	Leung, 2015
	Building materials	VOCs	Permanent	Cao et al., 2019
	Human metabolism	CO <sub>2</sub> , CO, VOCs,	Permanent	Passi et al., 2021
	<b></b>	Bioaerosols		
	Chemicals and lubricants	VOCs	Occasional	Shiohara et al., 2005

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## 2.2. Exposure to Indoor Air Pollutants

317 The second factor influencing the effect of IAPs over human health is the exposure, which is defined as the 318 contact over time and space between a person and one or more biological, chemical or physical agents 319 (National Research Council, 1991). Several methods to assess exposure in human populations have been 320 developed over time (International Programme on Chemical Safety (IPCS), 1993). An exposure assessment 321 requires a complex analysis of different aspects about the contact between people and hazardous substances 322 (International Programme on Chemical Safety (IPCS), 2000). According to Sexton et al. (1995)<sup>i</sup> the most 323 important aspects of exposure analysis, are: 1) agents (biological, chemical, physical, single agent, multiple 324 agent, mixtures), 2) sources (anthropogenic/non-anthropogenic, area/point, stationary/mobile, indoor/outdoor), 3) transport/carrier medium (air, water, soil, dust, food, product/item), 4) exposure 325 326 pathway (ingestion of food, breathing contaminated air, touching residential surface), 5) exposure concentration units (food-mg/kg, water-mg/liter, air-µg/m<sup>3</sup>, contaminated surface-mg/cm<sup>2</sup>, weight-%, 327 328 fibres/ $m^3$ -air), 6) exposure route (inhalation, dermal contact, ingestion, multiple routes), 7) exposure duration (seconds, minutes, hours, days, weeks, months, years, lifetime), 8) exposure frequency 329 (continuous, intermittent, cycling, random, rare), 9) exposure setting (occupational/non-occupational, 330 331 residential/non-residential, indoor/outdoor), 10) exposed population (general population, population 332 subgroups, individuals), 11) geographic scope (site/source specific, local, regional, national, international, 333 global), 12) time frame (past, present, future, trend).

From the aforementioned exposure parameters, the first six are related to the pollutant characteristics and properties and allow estimating the pollutant concentration. The last six are related to the person and the exposure time. Although the concentration of IAPs and the number of carcinogenic air pollutants has decreased since the 1950s (Weschler, 2009), the technological development and the rise of specialized occupational activities have drastically increased the time we spend indoors versus outdoors (Luengas et al., 2017). While we have gained knowledge about IAPs, we currently spend ~80-90% of the time indoors (Boor et al., 2017; N.E. Klepeis et al., 2001)<sup>-</sup> This behaviour increases our exposure to IAPs (Dales et al., 2008; Leech et al., 2002), and their long-term health effects have become more apparent over the last decades, as current building designers prioritize energy savings over IAPs concentrations (González-Martín et al., 2021). Consequently, the scientific community is increasingly investigating the impact of IAPs on human health (Allen et al., 2016; Bernstein et al., 2008; Boor et al., 2017; Erdogan et al., 2012; Seppanen et al., 1999; Tham, 2016; Tran et al., 2020; Zhang et al., 2017a).

346 **2.3.** Indoor Air Quality And Human Health

347 The consequences of bad Indoor Air Quality (IAQ) can be quantified and have been summarized by the 348 WHO (World Health Organization, 2021) as follows: 1) 91% of the global population breathes poor-quality 349 air; 2) about 7 million people die every year due to indoor and outdoor air pollution, mainly from low and 350 middle-income countries; 3) each year, close to 4 million people die prematurely from illness attributable 351 to household air pollution due to the use of solid fuels and kerosene. Moreover, hazardous stoves, source 352 of pollutants such as particulate matter (PM), volatile organic and inorganic compounds (VOCs and VICs, 353 respectively), are commonly used; 4) household air pollution causes non-communicable diseases including 354 strokes (18%), ischaemic heart disease (27%), chronic obstructive pulmonary disease (COPD) (20%) and 355 lung cancer (8%); 5) around 2.6 billion people cook using polluting open fires or simple stoves fueled by 356 kerosene, biomass (wood, animal dung, and crop waste) and coal; 6) 45% of children under the age of 5 357 who died of pneumonia became ill from IAPs. Household air pollution also contributes to 28% of all adult 358 deaths from pneumonia. Simultaneous exposure to multiple substances is of high relevance in public 359 settings, especially for vulnerable groups such as children, given the co-occurrence of chemicals and the 360 time spent indoors. The latest evidence of the negative health impacts of indoor air pollution in public 361 settings for children was recently reviewed by the WHO (World Health Organization, 2021). A WHO's 362 recent document provides measures to reduce risks for children's health from combined exposure to 363 multiple chemicals in indoor air in public settings for children (World Health Organization, 2022).

The most common health symptoms caused by short-term exposure to IAPs are ocular and respiratory system irritation (eyes, nose, and throat), headaches, dizziness, fatigue and nausea (Paleologos et al., 2021), whereas chronic exposure to IAPs can cause different types of cancer (breast and lungs) (Brody et al., 2007; Pershagen, 1990), asthma (Rumchev, 2004), cardiovascular diseases (Tran et al., 2020), damage to the liver (Kim et al., 2014), kidneys (Afsar et al., 2019), reproductive system (Veras et al., 2010), endocrine system (Rudel and Perovich, 2009) and central nervous system (Kim et al., 2020). Nowadays, there is a solid body of scientific evidence that correlates IAPs and all the above-mentioned health symptoms and diseases. Nonetheless, affection to the respiratory system is the most important among the disorders associated with bad IAQ, since inhalation is the major exposure route for IAPs (Hulin et al., 2012).

Besides acute health symptoms, IAPs can also affect the cognitive performance of office workers (Allen et al., 2016; Zhang et al., 2017b). A cognitive and physical performance degradation occurs when PM, VOCs and CO<sub>2</sub> accumulates in indoor air spaces with limited air renovation (Allen et al., 2016; Zhang et al., 2017b). A cognitive performance study followed 302 office workers in 6 countries for a year and observed a 0.8-0.9% slower response times for every 10  $\mu$ g/m<sup>3</sup> increase in PM<sub>2.5</sub>, and 1.4-1.8% slower response times for every 500 ppm<sub>y</sub> increase in CO<sub>2</sub> (Cedeño Laurent et al., 2021).

#### 379 2.4. Indoor Air Pollutants

IAPs can be divided in four groups (Gibson et al., 2019): 1) radon; 2) VOCs (alkanes, formaldehyde, esters, ketones and aromatic organic compounds); 3) biological organisms (fungal spores, bacteria and viruses) and allergens (pollens, moulds, mites, insects); 4) combustion and Environmental Tobacco Smoke (ETS) products (PM, wood/coal smoke,  $CO_x$ ,  $NO_x$ ,  $SO_x$ ). The main compounds found in indoor air can be divided according to their chemical nature: 1) VOCs, 2) Biological contaminants, 3) Particulate matter and 4) Inorganic gaseous pollutants. The main pollutants of each class are described in this section. Further information about IAPs is gathered in the WHO guidelines (World Health Organization, 2010).

## 387 2.4.1. Biological Contaminants: Organisms and Allergens

388 Biological contaminants can be divided into two categories: organisms and allergens (Dales et al., 2008).

389 Besides, the US EPA introduced the concept of airborne biological pollutants (ABPs), which include: 1)

390 pollens, which originate from plants, 2) viruses, which are transmitted by people and animals, 3) mold, 4)

bacteria, which are carried by people, animals, and soil and plant debris, 5) skin flakes, dander and saliva
of household pets, 6) dropping and body parts of cockroaches, rodents and other pests and insects, 7)
proteins in urine from rats and mice, 8) mold, mildew and other sources of biological contaminants from
contaminated central air handling systems (US EPA, 2021b). Although most ABPs sources are domestic
(Fan et al., 2021; Rosa et al., 2013), they can be found in a large variety of indoor environments such as
offices (Reynolds et al., 2016; Wolkoff et al., 2021), and transportation vehicles (Passi et al., 2021; Xu and
Hao, 2017).

ABPs can affect human health by a variety of biological mechanisms including infections from rhinitis
(Lemanske, 2003), inflammations such as alveolitis, atopic dermatitis, allergies such as contact urticaria
and pseud-allergic and hypersensitivity reactions such as atopic eczema (Michel et al., 2022).

The COVID-19 pandemic has further highlighted the importance of IAQ, since the disease is mainly transmitted through respiratory aerosols (Greenhalgh et al., 2021) just like other respiratory diseases (Wang et al., 2021). The Healthcare Infection Control Practices Advisory Committee (HICPAC) suggested that a person can become infected at long distances from the contagious person by airborne/aerosol transmission (Jones and Brosseau, 2015; Siegel et al., 2007).

406 A threshold between respiratory aerosols and droplets has been indicated at an aerodynamic diameter of 407 100 µm, considering the transport phenomena and exposure routes (inhalation for aerosols vs. impaction 408 for droplets) (Prather et al., 2020; Tang et al., 2021). For COVID-19, it has been estimated that the risk of 409 transmission in indoor spaces is 19 times higher than outdoors (Bulfone et al., 2021), which can only be 410 explained by aerosol transmission due to poorly ventilated spaces, increasing the amount of virus being 411 inhaled by the susceptible population (Tang et al., 2021). As shown in Figure 2, without sufficient 412 ventilation with outdoor air or mechanical air filtration, aerosols containing respiratory pathogens from an 413 infected individual will accumulate and remain airborne for minutes to hours. Such conditions increase the 414 probability of respiratory disease transmission, which can be mitigated using high efficiency filtration

- 415 masks, ventilating with outdoor air, and increasing the mechanical filtration efficiency of air in indoor416 spaces.
- Historically, many pathogens were considered only transmissible through ballistic droplets and later known
  to be transmissible through aerosols, e.g. measles (Riley et al., 1978; Riley, 1982), SARS (Yu et al., 2004),
  MERS (Kim et al., 2016), influenza (Cowling et al., 2013; Tellier, 2009) and most recently SARS-CoV-2
  (Greenhalgh et al., 2021; Tang et al., 2021). To avoid repeating this mistake and prevent respiratory disease
  transmission, the IAQ must be improved, similarly to how enteric diseases have been reduced through safe
  drinking water and sanitation services (Brown et al., 2013).



Fig. 2. Graphical representation of aerosol transmission of respiratory diseases in a room. Figure created with Biorender.

425 **2.4.2.** Volatile organic compounds

VOCs have been related to a large spectrum of illnesses ranging from mild such as irritations (Chen et al., 2005) to very severe diseases such as cancer (Manisalidis et al., 2020). The main VOCs found in indoor air environments are benzene, toluene, xylenes and aldehydes (formaldehyde and acetaldehyde) which should be considered priority pollutants due to their health effects (Harb et al., 2018). Formaldehyde (HCHO) is the most familiar VOC in indoor air pollution, since its major sources are indoor construction materials such as particleboard, fiberboard and plywood. The concentration of formaldehyde is much higher
in residential buildings compared with office buildings because of the large ratio of pressed wood products
to air volumes in homes (Bernstein et al., 2008).

434 Among the most common aromatic organic compounds found in indoor air environments, benzene is the 435 one with the major health risk. Benzene is a carcinogenic compound via genotoxic mechanism in humans 436 (Becerra et al., 2020) and no safe level of exposure are recommended (World Health Organization, 2010). 437 Both the acute, non-carcinogenic effects of exposure to high concentrations of benzene and the carcinogenic 438 effects of long-term exposure to lower concentrations have been widely investigated (Duarte-Davidson et 439 al., 2001; Garcia-Jares et al., 2019; Kodavanti et al., 2015). Although benzene is mainly generated outdoors by the transport sector (combustion of fossil fuels), it is also found indoor due to outdoor air intrusion. 440 441 Indoor sources include combustion events (heating, cooking, smoking, etc.), building materials, furniture, 442 and solvents among others (Kaunelienė et al., 2018; Sarkhosh et al., 2021).

443 Another relevant organic aromatic compound found in indoor air environments is toluene, an aromatic alkylbenzene hydrocarbon present in many commercial products, including adhesives, paint thinners, and 444 cleaning agents (Low et al., 1988; Meek et al., 1994; Win-Shwe and Fujimaki, 2010). Toluene is one of the 445 446 main additives of unleaded gasoline, containing up to 35 % of toluene by volume (Vulimiri et al., 2017). 447 Due to its chemical structure, toluene is highly lipid soluble, therefore it readily crosses the blood-brain 448 barrier and has an affinity for white matter (Eicher, 2009). Similarly to benzene, intrusion of outdoor air 449 containing toluene is one of the major toluene sources in indoor environments including home/office environments (Poza-Casado et al., 2021; Rosário Filho et al., 2021; Shrestha et al., 2019) and underground 450 451 transportation vehicles (Cao et al., 2019; Elsaid and Ahmed, 2021), especially those based on fossil fuels 452 (Chiu et al., 2015; Perry and Gee, 1994).

453 2.4.3 Particulate matter pollution

454 Particulate matter (PM) pollution includes particles with diameters of 10  $\mu$ m or smaller, called PM<sub>10</sub>, and 455 fine particles with diameters around 2.5  $\mu$ m, called PM<sub>2.5</sub> (Manisalidis et al., 2020). Some authors divide 456 PM into several categories, according to the type and size (Heal et al., 2012): smog, soot, tobacco smoke, 457 fly ash, biological contaminants and types of dust (atmospheric, heavy and settling dust). Environmental 458 Tobacco Smoke (ETS) products are a major source of PM in places where is allowed to smoke inside, 459 accounting for as much as 50-90% of the total indoor PM concentration (World Health Organization, 2010). 460 Early studies about ETS exposure (Öberg et al., 2011), revealed that almost half of the world's children 461 (40%) are regularly exposed to ETS followed by non-smoking women (35%) and men (33%). An ambient  $PM_{2.5}$  increase of 10 µg/m<sup>3</sup> has been associated with 15-27% increased risk of lung cancer mortality in a 462 463 cohort study that followed 188,699 lifelong never-smokers from 1982 to 2008 (Turner et al., 2011). Most 464 of ETS and combustion products are present in home environments, but some of them, such as PM and 465 CO<sub>2</sub> are also largely present in office environments (Cheng, 2017; Zhang et al., 2020), schools (Becerra et 466 al., 2020; Janssen et al., 2001) and transportation vehicles (Aarnio et al., 2005; Cheng et al., 2012; Kam et 467 al., 2011). A strong correlation of respiratory symptoms, such as lung function reduction, bronchial 468 hyperresponsiveness, asthma and COPD, with high concentrations of ETS and other combustion products 469 such as PM in indoor environments frequented by smokers has been established by several authors (Samet et al., 1987a, 1987b; Wolkoff, 2018). Further information regarding the association between PM and 470 adverse health effects can be found elsewhere (Kelly and Fussell, 2012). 471

472 **2.4.4** 

#### .4 CO<sub>2</sub> and other inorganic gases

473 Inorganic gas pollutants found in indoor air include, among others, CO<sub>2</sub>, CO, SO<sub>2</sub>, NO<sub>2</sub>, O<sub>3</sub>, radon (Rd) and 474 acidic gases such as HCl and HNO<sub>3</sub> arising from outdoor-to-indoor transport and thermal decomposition of 475 PVC (Zhang and Smith, 2003). The presence of these gases in urban outdoor environments has gained 476 attention due to increasing traffic and industrial emissions, while its infiltration and accumulation in indoor 477 air causes exposition to building occupants. The concentration of pollutants such as CO,  $NO_2$  and  $SO_2$  has 478 been monitored in schools, where there are not internal sources of such gases (Blondeau et al., 2005; Lee 479 and Chang, 2000; Rivas et al., 2014), concluding that indoor concentration depended on the surrounding 480 emissions, traffic intensity, seasonality, ventilation and buildings permeability. On the other side, 481 combustion in gas stoves and woodstoves are the principal source of CO, SO<sub>2</sub> and NO<sub>2</sub> in households(Seals

and Krasner, 2020; Vicente et al., 2020). Unappropriated combustion and the use of improperly operated
and maintained appliances is the leading cause of CO poisoning, which occurs due to a strong binding
between haemoglobin and CO that can cause death (Zhang and Smith, 2003). Indoor NO<sub>2</sub> exposure is
associated to high temperature combustion on gas stoves, and constitutes a higher risk factor for respiratory
illnesses compared with electric stoves (Basu and Samet, 1999).

Ozone is a strong oxidizing agent that can be generated by photocopies and laser printers (Destaillats et al., 2008), as a by-product of the electro-photographic process. It causes breathing problems and irritate mucous membranes, reduce lung function, exacerbate asthma, irritate eyes and nose, reduce resistance to colds and other infections and speed up ageing of lung tissue (Mendell and Heath, 2005; Zhang and Smith, 2003). Furthermore, it reacts with other volatile compounds to form contaminant by-products (Nazaroff and Goldstein, 2015; Wells et al., 2017).

493 Radon, one of the major concerning IAPs, is a naturally occurring radioactive gas which can be found in 494 soils, rocks and water (Leung, 2015; Thang et al., 1995). In outdoor air, it is not considered a threat to public health because it is quickly diluted in the atmosphere (Bowie and Bowie, 1991; Vogiannis and 495 496 Nikolopoulos, 2015). However, it accumulates in closed environments with poor ventilation through cracks 497 and holes in the foundation and some studies consider building materials an important source of radon in 498 indoor environments (Dales et al., 2008; Denman et al., 2007). Exposure to radon isotopes is the second 499 most important cause of lung cancer in many countries (Petersen and Larsen, 2006). A recent study indicates 500 that in 2018 there were 226,057 radon-attributable lung cancer deaths in 66 countries (Gaskin et al., 2018).

Indoor  $CO_2$  comes primarily from combustion reactions during household activities such as cooking (Shen et al., 2018), heating (Shen et al., 2020b), smoking (Baker, 1983) and from human metabolism (Shen et al., 2020a). Metabolic  $CO_2$  release by respiration has a major impact on the overall  $CO_2$  concentration in office environments and transportation vehicles. The next sections will focus on  $CO_2$ , discussing in details its concentration in indoor environments and proposing potential solutions to improve IAQ avoiding health effects attributable to its accumulation in indoor spaces.

# 507 3. Potential of Indoor CO<sub>2</sub> Direct Air Capture (iCO<sub>2</sub>-DAC) as renewable carbon 508 feedstock

This section gives insights on  $CO_2$  concentration in indoor air and the causes and consequences of its accumulation. Furthermore, the potential of performing indoor  $CO_2$  Direct air capture (i $CO_2$ -DAC) in these environments is explored. Performing i $CO_2$ -DAC in indoor environments would contribute to human health by improving IAQ and reduce the energy expenses of cooling and heating the spaces for occupant's comfort.

## 514 **3.1.** Health effects of CO<sub>2</sub> accumulation

515  $CO_2$  is a product of cellular metabolism generated during the Krebs cycle, which takes place in the mitochondria (Huttmann et al., 2014; Osellame et al., 2012). CO<sub>2</sub> enters the bloodstream by diffusion, 516 517 eventually reaches the pulmonary capillaries by convection and then diffuses through the alveolar 518 membrane into the alveoli, where it can be eliminated via the airways (Patel et al., 2021). The driving force 519 for the diffusion is the partial pressure of CO<sub>2</sub> (P<sub>CO2</sub>) difference between the alveolar spaces (about 40 mm 520 Hg) and the blood in the pulmonary capillary (about 46 mm Hg) (DuBois et al., 1952), which ensures CO<sub>2</sub> 521 exchange within the alveolar spaces and the blood (Huttmann et al., 2014), as shown in Figure 3(A). When 522 a ventilation failure occurs, or an excess of CO<sub>2</sub> is inhaled, as shown in figure 3(B), an elevation of CO<sub>2</sub> 523 concentration in the bloodstream occurs, i.e., hypercapnia, causing respiratory acidosis (Patel and Sharma, 524 2021). Hypercapnia can be caused by  $CO_2$  manipulation (dry ice, food and floral preservation), closed and 525 restrained environments (spacecraft, submarines), pathology (pulmonary diseases, sleep apnea), and 526 combustion gas inhalation (tobacco smoke, vehicles) (Guais et al., 2011).

527 Depending on the exposure time, the maximum acceptable  $CO_2$  concentration in healthy environments 528 varies between 500-3,000 ppm<sub>v</sub> (Guais et al., 2011). Respiratory acidosis in healthy adults with a moderate 529 physical load occurs when exposed to  $CO_2$  concentration above 10,000 ppm<sub>v</sub> for at least 30 min (Azuma et 530 al., 2018). Respiratory acidosis is a consequence of hypercapnia as the results of the increase of bicarbonate 531 content in blood, which decreases the pH below 7.4 (Cunningham et al., 1986). Depending on the  $CO_2$ 

- 532 concentration, other pathologies can appear. Figure 4 summarizes the guideline values of CO<sub>2</sub> concentration
- 533 in indoor environments and the different health effects related to such concentrations.



Fig. 3. Diagram showing the carbon dioxide exchange inside the lungs during the A) exposure to a low CO<sub>2</sub>
 concentration atmosphere and B) exposure to high CO<sub>2</sub> concentration atmosphere.

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Cellular metabolism can be affected when exposed to  $CO_2$  concentrations between 10,000 and 50,000 ppm<sub>v</sub> causing enhanced glycolysis and reduction of respiration (Goldsmith and Narvaez, 1975; Shirlaw, 1931). Exposure to  $CO_2$  concentration from 100,000 and 300,000 ppm<sub>v</sub> (10 to 30%) lead to respiratory function failure with loss of consciousness (Herren et al., 2017), while symptoms of paralysis are shown over 85,000 ppm, whereas death occurs within a short time over 200,000 ppm (Guais et al., 2011). Zhang et al. (2017a) reviewed the effects of exposure to elevated levels of  $CO_2$  (50,000 to 150,000 ppm<sub>v</sub>) for relatively short time (less than 1 hour), reporting an increase in respiration rate, minute ventilation rate, and end-tidal  $CO_2$ 

545 (ETCO<sub>2</sub>) (Zhang et al., 2017a).Long exposure (days to months) to low CO<sub>2</sub> levels (5,000 to 15,000  $ppm_v$ )

547 ETCO<sub>2</sub>, acidosis and reduced cerebral blood flow (Gortner et al., 1971; Sliwka et al., 1998). However, since

were reported to cause physiological responses such as increased respiratory minute ventilation rate,

548 CO<sub>2</sub> concentration increased along the time due to the metabolically generated CO<sub>2</sub> and the lack of outdoor

air supply, the observed physiological changes and subjective responses could not be attributed only to  $CO_2$ 

550 but also to other human bioeffluents (Zhang et al., 2017a).



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**Fig. 4.** Correlating chart of the Standards and Indoor Air Guideline values for  $CO_2$  and health effects of  $CO_2$  exposure according to a: Kephalopoulos et al. (2014); b: EN 13779, 2008; c: Le Quéré et al. (2019); d: Becerra et al. (2020); e: Gao et al. (2019); f: OSHA, 1987; g: Satish et al. (2012); h: Allen et al. (2016); i: Zhang et al. (2017b); j: Azuma et al. (2018); k: Guais et al. (2011).

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557 In other experiments, subjects were exposed to 3,000 ppm, for 2-3 hours (Kajtár and Herczeg, 2012), to 1,000 to 2,500 ppm<sub>v</sub> (Satish et al., 2012), and up-to 1,400 ppm<sub>v</sub> for 8 hours (Allen et al., 2016). Besides 558 559 some physiological effects such as diastolic blood pressure, all three studies exhibited a similar pattern, 560 with a significant decrease of cognitive function and decision-making ability for CO<sub>2</sub> levels of 945-1,400 ppm<sub>v</sub>. Furthermore, exposure to CO<sub>2</sub> concentrations above 2,000- 3,000 ppm<sub>v</sub>, along with other 561 562 bioeffluents, may elevate arousal or cause health symptoms (headache, sleepiness, tiredness) which reduce 563 the cognitive performance. Such symptoms correlate with hypercapnia, suggesting that when the  $CO_2$ -rich 564 blood reaches the brain, the chemoreceptors detect the changes in the pH and bicarbonate content in the 565 blood, affecting brain functioning (Shriram et al., 2019). Therefore, there is strong need to monitor and 566 control indoor CO<sub>2</sub> concentration to avoid acute and chronic health effects and cognitive performance 567 degradation.

568 **3.2.** CO<sub>2</sub> as an IAQ metric

569 Due to the inherent relation between human occupancy and CO<sub>2</sub> concentration in indoor environments, CO<sub>2</sub>

570 concentration has been used as an indicator for IAQ (Olesen et al., 2020). Moreover, since indoor CO<sub>2</sub>

571 concentrations are always accompanied by other pollutants emitted by humans (humans bioeffluents) or by 572 buildings, indoor  $CO_2$  concentrations can be used to estimate the concentration of other pollutants, and 573 determine the proper ventilation rate (Azuma et al., 2018; Seppanen et al., 1999). However,  $CO_2$  itself can 574 be considered as an indoor pollutant, and not simply as a surrogate for other bioeffluents and indoor 575 pollutants (Allen et al., 2016; Kajtár and Herczeg, 2012; Satish et al., 2012).

576 Several regulations and standards establish the acceptable  $CO_2$  concentration levels to design ventilation 577 systems in buildings (Schibuola and Tambani, 2020). Becerra et al. (2020) summarized the different European standards and National building regulations, and reported long-term health-based indoor air 578 579 guideline values (IAGVs) for  $CO_2$ . The minimum IAGVs reported is 800 ppm<sub>v</sub> and corresponds to the 580 European Standard EN 13779 from 2008 (13779, 2008). This is slightly more restrictive than national regulations such as the French (Reglement sanitaire departemental type (RSDT), J. Officiel. Repub. Fr., 581 582 1978), Portuguese (Portaria n. 353-A/2013, 2013) and United Kingdom regulations (ESFA, 2016), which 583 set an average IAGVs of 1,000 ppm<sub>v</sub> for non-smoking and non-residential buildings, 1250 ppm<sub>v</sub> during 584 room occupancy and 1,000 ppm<sub>v</sub> during occupancy with mechanical ventilation, respectively. American 585 standards, for example the Occupational Safety and Health Administration (OSHA) has established a 586 Permissible Exposure Limit (PEL) for CO<sub>2</sub> of 5000 ppm<sub>v</sub> (0.5% CO<sub>2</sub> in air) averaged over an 8-hour work 587 day (OSHA, 1987). Similarly, the American Conferences of Governmental Industrial Hygienists (ACGIH) 588 (ACGIH, 1991) set a threshold limit value (TLV) of 5000 ppm<sub>v</sub> and a top exposure limit of 30,000 ppm<sub>v</sub> 589 for a 10-minute period. On the other hand, the American Society of Heating defined 1,000 ppm<sub>v</sub> as a 590 criterion for defining good IAO, similar to the European standards.

Although many researches relied on the threshold provided by the ACGIH, others have questioned the TLV values of over 142 substances provided because they lack of consistency and transparency (Smith and Perfetti, 2019). Although  $CO_2$  was not considered within the 142 substances studied by Smith and Perfetti, their study highlights the importance of taking into account the considerations built around the TLV's values reported in the literature. The American Refrigerating and Air-Conditioning Engineers (ASHRAE) 62.1 standard has not limited the indoor  $CO_2$  concentration for the past 30 years (Persily, 2020). However, as thoroughly explained by Persily (2020), the confusion regarding  $CO_2$  in Standard 62.1 is likely associated to an informative appendix to explain the connection between outdoor air ventilation rates and steady-state levels of  $CO_2$  per person. According to this standard appendix (ASHRAE, 2018), for the  $CO_2$  generation rate of 0.3 L/min, typical of a sedentary person, 7.5 L/s of outdoor air are needed to dilute odours from human bioeffluents to levels that will satisfy about 80% of visitors.

## **3.3.** CO<sub>2</sub> concentration for representative indoor air environments

604 Most studies report that indoor CO<sub>2</sub> concentration in occupied spaces is commonly below 2,500 - 3,000 ppm<sub>v</sub> (Bekö et al., 2010; Hwang et al., 2017a; Santamouris et al., 2008; Satish et al., 2012; Seppanen et al., 605 606 1999; Shen et al., 2020a) although it can reach as high as  $4,000-5,000 \text{ ppm}_{v}$  (Becerra et al., 2020; Chiu et 607 al., 2015; Park and Ha, 2008; Zhang et al., 2017a). There is high heterogeneity in the concentrations 608 observed in indoor spaces, which mainly depend on the occupancy and the type of activities being 609 conducted. In this review, three representative indoor environments are studied: school, office and 610 underground public transportation vehicles. These three spaces have been selected as representative indoor 611 spaces for the range of  $CO_2$  concentrations that can be found, from school classrooms that tend to have low 612 to mild CO<sub>2</sub> concentration, office environment with mild CO<sub>2</sub> concentration to underground public 613 transportation vehicles with the highest  $CO_2$  concentrations in indoor environments. There are other indoor 614 environments of interest that could be further studied such as shopping centres, public buildings, indoor 615 sports facilities and house environment, that are out of the scope of this work.

616 **3.3.1. School environment** 

Due to the observed health effects of increased  $CO_2$  concentrations in enclosed spaces, several studies have been conducted in infant, primary, middle and high schools, where students spend several hours (Choe et al., 2022; Di Gilio et al., 2021; Gil-Baez et al., 2021; Mohamed et al., 2021; Zhu et al., 2021). A recent study reported that, in Spain, all the classrooms under investigation had short-term periods with  $CO_2$  621 concentrations above all IAGVs (European, French, Portuguese and U.K IAGVs) with peaks of 3,284 ppm<sub>y</sub> 622 for the infant and primary classrooms, and  $5,366 \text{ ppm}_{v}$  for secondary and high schools (Becerra et al., 2020). 623 Becerra et al. (2020) correlated these values with ventilation and occupancy rate, which was as low as 2.5 624  $m^2$ /student for secondary schools and high schools, resulting in high mean CO<sub>2</sub> concentrations. A similar 625 study in Germany evaluated indoor air quality in 64 schools, obtaining a median CO<sub>2</sub> concentration of 1,603 626  $ppm_y$  in the winter and 405  $ppm_y$  in summer, which highlights the importance of climate parameters due to 627 different ventilation practices (Fromme et al., 2007). The average CO<sub>2</sub> concentration reported by the 628 European SINPHONIE investigation project was 1581 ppm<sub>y</sub> while values up to 3530 and 4960 ppm<sub>y</sub> are 629 reported as peak concentration reached in kindergartens and primary schools (Regional Enviornmental 630 Center, 2014). These studies raise the attention on the importance of risk reduction measures towards the 631 minimization of CO<sub>2</sub> concentration within classrooms. Recent studies demonstrated the link between IAPs, 632 including CO<sub>2</sub> and acute health effects as well as impairment of cognitive development and learning 633 performance within schoolchildren's (Kotlík et al., 2022; Szabados et al., 2021).

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To ensure the well-being conditions for the students and enhance their learning process and cognitive performance, as well for health reasons (Andualem et al., 2019; Cornaro et al., 2013; Poza-Casado et al., 2021; Stabile et al., 2016), the guidelines for healthy environments within European Schools present a subset of indicators and protocols that have been adjusted to the objectives of WHO to monitor IAPs (Kephalopoulos et al., 2014).

Ventilation by the infiltration of outdoor air is the technique used to reduce  $CO_2$  accumulation. Nowadays, in European Schools, the ventilation rate is expressed as L/s per person and no longer simply as air changes per hour (ACH), thereby taking into account the occupancy density of the school room. According to the guidelines for healthy environments within European Schools (Kephalopoulos et al., 2014), when natural ventilation is used,  $CO_2$  levels above 1,500 ppm<sub>v</sub> should be avoided. Additionally, classrooms should be equipped with  $CO_2$  monitor alarms that signal when the  $CO_2$  level exceeds 700 ppm<sub>v</sub>, requiring ventilation. 646 In the UK, according to the education and skills funding agency (ESFA, 2016), when mechanical ventilation 647 or hybrid systems operated in mechanical moderate use, sufficient outdoor air should be provided to maintain the daily average  $CO_2$  concentration to less than 1000 ppm<sub>v</sub> during the occupied period, while in 648 649 teaching and learning spaces with natural ventilation or hybrid systems operated in natural mode, a daily 650 average  $CO_2$  concentration below 1,500 ppm<sub>v</sub> should be maintained. Furthermore, 1,500 ppm<sub>v</sub> should not 651 be exceeded for more than 20 consecutive minutes. In the US, based on the IAO tools for schools developed 652 by the EPA (EPA, 2009), CO<sub>2</sub> concentrations in schools should comply with the ASHRAE standard 62-653 2001 limit of 700 ppm<sub>y</sub> above the outdoor concentration (slightly above 1,000 ppm<sub>y</sub> in total) (ASHRAE 654 Standard 62-2001, 2001).

655 Santamouris et al. (2008) compared the efficiency of natural ventilation by window opening with mechanically ventilated schools in Athens (Greece). The study included 287 classrooms from 182 schools 656 657 with natural ventilation, and only 25% of them presented average  $CO_2$  concentrations lower than 1,000 658 ppm<sub>v</sub>, with 47% higher than 1,500 ppm<sub>v</sub>, and 18% higher than 2000 ppm<sub>v</sub>. On the other hand, from the 900 659 classrooms studied from 220 schools with mechanical ventilation, 52% had average indoor CO<sub>2</sub> 660 concentration below 1.000 ppm<sub>y</sub>, 15% over 1,500 ppm<sub>y</sub> and 5% above 2,000 ppm<sub>y</sub>. Although mechanical ventilation reduces indoor CO<sub>2</sub> concentrations, there is still a large margin of improvement in order to lower 661 662 CO<sub>2</sub> concentrations below the reference values. A review study highlighted that schools generally have low ventilation rates, resulting in CO<sub>2</sub> concentrations above 1,000 ppm<sub>v</sub> (Fisk, 2017). A research study carried 663 664 out in a middle school in Rome (Italy) evaluated the effectiveness of ventilation rates of a natural trickle 665 ventilation system though an interactive approach involving the pupils, that was set to increase air exchange 666 when a threshold limit of 1.000 ppm<sub>v</sub> was reached (Cornaro et al., 2013). However, the long-term monitoring campaign showed that the average indoor  $CO_2$  concentration exceeded the 1,500 ppm<sub>v</sub> value 667 668 referenced from ESFA, demonstrating that the system was not able to fulfil its performance requirements.

669 These observations suggest that schools would benefit from increased ventilation with outdoor air, or  $CO_2$ 670 removal through engineered solutions. However, most schools often face budgetary and maintenance staff 671 shortages, which can result in poor IAQ (Shaughnessy et al., 2006).

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## **3.3.2.** Office indoor environment

673 Despite having a ventilation system, the indoor  $CO_2$  concentration in offices is often between two or three 674 times the atmospheric CO<sub>2</sub> concentration of 407.8  $\pm$ 0.1 ppm<sub>v</sub> (Le Quéré et al., 2019). Guidelines in many 675 European countries (Finland, Norway, Sweden, Denmark) recommend that the CO<sub>2</sub> concentration in offices should not exceed 1,000 ppm<sub>v</sub> (Küçükhüseyin, 2021). An IAGV of 1.000 ppm<sub>v</sub> is provided in the French 676 677 regulation (Reglement sanitaire departemental type, RSDT). J. Officiel. Repub. Fr., 1978), with a tolerance of 1,300 ppm<sub>v</sub> in non-smoking and non-residential buildings (offices). Similarly, in South Korea, the  $CO_2$ 678 679 concentration must be kept below 1,000 ppm in office buildings (Hong et al., 2018). In Germany, a value 680 of 1,500 ppm<sub>v</sub> applies as a hygienic guide value according to DIN 1946 part 2 (Fitzner, 1993). In the US, 681 many local building codes use the ASHRAE standard of 20 cfm/person (10 L/s per person), which corresponds to an indoor CO<sub>2</sub> concentration of 945 ppm<sub>v</sub> (Allen et al., 2016). Indoor CO<sub>2</sub> concentration 682 683 within office buildings in the US is also regulated by the OSHA standard for  $CO_2$  of 5,000 ppm<sub>v</sub> (0.5%  $CO_2$ 684 in air) averaged over an 8-hour work day (time-weighted average-TWA) (OSHA, 1987) or the ACGIH 685 guideline that suggests a TLV of 5,000 ppm<sub>v</sub> (ACGIH, 1991). However, some local IAGV within the USA 686 are much more stringent, like the Massachusetts Department of Public Health (MDPH) that uses a guideline 687 of 800 ppm<sub>v</sub> for occupied buildings (Burge and Hoyer, 1990; Gold, 1992). Hence in summary, with the 688 exception of the OSHA guideline, the indoor  $CO_2$  concentration set as acceptable level for office indoor 689 environment is below 1,000 ppm<sub>v</sub> and unacceptable  $CO_2$  concentration level is above 2,000 ppm<sub>v</sub> (Hong et 690 al., 2018). Research on indoor  $CO_2$  concentration in offices is strongly associated to the study of building 691 related symptoms (BRS), also called sick building syndrome (SBS), defined as a set of symptoms with 692 unidentified etiology frequently reported by building occupants, especially in office buildings (Apte et al., 693 2000; Erdmann and Apte, 2004; Erdmann et al., 2002; Sarkhosh et al., 2021; Seppanen et al., 1999). SBS 694 symptoms are associated with occupancy in buildings and decrease when the individuals are absent from

the building (Seppanen et al., 1999). According to the WHO working group (World Health Organization,
1983) SBS is characterized by eye, nose and throat irritation, a sensation of dry mucous membranes and
skin, mental fatigue, headache, a high frequency of airway infections and cough, nausea and dizziness.

698 Within SBS symptoms, special attention has been placed towards the effects of  $CO_2$  on human decision-699 making performance (Bakó-Biró et al., 2012; Satish et al., 2012; Šeduikyté and Bliüdžius, 2005). According 700 to Seppänen (2007), the effect of ventilation on health and productivity can be summarized into the 701 following 5 points: 1) higher ventilation reduce the prevalence of airborne infectious diseases; 2) ventilation 702 rates below 10 L/s per person are associated with a significantly worse prevalence of one or more health 703 concerns or perceived air quality outcomes; 3) ventilation rates above 10 L/s per person, up to 20 L/s per 704 person, are associated with a significant decrease in the prevalence of SBS symptoms; 4) improved 705 ventilation can improve task performance and productivity and 5) ventilation rates below 0.5 Air Changes 706 per Hour (ACH) are a health risk in Nordic residential buildings. Relative to natural ventilation, air 707 conditioning is often associated with statistically significant increase in the prevalence of one or more SBS 708 symptoms.

709 Several studies found strong association between CO<sub>2</sub> concentration, ventilation rates and health in office 710 buildings (Apte et al., 2000; Zhang et al., 2017a). In this sense, Seppänen et al. (1999), found a positive 711 correlation between CO<sub>2</sub> levels and one or more SBS symptoms in half of the 22 studies considered, where 712 CO<sub>2</sub> concentration measurements were made over 30,000 subjects in more than 400 buildings in North 713 America, Europe, and Asia. They concluded that the risk of SBS symptoms decreases with  $CO_2$ 714 concentration below 800 ppm<sub>v</sub>. Apte et al. (1996) found statistically significant dose-response relationships 715 between the indoor and outdoor  $CO_2$  level difference (dCO<sub>2</sub>), and SBS symptoms with odds ratios ranging 716 from 1.1 to 1.5 per 100 ppm increase in dCO<sub>2</sub> levels (Apte et al., 2000). The odds ratio is defined as a 717 measure of association between an exposure and an outcome, being OR>1 an exposure associated with 718 higher odds of outcome and OR<1 an exposure associated with lower odds of outcome (Sedgwick and 719 Marston, 2010). These findings suggest that  $CO_2$  levels in office spaces should be kept as close to outdoor concentrations as possible. This statement is supported by Erdmann and Apte (2004), who demonstrated
that in the most ventilated buildings (lowest dCO<sub>2</sub>) SBS symptoms were reduced by a maximum of 64-85%
depending on the symptom, compared to buildings that just meet the ASHRAE minimum ventilation
standard.

724 The introduction of fresh air increases the comfort of the occupants and serves to dilute normally occurring 725 environmental pollutants. However, according to Seppänen (2007), proper ventilation methods are 726 important for the total energy efficiency of office buildings. In order to choose the most energy efficient 727 and healthy ventilation system, factors such as climate conditions, building type and occupant behaviour 728 pattern and expectations should be considered (Kim and De Dear, 2012). Mechanical ventilation system is 729 extensively used in buildings in hot climate (Al Horr et al., 2016). A study conducted in Taipei City (Cheng, 2017) demonstrated that mechanical ventilation resulted in comfortable  $CO_2$  concentrations and room 730 731 temperatures in agreement with the Taiwan EPA. Indoor CO<sub>2</sub> concentrations in the building were 732 maintained between 450 ppm<sub>v</sub> to 750-850 ppm<sub>v</sub> during working hours. On the other hand, bare natural 733 ventilation by means of window-opening often lead to unhealthy levels of indoor pollutants and excessive 734 energy loss (Poza-Casado et al., 2021). However, natural ventilation system by means of window opening 735 is an energy-saving and environmentally friendly solution to improve ventilate indoor environments in 736 office environments (Brambilla et al., 2018; Kyritsi and Michael, 2020). Nevertheless, poorly designed 737 natural ventilation can become source of pollutants and allergens of outdoor or indoor origin, elevating the 738 exposure and increasing the health risk instead of decreasing it (Wolkoff et al., 2021). Exposure to outdoor 739 pollutants is not only related to natural ventilation, but most mechanical ventilation systems include 740 efficient filtration and/or air cleaning systems to reduce the exposure to outdoor pollutants (Asikainen et 741 al., 2016). Some studies indicated that mixed mode ventilation systems often offer a good balance of air 742 quality satisfaction and energy savings (Ezzeldin and Rees, 2013; Gou et al., 2014). The Center for the 743 Building Performance Diagnostics at Carnegie Mellon University performed a literature review on the 744 relationship between the work environment and workers productivity (Gou et al., 2014), showing that replacing or supplementing mechanical ventilation with mixed mode conditioning could result in 3–18%
productivity gains due to improved thermal comfort and air quality (Vivian Loftness et al., 2005).

747 A recent investigation focused on the influence of environmental variables on user's thermal comfort and 748 air quality perception in humid subtropical climate (Florianopolis, a southern region of Brazil) (de Oliveira 749 et al., 2021). Researchers compared data from one building with central air-conditioning system and three 750 mixed-mode buildings, in which users could switch between natural and mechanical ventilation mode. 751 Indoor  $CO_2$  concentration varied between 400 and 900 ppm<sub>v</sub> in the buildings operated in natural mode, 752 between 400 and 1,300 ppmy when operated in air-conditioning mode in mixed-mode buildings, and 753 between 400 and 800 ppm<sub>y</sub> in the buildings with centralized air-conditioning. Thus, buildings operated in 754 mixed mode could not maintain the  $CO_2$  indoor concentration below the recommended limit (1,000 ppm<sub>y</sub>), while buildings operating mechanical ventilation did. Mixed-mode ventilation is a trade-off strategy, 755 756 allowing energy savings by reducing air-conditioning usage via natural ventilation to decrease  $CO_2$ 757 concentrations.

## 758 **3.3.3.** Underground transportation vehicles environment

Public transportation vehicles, e.g. underground metro systems, have rapidly developed worldwide due to 759 760 the growing demand of green transportation and sustainable development (Xu and Hao, 2017). Underground metro systems account for the majority of the public transportation in many metropolitan 761 762 cities. For example, in terms of passengers per kilometer, underground transportation accounts for 48% of 763 public transportation in Paris agglomeration, much more than buses (19%) or trains (33%) (Prud'homme 764 et al., 2012), being this number even larger in the Paris municipality (around 80%). In 2020, underground 765 metro system offer accounted for 84% of the total Transports Metropolitans de Barcelona (TMB) network 766 of 20,614,34 passengers per kilometer (Transports Metropolitans de Barcelona (TMB), 2021). This was 767 translated into an annual demand of 217.93 million underground metro trips, accounting for 65% of the 768 total TMB network, while bus trips accounted for 35%, indicating that underground metro system was the 769 preferred transport mode for Barcelona citizens.

770 In the metropolitan area of London, underground journeys represented a 32.7% of the total transports from 771 January 2011 to May 2021, whereas bus journeys accounted for 59.2% (41,001 million journeys) 772 (Transports forLondon, 2021). The global number of underground metro systems commuters continuously 773 increased over recent years in metropolitan cities. In 2017, the 182 metro systems in the world accounted 774 for a total annual ridership of 53,768 million commuters, with an annual grow rate of 8,716 million 775 commuters in the last six years (+19.5%) (The UITP Observatory of Automated Metros, 2018). Shanghai, 776 a prosperous and densely populated city with one of the largest urban underground metro systems in the 777 world, averaged 8 million daily commuters in 2014, 10.3 million in April 2015 (Gong et al., 2017) and 778 surpassed 11.3 million in March 2017 (Xu and Hao, 2017). Similarly, the subway system of Mexico City, 779 one of the largest megacities in the world with roughly 20 million people, had 4.2 million commuters 780 traveling daily in 2016, almost 21% of the total population (Mugica-Álvarez et al., 2012). This pattern can 781 be extrapolated to many cities of different sizes in order to understand the importance of underground metro 782 systems. Figure 5 summarizes the passenger flow for different cities. Several studies report that commuters 783 spend between 20 to 40 minutes in one day inside the metro wagons (Querol et al., 2012; Xu and Hao, 784 2017) and about 10 to 20 minutes inside stations (Park and Ha, 2008; Wen et al., 2020). During this time, 785 commuters are exposed to harmful IAPs that can lead to health problems (Loxham and Nieuwenhuijsen, 786 2019; Triadó-Margarit et al., 2017; Wen et al., 2020).

787 Researchers worldwide are paying increasing attention on the health risks from exposure to IAPs in 788 underground metro systems in cities such as Barcelona (Querol et al., 2012; Triadó-Margarit et al., 2017), 789 Helsinki (Aarnio et al., 2005), Stockholm (Johansson and Johansson, 2002), London (Seaton et al., 2005), 790 México city (Hernández-Castillo et al., 2014; Mugica-Álvarez et al., 2012), Los Angeles (Kam et al., 2011), 791 Sao Paulo (Silva et al., 2012), Taipei (Cheng et al., 2012), Shanghai (Gong et al., 2017; Xu and Hao, 2017), 792 Beijing (Li et al., 2006), Seoul (Hwang et al., 2010; Park and Ha, 2008), Manila (Hasselwander et al., 2022) 793 and Sidney (Mohsen et al., 2018). In 2022, most literature studies focus on the exposure to PM<sub>10</sub> and PM<sub>2.5</sub> 794 (Jo et al., 2020; Johansson and Johansson, 2002; Loxham and Nieuwenhuijsen, 2019; Mohsen et al., 2018; 795 Mugica-Álvarez et al., 2012; Querol et al., 2012), PM together with CO<sub>2</sub> (Gao et al., 2019; Hwang et al.,
2017b; Park and Ha, 2008; Zheng et al., 2017) and bioaerosols (Coleman et al., 2018; Grydaki et al., 2021; Jo et al., 2020; Triadó-Margarit et al., 2017). Only few studies have focused on CO<sub>2</sub> alone, mainly employing theorical models to predict IAQ within underground metro systems (Wang et al., 2017). To understand IAQ in underground metro systems, it is important to understand the factors affecting IAPs exposure and their integrated relationships (Wang et al., 2017).

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Fig. 5. Bubble diagram representing the passenger flow for cities with different population sizes. Bubble size represent the relative percentage of the population that uses the underground metro system compared to the total city population. The area of the symbols shows the ratio between the passenger's flow (x-axis) within the population size (y-axis).
 Gray symbols at the right part of the figure are placed to have a reference of the area sizes.

The principal factors affecting IAPs exposure levels in underground metro systems can be classified into two big categories: 1) carriage related factors like carriage ventilation quality, service time, train speed, train frequency, wheels and brake materials, commuting time and passenger net flow into the carriage

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810 (Cepeda et al., 2017; Gong et al., 2017; Grydaki et al., 2021; Martins et al., 2016, 2015; Mugica-Álvarez et al., 2012; Passi et al., 2021; Shen and Gao, 2019; Wen et al., 2020; Xu and Hao, 2017) and 2) underground 811 812 metro platforms factors related such as underground station ventilation, passenger net flow into the 813 underground metro platform, platform screen doors, rails materials and outdoor environment characteristics 814 (Gao et al., 2019; Kyritsi and Michael, 2020; Passi et al., 2021; Shen and Gao, 2019). Most of these factors 815 are mutually related. For example, shorter commuting times have a dual effect on passenger net flow into 816 platforms since some stations will have higher passenger frequency while others will remain less occupied 817 for longer periods. Depending on the train speed and the dimensions of the tunnel, the air pushed by the 818 front and the air sucked behind the train (piston wind effect), will generate more or less intense air currents 819 near the platform (Moreno et al., 2014).

Ventilation is one of the most effective ways to optimize the complex physical environment in underground metro system, but it exerts a dual effect on IAQ (Wen et al., 2020). Figure 6 summarizes the main aspects to consider for ventilation in underground transport vehicles. On one hand, ventilation controls temperature, humidity and IAQ to ensure human comfort and health. On the other hand, ventilation carries potential risks of spreading air pollutants or carrying outdoor pollutants and produces continuous noise.

825 Thus, an efficient ventilation system should help to maintain IAQ and occupants comfort limiting the 826 transport of air pollutants from the outdoor environment (Godish, 1996; Jaakkola and Miettinen, 1995). According to the ASHRAE standard 62-1989 on "Ventilation for Acceptable IAQ", a fresh air supply of 8 827 828 L/s (28.8 m<sup>3</sup>/h) per person is recommended for vehicles, waiting rooms, and platforms in transport systems 829 (Persily, 1993; Steele and Brown, 1989). Wang et al. (2017) divided underground metro stations ventilation 830 into three operating modes: 1) open operation mode is limited to air exchanged between indoor environment 831 and outdoor environment through fans, ducts, and other facilities; 2) close operation mode provides fresh 832 air to passengers by air-conditioning systems plus some fresh air introduced from station entrances and 833 exits under the action of piston wind; and 3) platform screen door system, where the station uses air-834 conditioning systems for cooling and the tunnel uses piston action ventilation or mechanical ventilation for

835 air exchanges with the outside atmosphere. For ventilation inside the train, mechanical ventilation, i.e., air 836 conditioning, is the most effective ventilation system to control IAQ by removing IAPs (Passi et al., 2021; 837 Xu et al., 2016). Despite this, underground metro carriages showed 20-50% higher CO<sub>2</sub> concentrations than 838 those in aboveground carriages in Taipei metro system (Cheng et al., 2012; Cheng and Yan, 2011). Cheng 839 et al. (2012, 2011) concluded that exhalation of commuters combined with poor ventilation inside 840 underground metro carriages led to  $CO_2$  concentration values that exceed the limit of 1000 ppm<sub>v</sub> proposed 841 by the Taiwan EPA in 8 h. Similar CO<sub>2</sub> concentrations were measured inside the underground metro carriages of Los Angeles (USA) underground metro system, where  $CO_2$  level reached up to 1200 ppm<sub>y</sub> 842 843 mainly due to  $CO_2$  build up inside the train (Kam et al., 2011).



844

**Fig. 6.** Schematic diagram summarizing the ventilation requirements for all three different indoor environments considered in this study.

848 A research study performed a thorough monitoring campaign consisting of 3,528 samples of in-carriage 849 CO<sub>2</sub> concentrations measured in 100 stations (44% of the 251 stations) of a city in northern China (Gao et 850 al., 2019). From the four lines considered (orange, blue, red and vellow line), only one line (vellow line) 851 was above ground, while the rest where underground lines. The effect of factors such as platform screen 852 doors and passenger density were thoroughly investigated. Results showed that CO<sub>2</sub> concentration ranged 853 between 650 and 5525  $ppm_v$ , where lowest CO<sub>2</sub> concentration was observed in the stations equipped with 854 enclosed platform screen doors (blue and orange line), followed by those with half-height platform screen 855 doors (yellow), while those stations without platform screen doors exhibited the highest CO<sub>2</sub> concentrations 856 inside metro carriages (red line) (Gao et al., 2019). Interestingly, the lines with the maximum passenger 857 density during peak hours (8 to 8.4  $p/m^2$ ) exhibited the lowest CO<sub>2</sub> concentrations inside metro carriages, 858 principally because they were equipped with platform screen doors. Platform screen doors reduce the air 859 flow exchange between the platform with passengers waiting the train, and the indoor environment inside 860 metro carriages. Another study measured CO<sub>2</sub> concentration in 100 underground Seoul Metro subway 861 stations to determine which environmental factors and underground characteristics (station area, 862 construction year, number of passengers, etc.) influenced the most IAQs in the stations (Hwang et al., 863 2017b). The average CO<sub>2</sub> concentration was  $563.1 \pm 77.2$  ppm<sub>v</sub> with a peak of  $652.3 \pm 55.6$  ppm<sub>v</sub>. CO<sub>2</sub> 864 concentration exhibited a positive correlation with the number of passengers and the station area, while no 865 correlation was found with construction year and station depth (Hwang et al., 2017b). High  $CO_2$ 866 concentrations were reported in another study performed in 108 stations (aboveground and underground) 867 in Seoul, where  $CO_2$  concentration was adopted as an indicator of the effectiveness of ventilation system 868 (Park and Ha, 2008). The CO<sub>2</sub> concentration inside carriages ranged from 1,153 ppm<sub>v</sub> to 3,377 ppm<sub>v</sub> during 869 peak time, with an average value of 1,775 ppm<sub>v</sub>, exceeding the threshold value of 1,000 ppm<sub>v</sub> due to the 870 lack of fresh air supply into the interior of the trains. This highlights the strong correlation between  $CO_2$ 871 concentration and a great number of passengers (Li et al., 2006).

The large variability in  $CO_2$  concentrations in metro systems worldwide suggest that the solutions for  $CO_2$ removal may vary widely and may not rely solely on engineering solutions, but also service availability and the adjustment of peak hour behaviours in the population of interest. Well-designed ventilation systems in underground transport have proved to minimize and control indoor  $CO_2$  concentrations. However, most works in the literature still report  $CO_2$  concentrations up to 8.3 times higher than atmospheric  $CO_2$ concentration (407.8 ±0.1 ppm). Therefore, underground metro carriages show a great potential for the installation of an iCO<sub>2</sub>-DAC technology, since the concentrations commonly found in this type of indoor environment can help to overcome thermodynamical limitations of  $CO_2$  direct air capture technologies.

880

4.

## Future perspectives

881 Through this work we demonstrated the urgent need to place more focus into indoor environments, where 882 we spend most of our time, and the potential of iCO<sub>2</sub>-DAC to generate a renewable carbon source to replace 883 fossil fuels as a carbon feedstock in the chemical industry. Development of renewable carbon sources is the 884 inevitable path that must be pursued to fight climatic change. Development of processes and solutions to 885 capture and re-utilize atmospheric  $CO_2$  will decrease the extraction of further carbon from the geosphere, 886 slowing down the increase of atmospheric CO<sub>2</sub> concentration. Ideas and concepts must be placed in action, 887 since as we move into a more sophisticated lifestyle, with increasing energy and materials needs, it urges to develop technologies not only capable of producing green energy and materials, but also capable of 888 889 removing  $CO_2$  from the atmosphere.

890 Despite ventilation and other control strategies, indoor air in school classrooms, office rooms and underground transport carriages reported CO2 concentrations exceeding the IAGVs for their respective 891 892 environment. Controlling the  $CO_2$  concentration down to the IAGVs is a complex task to perform. In this 893 sense, deploying CO<sub>2</sub> capture devices to improve IAQ in densely occupied buildings is a potential win-win 894 opportunity. Carbon-neutral buildings can be developed, mitigating negative health effects and cognitive 895 performance decrease of the occupants and minimizing the outdoor air supply and the energy consumption 896 on air conditioning. CO<sub>2</sub> DAC technologies were originally conceived to capture CO<sub>2</sub> from extremely 897 diluted (ca. 400 ppm<sub>y</sub>) outdoor ambient air. Such technology could benefit of the better adsorption 898 thermodynamic resulting from the higher CO<sub>2</sub> concentration in indoor air. Reports in the literature indicate that the technology is mature to introduce  $CO_2$ -DAC into human occupied buildings to carry out  $CO_2$ -DAC at atmospheric pressure, and under mild operation conditions (ambient temperature for adsorption and 80-100 °C for desorption).

902 One envisioned solution to produce valuable commodity chemicals based on  $CO_2$  from indoor 903 environments is presented in figure 7. The proposed process aims to capture  $CO_2$  from indoor air 904 environments and transform it into biofuels such as methane or alcohols that can be used on-site to provide 905 heating. Producing such biofuels on-site will also reduce costs and emissions deriving from producing and 906 transporting conventional combustibles. This technology should be envisioned as a complementary unit 907 with similar operating and technical complexity to current Heating, Ventilation and Air Conditions (HVAC) units installed in multiple facilities. In the long run, the envisioned technology could even replace HVAC 908 909 systems by a more sophisticated unit that is not only capable of providing heat, ventilation and air 910 conditioning, but also to remove IAPs such as CO<sub>2</sub>.



On-site use commodity chemicals

Fig. 7. Envisioned solution to produce valuable commodity chemicals based on CO<sub>2</sub> from indoor environments

913 The operating principle of the schematic process diagram presented in Figure 7 is detailed as follows. A 914  $CO_2$  containing indoor air stream flows into the iCO<sub>2</sub>-DAC module, where  $CO_2$  is adsorbed and a stream 915 of  $CO_2$ -free air is released back to the room. The  $CO_2$  can be then desorbed from the  $CO_2$  concentrator 916 module, generating a high purity  $CO_2$  stream that can act as a feedstock for the production of valuable 917 chemical products. Among the  $CO_2$  conversion technologies under development, those using electric 918 energy as reducing power, *i.e.*, electrochemical and bioelectrochemical CO<sub>2</sub> reduction, are particularly 919 suited for application in indoor environments, as the conversion modules can be easily connected to the 920 already available electricity lines as stand-alone units. Electrochemical/bioelectrochemical units can be 921 designed and operated to produce carbon-neutral methane, or even liquid fuels such as ethanol or butanol, 922 which can be used on-site for heating the buildings, or as transportation fuel (Grim et al., 2020). Nearly 923 pure (98.1%) methane production has been reported in bioelectrochemical cells at rates above 200 L/m<sup>2</sup>/d, 924 with electricity-to-methane conversion efficiency above 80% (Zhou et al., 2021), making it suitable for 925 direct combustion in boilers for heat generation. More valuable liquid fuels such as ethanol and butanol 926 have been produced both electrochemically (Karapinar et al., 2021; Rui et al., 2020; Shang et al., 2021) 927 and bioelectrochemically (Romans-Casas et al., 2021; Srikanth et al., 2018), although at lower purity and 928 concentrations, requiring downstream processing before utilization. The goal of the envisioned technology 929 goal is not only to improve IAQ by capturing CO<sub>2</sub>, but also to be part of the future of green buildings by 930 integrating management of human experience by improving subjective and objective indoor environmental 931 quality (IEQ), and to reach environmental objectives such as circularity of resources and energy. In this 932 sense, there are many challenges that need to be addressed within the upcoming years to facilitate the 933 integration of such envisioned technologies within indoor environments (schools, office buildings and 934 transportation vehicles). For example, utility rooms are mostly present in large office or public buildings, 935 while some indoor spaces, such as schools or transportation vehicles, have no utilities rooms, or very small 936 ones, that will not allow for the installation of additional instrumentation and processes. Thus, new indoor 937 environments should be designed including the space for indoor pollutants treatment, recycling, and 938 conversion. Furthermore, several technical challenges need to be resolved to miniaturize and optimize the 939 capture and conversion units to avoid the occupancy of large spaces for producing and storing biofuels from 940 CO<sub>2</sub>.

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