

# Molecular Neurobiology

## Intracranial self-stimulation modulates levels of SIRT1 protein and neural plasticity-related microRNAs

--Manuscript Draft--

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<b>Abstract:</b>	<p>Deep brain stimulation (DBS) of reward system brain areas, such as the medial forebrain bundle (MFB), by means of intracranial self-stimulation (ICSS), facilitates learning and memory in rodents. MFB-ICSS has been found capable of modifying different plasticity-related proteins, but its underlying molecular mechanisms require further elucidation. MicroRNAs (miRNAs) and the longevity-associated SIRT1 protein have emerged as important regulatory molecules implicated in neural plasticity. Thus, we aimed to analyze the effects of MFB-ICSS on miRNAs expression and SIRT1 protein levels in hippocampal subfields and serum.</p> <p>We used OpenArray to select miRNA candidates differentially expressed in the dentate gyrus (DG) of ICSS-treated (3 sessions: 45' session/day) and sham rats. We further analyzed the expression of these miRNAs, together with candidates selected after bibliographic screening (miR-132-3p, miR-134-5p, miR-146a-5p, miR-181c-5p) in DG, CA1 and CA3, as well as in serum, by qRT-PCR. We also assessed tissue and serum SIRT1 protein levels by Western Blot and ELISA, respectively. Expression of miR-132-3p, miR-181c-5p, miR-495-3p and SIRT1 protein was upregulated in DG of ICSS rats (<math>P &lt; 0.05</math>). None of the analyzed molecules was regulated in CA3, while miR-132-3p was also increased in CA1 (<math>P = 0.011</math>) and serum (<math>P = 0.048</math>).</p> <p>This work shows for the first time that a DBS procedure, specifically MFB-ICSS, modulates the levels of plasticity-related miRNAs and SIRT1 in specific hippocampal subfields. The mechanistic role of these molecules could be key to the improvement of memory by MFB-ICSS. Moreover, regarding the proposed clinical applicability of DBS, serum miR-132 is suggested as a potential treatment biomarker.</p>

Dear Benedict C. Albeni

According to the email received on February 27 and following your request, we are resubmitting the latest version of our manuscript (submitted on February 6), with reference number MOLN-D-19-00951R2, for your consideration. In this version, we had taken into consideration the reviewer's comments received on February 3 concerning to the revised manuscript (MOLN-D-19-00951R1). We hope our adjustments to the manuscript are satisfactory and will make this manuscript suitable for publication in Molecular Neurobiology.

All the changes made are clearly marked in green. Line numbers refer to the "Manuscript revision\_R2\_ MOLN-D-19-00951" document.

Yours sincerely,

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## **Reviewers' comments**

### **Reviewer #4:**

In particular, the authors changed the setting of the manuscript avoiding to focus it on Alzheimer's disease (AD) due to the lack of experiments on an animal model of AD.

I have the following further concern:

- The Result section should describe the results obtained performing the experiments relative to the model used in the present work. Therefore, any mention of AD (including Table 2) should be removed from the Results to be inserted in the Discussion section.

**Response:** We appreciate this suggestion. In accordance with it, we have removed the column regarding AD relation from Table 2, as well as its mention in the Results text body (lines 299-300 and 302).

1 **TITLE:**

2 Intracranial self-stimulation modulates levels of SIRT1 protein and neural  
3 plasticity-related microRNAs

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30 **Conflict of Interest:** The authors declare that they have no conflict of  
31 interest.

32 **Author's contributions:** PS, LA and SG performed MFB-ICSS procedures.  
33 IP performed molecular assays and data analyses. NF contributed in SIRT1  
34 molecular analysis and CG in microRNA analyses. IP, EK and GH designed  
35 the experiments and interpreted data. IP and EK were the major contributors  
36 in writing the manuscript. EK, GH, LA and PS revised it critically. All  
37 authors read and approved the final manuscript and agreed to be accountable  
38 in ensuring appropriate answer to questions related to the accuracy and  
39 integrity of any part of the work.

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51 **Abstract**

52 Deep brain stimulation (DBS) of reward system brain areas, such as the  
53 medial forebrain bundle (MFB), by means of intracranial self-stimulation  
54 (ICSS), facilitates learning and memory in rodents. MFB-ICSS has been  
55 found capable of modifying different plasticity-related proteins, but its  
56 underlying molecular mechanisms require further elucidation. MicroRNAs  
57 (miRNAs) and the longevity-associated SIRT1 protein have emerged as  
58 important regulatory molecules implicated in neural plasticity. Thus, we  
59 aimed to analyze the effects of MFB-ICSS on miRNAs expression and  
60 SIRT1 protein levels in hippocampal subfields and serum.

61 We used OpenArray to select miRNA candidates differentially expressed in  
62 the dentate gyrus (DG) of ICSS-treated (3 sessions: 45' session/day) and  
63 sham rats. We further analyzed the expression of these miRNAs, together  
64 with candidates selected after bibliographic screening (miR-132-3p, miR-  
65 134-5p, miR-146a-5p, miR-181c-5p) in DG, CA1 and CA3, as well as in  
66 serum, by qRT-PCR. We also assessed tissue and serum SIRT1 protein  
67 levels by Western Blot and ELISA, respectively. Expression of miR-132-3p,  
68 miR-181c-5p, miR-495-3p and SIRT1 protein was upregulated in DG of  
69 ICSS rats ( $P < 0.05$ ). None of the analyzed molecules was regulated in CA3,  
70 while miR-132-3p was also increased in CA1 ( $P = 0.011$ ) and serum  
71 ( $P = 0.048$ ).

72 This work shows for the first time that a DBS procedure, specifically MFB-  
73 ICSS, modulates the levels of plasticity-related miRNAs and SIRT1 in  
74 specific hippocampal subfields. The mechanistic role of these molecules  
75 could be key to the improvement of memory by MFB-ICSS. Moreover,  
76 regarding the proposed clinical applicability of DBS, serum miR-132 is  
77 suggested as a potential treatment biomarker.

78

79 **Keywords:**

80 Intracranial self-stimulation, microRNA, SIRT1, neural plasticity,  
81 hippocampus

82 **Abbreviations:**

83 CA, Cornu Ammonis; DBS, deep brain stimulation; DG, dentate gyrus; HP,  
84 hippocampus; ICSS, intracranial self-stimulation; LH, lateral hypothalamus;  
85 MFB, medial forebrain bundle; miRNA, microRNA; SIRT1, sirtuin1

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100 **Introduction**

101 Research on the use of deep brain stimulation (DBS) therapies as a mean to  
102 enhance learning and memory has increased in the recent times [1,2]. In our  
103 laboratory, stimulation of the medial forebrain bundle (MFB) in the lateral  
104 hypothalamus (LH), by means of intracranial self-stimulation (ICSS), has  
105 been shown to facilitate learning and memory in rats [3-5]. This type of  
106 DBS, which ensures a correct physiological rewarding activation, has also  
107 been able to revert memory deficits caused by brain lesions and normal  
108 aging [6-8]. However, the molecular mechanism of DBS is not yet fully  
109 understood.

110 On the way to deciphering the underlying biological mechanisms of ICSS-  
111 induced cognitive improvements, some studies performed by our group and  
112 others have assessed cellular and molecular changes resulting from ICSS in  
113 memory-related areas. This treatment has been reported to induce structural  
114 changes in the complexity of dendritic branching and synapse density in the  
115 CA3 [9] and CA1 [10] hippocampal subfields. Moreover, ICSS has been  
116 found to induce the expression of CREB-dependent genes related to  
117 synaptic plasticity and neurogenesis, including *Arc* and *Bdnf*, in the  
118 hippocampus [11-13].

119 Nevertheless, neural plasticity relies on a plethora of coordinated molecules.  
120 In this scenario, microRNAs (miRNAs) have emerged as central regulators  
121 of gene expression that could have a key role in orchestrating plasticity  
122 mechanisms [14]. Some miRNAs have been described to be enriched or  
123 specifically expressed in the brain in relation to cognitive function. miR-132  
124 and miR-134 are two of the best-studied miRNAs in the context of learning  
125 and memory. Their pathway has been linked to key synaptic plasticity-  
126 related proteins such as CREB and BDNF [15,16]. Recent studies have also  
127 shown their relation to SIRT1 [17-19], a deacetylase important for neuronal  
128 health and plasticity during normal aging [20,21]. Moreover, microRNAs

129 are present in body fluids, so they are being intensively studied due to their  
130 potential as disease and/or treatment biomarkers.

131 From this evidence we can speculate that MFB-ICSS could induce neural  
132 plasticity in part through changes in miRNA expression in both brain tissue  
133 and circulatory fluids. In this work we studied the effects of MFB-ICSS,  
134 using the same stimulation parameters that have been shown to facilitate  
135 learning and memory [4], on the regulation of miRNAs in dentate gyrus  
136 (DG), CA1 and CA3 hippocampal subfields and serum, as well as changes  
137 induced in SIRT1 protein levels.

## 138 **Materials and methods**

### 139 *Animals*

140 A total of 40 adult male Wistar rats (Harlan Laboratories, Horst, The  
141 Netherlands) with a mean age of 15.46 weeks ( $SD\pm 1.42$ ) at the beginning of  
142 the experiments and a mean weight of 480.07 g ( $SD\pm 46.25$ ) at the time of  
143 surgery, were used in this study, which was approved by the University  
144 Animal Welfare committee. The rats were housed individually in a  
145 controlled environment ( $21 \pm 1$  °C; humidity, 60%; lights on from 8:00  
146 A.M. to 8:00 P.M.; food and water available ad libitum). All experiments  
147 were carried out in compliance with the European Community Council  
148 Directive for care and use of laboratory animals (CEE 86/609) and the  
149 Generalitat de Catalunya decree (Departament de Medi Ambient;  
150 Generalitat de Catalunya, 1995; protocol number 2023R).

### 151 *Experimental groups*

152 Animals were divided into two groups: electrode-implanted rats that  
153 received intracranial self-stimulation treatment (ICSS group, n=19) and  
154 electrode-implanted rats that did not receive ICSS treatment (sham group,

155 n=21). For OpenArray analysis, 12 samples of each group were pooled in 4  
156 pools of 3 rats each. For other analyses we used individual samples.

#### 157 *Chronic electrode implantation*

158 Both ICSS and sham rats were chronically implanted with an ICSS  
159 electrode aimed at the MFB in the LH. Animals were general anesthetized  
160 using 110 mg/Kg Ketolar® *Ketamine chlorhydrate* (Parke-Davis S.L.  
161 Pfizer, Madrid) and 0.08 ml/100 g Rompun® *Xylazin 23 mg/ml*; i.p.  
162 (Bayer, Barcelona) and set on a stereotactic apparatus. A little hole was  
163 drilled in the skull, at AP=-2.3 mm and L=-1.8 mm from Bregma, in the  
164 right hemisphere, according to stereotaxic atlas Paxinos and Watson (1997).  
165 A monopolar stainless steel electrode (150 µm in diameter) was implanted  
166 at P=-8.8 mm. Electrodes were anchored to the skull with jeweler's screws  
167 and dental cement, leaving the connector protruding outside.

#### 168 *Intracranial self-stimulation behavior and treatment*

169 After the post-surgery recovery period, animals were randomly assigned to  
170 one of the two experimental groups. Rats in the ICSS group were taught to  
171 self-stimulate in an ICSS behavior-establishment session, by pressing a  
172 lever in a conventional Skinner box (25×20×20 cm). Electrical brain  
173 stimulation consisted of 0.3s trains of 50 Hz sinusoidal waves at intensities  
174 ranging from 10 to 250 µA. In the ICSS establishment session, the  
175 optimum-current intensity (OI) of ICSS was established for each rat as  
176 previously described [22].

177 MFB-ICSS treatment was administered once daily during 45 minutes for the  
178 three following days after the ICSS establishment session (**Fig. 1**). ICSS rats  
179 were free to self-administer electrical stimulation at their OI by pressing the  
180 lever, while sham rats were handled and allowed to explore the ICSS box  
181 but did not receive any electrical current through the electrode.

182 *Sample collection*

183 Blood samples were collected from the lateral tail vein 90 minutes after last  
184 ICSS/sham treatment session, using Microvette tubes (Microvette® CB 300,  
185 SARSTEDT Sau). Tubes were maintained 45 min at room temperature and  
186 centrifuged 10 minutes at 3000 rpm to collect serum. Immediately after  
187 blood extraction, animals were sacrificed by decapitation and brains were  
188 dissected to isolate the hippocampus. Hippocampal subfields CA1, CA3 and  
189 DG were dissected as previously described by Lein et al. [23]. Both serum  
190 and tissue samples stored at -80°C until use.

191 *Protein and RNA isolation*

192 Both total RNA and protein were extracted from tissue using mirVana  
193 PARIS Kit (Ambion). Briefly, samples were homogenized in Cell  
194 Disruption Buffer using a Heidolph DIAX900 homogenizer. Half of the  
195 homogenate was further processed for protein analysis and the other half  
196 was used for RNA extraction. For serum, 100 µL of sample were used to  
197 obtain RNA extracts employing the same kit. After the extraction process,  
198 RNA and protein extracts were stored at -80°C until use.

199 The concentration of RNA was determined using a NanoDrop 1000  
200 Spectrophotometer (ThermoFisher) and quality was assessed using Agilent  
201 Bioanalyzer 2100, showing RNA integrity ranking between RIN 7,0 and  
202 RIN 8,6.

203 Protein quantification was performed using Pierce BCA Protein Assay kit  
204 (ThermoScientific), following kit instructions.

205 *TaqMan OpenArray procedure*

206 Four sham samples and four ICSS samples were obtained by pooling  
207 extracted RNA from DG of 3 rats/pool, with a total of 12 rats per group  
208 being used. cDNA was synthesized from 100 ng total RNA, using Megaplex

209 Primer pools A and B and the MicroRNA Reverse Transcription kit (Life  
210 Technologies). Pre-amplified samples (1:40 dilution) were loaded onto  
211 TaqMan® OpenArray® Rodent MicroRNA Panel (ThermoFisher), using  
212 the AccuFill System, to be run on QuantStudio™ 12K Flex Real-time PCR  
213 system (Applied Biosystems).

214 miRNA profiling data were analyzed using Expression Suite Analysis  
215 Software v1.1 (ThermoFisher). Some assays were omitted from the analysis  
216 based on the quality of the amplification curve. Maximum allowed CT was  
217 fixed to 28.0 to avoid false positive results. Relative quantification value for  
218 each miRNA was obtained by the algorithms implemented in the software  
219 for the comparative ( $\Delta\Delta$ ) CT method, using sham group as the reference  
220 biological group and a global normalization method. A list of differential  
221 expressed miRNAs between sham and ICSS pools was obtained using a  
222 FoldChange Boundary of 1.3 and P-value Boundary of 0.05. No Benjamini-  
223 Hochberg false discovery rate was used to adjust P-values in order to avoid  
224 false negative results, because it would unnecessarily limit the set of  
225 candidate list for further validation. The differentially expressed miRNAs  
226 were functionally investigated using prediction tools accessible from  
227 DIANA mirPath v.3 and TargetScan v7.2, together with bibliographic  
228 research. A subset of miRBase-annotated miRNAs, which were also  
229 interesting on a functional basis, was selected as potential miRNA targets of  
230 ICSS for further qRT-PCR validation.

### 231 *TaqMan miRNA qRT-PCR*

232 Expression levels of several miRNAs of interest were determined in tissue  
233 and serum samples. cDNA was synthesized and preamplified from 10 ng  
234 total tissue RNA from individual subjects, using TaqMan® Advanced  
235 miRNA cDNA Synthesis Kit (Applied Biosystems), in an AB vecti 96 well  
236 thermocycler (Applied Biosystems). Slight modifications were included for  
237 serum samples regarding the initial RNA extract volume (3  $\mu$ L) and the

238 number of cycles of the miR-Amp reaction (16). PCRs were run on an AB  
239 QuantStudio 7, using TaqMan Advanced miRNA qPCR assays (Applied  
240 Biosystems). Expression levels of the target miRNAs were determined in  
241 DG and serum samples using the assays listed in **Table 1**. miR-16-5p, let-  
242 7a-5p, let-7b-5p and miR-124-3p were included as reported potential  
243 endogenous controls, according to literature. In addition, we further  
244 analyzed miR-132-3p, miR-181c and miR-495-3p in CA1 and CA3  
245 samples.

246 Relative quantity of each target miRNA was determined as  $2^{-\Delta\Delta C_t}$  ( $\Delta\Delta C_t =$   
247  $\Delta C_t \text{ sample} - \Delta C_t \text{ reference sample}$ ;  $\Delta C_t = C_t \text{ target} - C_t \text{ normalizer}$ ), using  
248 the mean in the sham group as the reference sample and miR-16-5p as  
249 hippocampal tissue normalizer or let-7a-5p as serum normalizer, being the  
250 most stable endogenous candidates according to NormFinder algorithm.

#### 251 *Western Blot*

252 Total protein (30  $\mu\text{g}$ ) extracted from DG, CA1 and CA3 subfields was  
253 loaded onto a Criterion TGX Stain-Free PreCast Gels 18 well comb (Bio-  
254 Rad) under reducing conditions, and electrotransferred to PVDF  
255 membranes. After 1 h of blocking with 5% Bovine Serum Albumin (Sigma)  
256 in TBS-T (tris-buffered saline [100 mM NaCl, 10 mM Tris-HCl pH 7.5]  
257 containing 0.1% Tween-20), membranes were incubated with primary  
258 antibodies: rabbit anti-SIRT1 (1:2,000, no. 07-131, Millipore) and mouse  
259 anti-GAPDH (1:800,000, MAB374, Millipore) at 4°C, overnight.  
260 Peroxidase-conjugated secondary antibodies were used for 1h at room  
261 temperature: goat anti-rabbit (1:20,000, no. 31460, ThermoScientific) and  
262 goat anti-mouse (1:20,000, no. 115-035-044, Jackson ImmunoResearch).  
263 Intensities of antibody reactive bands were detected using Immobilon  
264 Western Chemiluminescent HRP Substrate (EMD Millipore) in a  
265 FluorChem luminometer, and quantified by densitometry using FluorChem  
266 SP software (AlphaEaseFC™). SIRT1 relative intensities were normalized

267 by GAPDH intensity, and sham group was used as normalizer for each  
268 membrane.

#### 269 *SIRT1 ELISA*

270 Concentration of SIRT1 protein in diluted serum samples (1:15) were  
271 quantified by ELISA (LifeSpan BioSciences, LS-F21634), according to  
272 manufacturer's instructions.

#### 273 *Statistical analyses*

274 All statistical analyses were performed using IBM SPSS Statistics 25.  
275 Normality analyses were performed for the data of each group using the  
276 Shapiro-Wilk normality test. Statistical differences on miRNA expression  
277 derived from qRT-PCR analyses and on SIRT1 protein levels were assessed  
278 using independent samples t-test, for parametric comparisons, or Mann–  
279 Whitney U test, for nonparametric comparisons. Correlations between  
280 variables were estimated using the Spearman correlation test. Statistical  
281 significant results were considered when  $P < 0.05$  using a 95% confidence  
282 interval.

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291 **Results**

292 *Synaptic plasticity-related miRNAs regulated by MFB-ICSS in DG*

293 In order to find potential miRNA targets of MFB-ICSS we performed a  
294 TaqMan OpenArray profiling 750 well-characterized Rodent miRNAs.  
295 From the expression data, we identified 14 miRNAs as differentially  
296 expressed between sham and ICSS condition ( $FC \pm 1.3$ ;  $P < 0.05$ ), in DG  
297 subfield, as shown in **Fig. 2**. Functional analyses and bibliographic research  
298 on these targets reveals that some of the miRNAs are associated with  
299 neuroplasticity pathways and reportedly target synaptic plasticity-related  
300 proteins, such as SIRT1 and BDNF (**Table 2**).

301 The levels of 6 of the 14 altered miRNAs in DG hippocampal subfield by  
302 MFB-ICSS related to neural plasticity (4 upregulated and 2 downregulated,  
303 highlighted in **Table 2**), were further analyzed by qRT-PCR in individual  
304 samples, in order to validate OpenArray results from pools. Moreover miR-  
305 132-3p, miR-134-5p, miR-146a-5p and miR-181c-5p, selected according to  
306 their reported role in learning and memory function, were also analyzed (see  
307 **Table 1**). Results from qRT-PCR analyses are shown in **Fig. 3a**. Expression  
308 of miR-495-3p, miR-132-3p and miR-181c-5p was significantly increased  
309 in the DG of ICSS rats relative to sham ( $P=0.041$ ,  $P=0.005$  and  $P=0.020$ ,  
310 respectively). miR-196a-5p, miR-485-3p, miR-185-5p, miR-154-5p, miR-  
311 197-3p, miR-134-5p and miR-146a-5p did not appear to be significantly  
312 different between ICSS and sham groups.

313 *Specific hippocampal subfield expression of miR-495, miR-132 and miR-*  
314 *181c after MFB-ICSS*

315 To assess the region-specificity of miR-495-3p, miR-132-3p and miR-181c-  
316 5p upregulation, we further evaluated their levels in CA1 and CA3  
317 hippocampal subfields. Only miR-132-3p showed a significant increase in  
318 CA1 ( $P=0.011$ ) (**Fig. 3c**), even though a tendency is also seen for miR-181c-

319 5p (P=0.082) (**Fig. 3d**). Statistically significant changes were not found in  
320 CA3, for any of the three miRNAs (**Fig. 3b-d**).

321 *MFB-ICSS-regulated miRNAs in serum*

322 We assessed the changes of the 10 MFB-ICSS-regulated miRNA candidates  
323 in serum samples. Levels of miR-485-3p, miR-495-3p, miR-154-5p and  
324 miR-134-5p were under the detection limit for many serum samples,  
325 belonging to both sham and ICSS group. No differences were found  
326 between the two conditions in the detected miRNAs miR-196a-5p, miR-  
327 185-5p, miR-197-3p, miR-146a-5p and miR-181c-5p (**Fig. 4a**). Only miR-  
328 132-3p was found to be significantly upregulated (P=0.048) in ICSS  
329 compared to sham rats' serum (**Fig. 4b**).

330 Moreover, the correlation analysis of miR-132-3p levels in DG and serum  
331 showed a significant negative correlation in the ICSS group ( $\rho=-0.615$ ,  
332 P=0.033), being non-significant in the sham group (**Fig. 4c**). Significant  
333 correlations were not observed in CA1 and in CA3.

334 *SIRT1 protein levels in hippocampal subfields and serum after MFB-ICSS*

335 SIRT1 relative protein levels in DG were found to be significantly increased  
336 in the ICSS group compared with sham (P=0.033). However, SIRT1 levels  
337 did not change in CA1 and CA3 subfields (**Fig. 5a**).

338 In serum, SIRT1 was detected in all samples. There were no differences in  
339 the levels between the two groups (**Fig. 5b**). However, we found a  
340 significant positive correlation ( $\rho=0.650$ , P=0.022) between SIRT1 serum  
341 levels and miR-132 serum levels, specifically in the ICSS group (**Fig. 6**).

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345 **Discussion**

346 The main findings of the present study show that rewarding electrical  
347 stimulation of the MFB, a treatment with demonstrated ability to improve  
348 memory, induces subfield-specific hippocampal upregulation of miR-495,  
349 miR-132 and miR-181c, three miRNAs linked to neural plasticity.  
350 Importantly, MFB-ICSS also increases serum levels of miR-132, one of the  
351 best-studied miRNAs in the context of cognition. In addition, MFB-ICSS  
352 modifies SIRT1 protein levels, a deacetylase putatively targeted by all three  
353 affected miRNAs, specifically in the DG subfield of hippocampus.

354 Different studies, including the latest research in our lab, have established  
355 that memory-enhancing DBS to different targets induces increased  
356 hippocampal expression of synaptic plasticity protein markers, which are  
357 prominent molecular correlates of memory consolidation [11,27]. However,  
358 this is the first study showing that a DBS procedure, specifically MFB-  
359 ICSS, induces miRNA changes in the rat hippocampus, 90 min after  
360 stimulation. The time selected for the present study was chosen based on the  
361 induction time described for different miRNAs after various learning or  
362 plasticity-related stimuli [28,29]. Moreover, miRNA changes were  
363 separately evaluated in DG, CA1 and CA3 subfields, since these regions are  
364 known to differ in terms of neural plasticity mechanisms [30,31]. DG  
365 subfield is one of the few regions that preserve neurogenesis in the adult  
366 life, which is crucial for maintaining synaptic connectivity [32]. This fact  
367 emphasizes DG relevance when studying the effects of MFB-ICSS on  
368 memory.

369 Out of the 6 miRNA candidates to be regulated by MFB-ICSS in DG, which  
370 were preselected from a first OpenArray screening using pooled samples,  
371 only miR-495 was found to be significantly upregulated in ICSS-treated  
372 rats, revealing the need to validate the array results using a complementary  
373 technique and an increased sample size. Additionally, the increased

374 expression of miR-495 was not observed in CA1 and CA3 subfields. The  
375 specific role of miR-495 in the brain, as well as its function regarding  
376 learning and memory, has not yet been well characterized. However, it may  
377 be involved in activity-dependent remodeling of synaptic plasticity [33] and  
378 both *Bdnf* and *Arc* mRNAs have been identified among its putative targets  
379 [34,35]. We have previously reported an increase in levels of these mRNAs  
380 in extracts of the whole hippocampus at 90 min after MFB-ICSS, which  
381 apparently contradicts the putative role of this miRNA. However, the  
382 association between increased or decreased levels of *Bdnf* mRNA and  
383 hippocampal miR-495 regulation has been shown to vary depending on the  
384 region after ethanol treatment, revealing the existence of a complex  
385 regulation of the hippocampal subfields [34].

386 In this regard, we report an upregulation of miR-132 and miR-181c 90  
387 minutes after MFB-ICSS, which was also dependent on the analyzed  
388 hippocampal subfield. Increased expression of these two miRNAs in  
389 hippocampus has been linked to learning and memory [36-38]. In this work,  
390 we report a significant increase of miR-132 in both DG and CA1, but not in  
391 CA3 subfield. Regarding the adult DG, miR-132 has been reported to  
392 coordinate the integration of newborn neurons [39]. ICSS has been found to  
393 upregulate neurogenesis-related genes [13] and to increase the number of  
394 DCX-positive cells and functional newly generated cells in DG [40, 41].  
395 This evidence, together with our results, suggests that one of the possible  
396 functions of miR-132 could be mediating the increase in neurogenesis after  
397 ICSS. Furthermore, it has been reported that miR-132 is linked to  
398 spinogenesis, spine enlargement and dendritic growth in cultured neurons  
399 [42,43], and we have previously demonstrated that ICSS induces an increase  
400 in dendritic arborization and synaptic density in CA1 measured at three and  
401 20 days post-ICSS [11]. Since increased CREB function in CA1 is able to  
402 rescue dendritic complexity and spine density alterations [44], the increment  
403 we report in miR-132, a CREB-regulated miRNA [43] may facilitate the

404 structural plasticity observed in CA1 after ICSS. Moreover, it has been  
405 reported that LTP can produce the simultaneous induction of *Arc* mRNA  
406 and miR-132 [45]. In agreement with this study, the regional regulation of  
407 miR-132, induced by MFB-ICSS, matches results from our previous studies  
408 reporting hippocampal *Arc* mRNA increases at 90 min, as well as increased  
409 ARC protein at 4.5 hours after ICSS in DG and CA1, but not in CA3 [11].  
410 Similarly, present results show that miR-181c is upregulated in DG, and  
411 reveal a tendency to significance regarding upregulation in CA1. Even  
412 though its specific role in these regions is not completely clear, it has been  
413 suggested that miR-181c can modulate dendritic branching and  
414 synaptogenesis in vitro [46,47,38]. The region-specific effects of MFB-  
415 ICSS on miR-495, miR-132 and miR-181c could indicate that these  
416 miRNAs may be orchestrating the regional plasticity mechanisms of MFB-  
417 ICSS.

418 In order to further elucidate the molecular pathways leading to synaptic  
419 plasticity effects of MFB-ICSS-upregulated miRNAs, the study of their  
420 putative targets acquires paramount importance. miR-495, miR-132 and  
421 miR-181c all have been suggested to target *Sirt1* [34,21,48]. SIRT1 protein  
422 plays a key role in learning and memory functions, by deacetylating a  
423 variety of substrates critical for signal transduction cascades. SIRT1  
424 knockout mice exhibit deficits in cognitive abilities associated with  
425 impaired synaptic plasticity [20]. In hippocampal neurons, SIRT1 has been  
426 reported to regulate dendritic development and axonal elongation [49,50].  
427 We found SIRT1 to be significantly upregulated in DG subfield 90 minutes  
428 after MFB-ICSS. Ma et al. reported an increase in SIRT1 expression during  
429 neural stem cell differentiation in DG, and they suggested that SIRT1 is an  
430 important regulator of the differentiating/self-renewal balance of adult  
431 neural stem cells [51]. Thus, the specific overexpression of SIRT1 in DG,  
432 where a neurodevelopmental environment exists, could suggest that this  
433 protein is involved in promoting the neurogenesis found after ICSS. In

434 accordance with our results, parallel changes of SIRT1 and miR-132 at the  
435 hippocampus [21], and even positive correlations between them in brain  
436 tissue [52], have been described. Salta et al. presented a bimodal regulatory  
437 network by which an increase in miR-132 results in increased SIRT1  
438 expression in glial progenitors during development [17]. Thus, taking these  
439 observations into account, once induced by MFB-ICSS, both molecules  
440 could work together, improving neurogenesis and synaptic plasticity in DG.

441 In our study, MFB-ICSS-treated rats showed increased miR-132 serum  
442 levels, consistent with changes in DG miR-132. However, this resulted in a  
443 negative correlation, specifically for the ICSS group. This negative  
444 correlation could be explained by the timeframe between the start of the  
445 clearance of miR-132 from brain tissue to blood, which would result in the  
446 progressive decrease of its levels in the tissue coupled with an increase in its  
447 serum levels. Although SIRT1 serum levels were not found to be different  
448 in ICSS and sham condition, we observed a positive correlation between  
449 serum levels of miR-132 and SIRT1 in the ICSS-treated rats, indicating  
450 once more that these two molecules might cooperate to enhance neural  
451 plasticity.

452 Interestingly, miR-495, miR-132, miR-181c and SIRT1 have been  
453 mechanistically related to the main molecular hallmarks of Alzheimer's  
454 disease and are all found to be significantly reduced in patients' brain  
455 [21,53,54,55]. miR-132 is dysregulated at early stages of the disease, even  
456 before neuronal loss occurs [56], and higher hippocampal levels of miR-132  
457 correlate with better cognition scores in Alzheimer's disease patients [21].  
458 Moreover, miR-132 has been suggested to play a rather upstream role in the  
459 pathogenic cascade of Alzheimer's disease [57], and to be also related to  
460 amyloid pathology [58,52]. SIRT1 is also associated with attenuated  
461 amyloid production, which it achieves by promoting the non-amyloidogenic  
462 processing of APP [59]. miR-181c was found to be downregulated in

463 hippocampal neurons treated with amyloid beta peptides, as well as in the  
464 hippocampus of APP23 transgenic mice [60], and negative correlations  
465 between amyloid beta and miR-181c were observed in Alzheimer's disease  
466 patients' brains [54]. Moreover, miR-132, miR-181c and SIRT1 have all  
467 been related to tau expression, phosphorylation or aggregation [61- 63],  
468 suggesting protective roles for them in the context of tauopathies [64,65].  
469 The situation of these molecules at an intersection between MFB-ICSS  
470 effects and Alzheimer's-related dysregulations is, at least, intriguing, and  
471 encourages further research of MFB-ICSS in an Alzheimer's-modeling  
472 context.

### 473 **Conclusions**

474 Our results show for the first time that a DBS procedure, in this case MFB-  
475 ICSS, induces miRNA changes in the rat hippocampus, 90 min after  
476 stimulation. These changes include the upregulation of three important  
477 neural plasticity related miRNAs (miR-495, miR-132, miR-181c) with a  
478 specific hippocampal subfield pattern. This study also reports DG  
479 upregulation after MFB-ICSS of the SIRT1 protein, a deacetylase that plays  
480 a role in synaptic plasticity and aging-associated neuronal protection. The  
481 functional pathways regulated by these molecules could be key to the  
482 memory-improving effects of MFB-ICSS. Moreover, the changes in miR-  
483 132 levels in serum after MFB-ICSS serve as preliminary evidence to  
484 suggest its future potential use as DBS treatment biomarker.

485 **Ethical approval:** All applicable international, national, and/or institutional  
486 guidelines for the care and use of animals were followed.

487 All procedures performed in studies involving animals were in accordance  
488 with the ethical standards of the institution or practice at which the studies  
489 were conducted (Ethics Committee at the Universitat Autònoma de  
490 Barcelona, with order number 3942).

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759 **Figure captions**

760 **Fig. 1 Schematic representation of the experimental design.** Rats in the  
761 ICSS group were treated with three MFB-ICSS sessions (45'/session/day on  
762 days 1, 2 and 3), with a previous session for ICSS establishment (on day 0).  
763 Rats in the sham group were exposed to sham sessions (days 1, 2 and 3). 90  
764 minutes after last ICSS/sham session, serum samples were obtained and rats  
765 were sacrificed in order to obtain hippocampal subfield samples to perform  
766 molecular analyses

767 **Fig. 2 ICSS differential miRNA expression in DG.** Volcano plot  
768 representing comparative miRNA expression of ICSS versus sham group in  
769 DG subfield, using TaqMan OpenArray MicroRNA Rodent Panel. X-axis  
770 represents difference in expression level on a  $\log_2$  scale, whereas y-axis  
771 corresponds to the P-values on a negative  $\log_{10}$  scale. Black dots depict  
772 miRNAs with significant differential expression in ICSS group relative to  
773 sham, both down- and upregulated. Grey dots represent miRNAs with no  
774 significant differential expression between the two groups. n=4 pools of 3  
775 rats per group. Fold-Change Boundary=1.3; P-value Boundary=0.05

776 **Fig. 3 Relative expression of miRNA candidates in hippocampal**  
777 **subfields.** Expression of **a** 10 miRNA candidates in DG subfield and **b** miR-  
778 495-3p, **c** miR-132-3p and **d** miR-181c-5p in CA1 and CA3 subfields, in  
779 sham and ICSS groups. Levels of miRNAs were detected by qRT-PCR and  
780 calculated as  $2^{-\Delta\Delta C_t}$ , using miR-16-5p as endogenous normalizer, and sham  
781 group mean as the reference sample. Data are presented as mean $\pm$ SD, n=12-  
782 17 rats/group for DG and CA1 subfields and 6 rats/group for CA3 subfield.  
783 Statistically significant differences analyzed using independent samples t-  
784 test or Mann-Whitney U test. \*P<0.05 relative to sham

785 **Fig. 4 Relative expression of miRNA candidates in serum.** **a-b** Relative  
786 expression of the 6 miRNA candidates detected by qRT-PCR in serum in

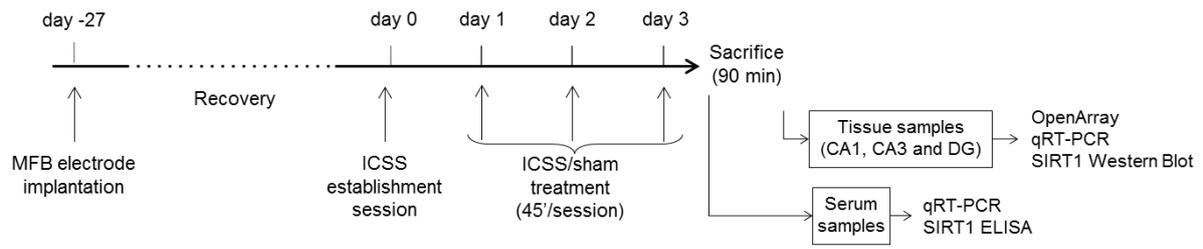
787 ICSS and sham group, calculated as  $2^{-\Delta\Delta C_t}$ , using let-7a-5p as endogenous  
788 normalizer, and sham group mean as the reference sample. Data are  
789 presented as mean $\pm$ SD, n=11-12 rats/group. Statistically significant  
790 differences analyzed using independent samples t-test or Mann–Whitney U  
791 test. \*P<0.05 relative to sham **c** Scatter plot showing the relation between  
792 miR-132 levels in DG and serum in sham and ICSS group. Spearman  
793 correlation test was used to determine significance, being non-significant in  
794 sham group (P=0.519; Spearman’s correlation coefficient ( $\rho$ )=-0.218) and  
795 significant for ICSS group (P=0.033; Spearman’s correlation coefficient  
796 ( $\rho$ )=-0.615). n=11 rats/sham group; 12 rats/ICSS group

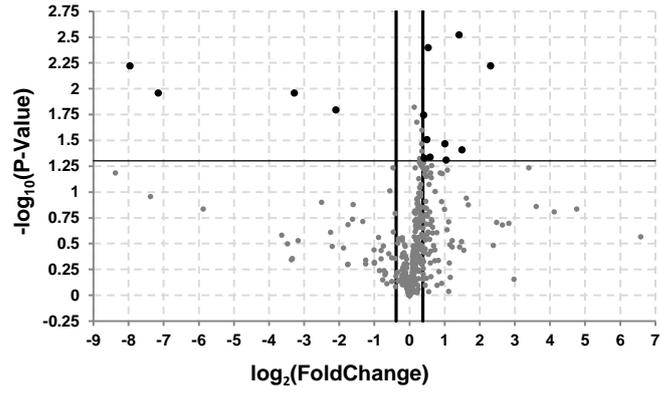
797 **Fig. 5 SIRT1 protein levels in hippocampal subfields and serum. a**

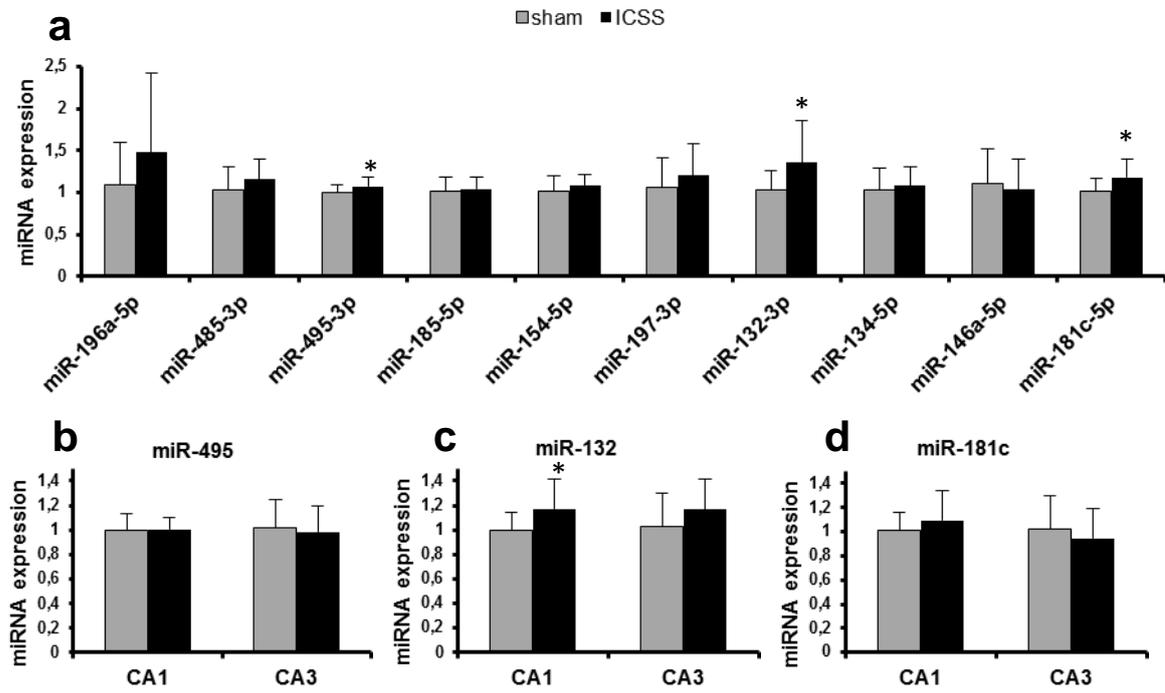
798 SIRT1 relative expression in ICSS and sham groups in DG, CA1 and CA3  
799 subfields, detected by Western Blot and calculated as SIRT1 band intensity  
800 normalized against GAPDH band intensities. Data are presented as  
801 mean $\pm$ SD, n=14-21 rats/group for DG and CA1 subfields and 6 rats/group  
802 for CA3 subfield. Statistically significant differences analyzed using  
803 independent samples t-test. \*P<0.05 relative to sham. **b** SIRT1  
804 concentration in serum, analyzed by ELISA, in sham and ICSS group. n=12  
805 rats/group

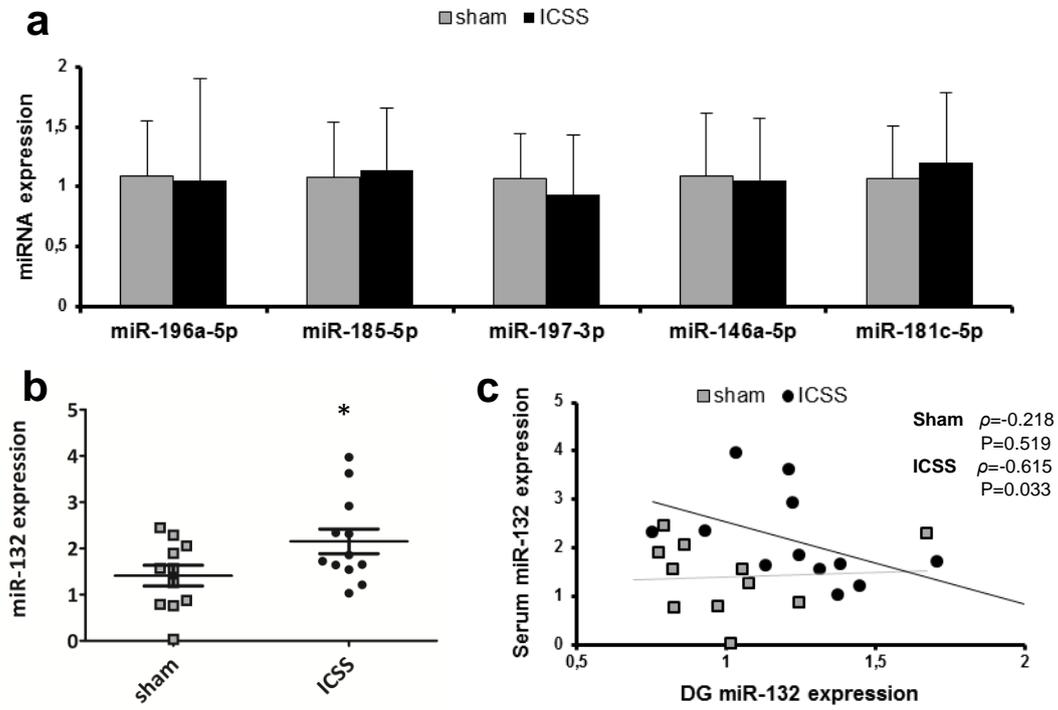
806 **Fig. 6 Correlation between SIRT1 protein levels and miR-132 in serum.**

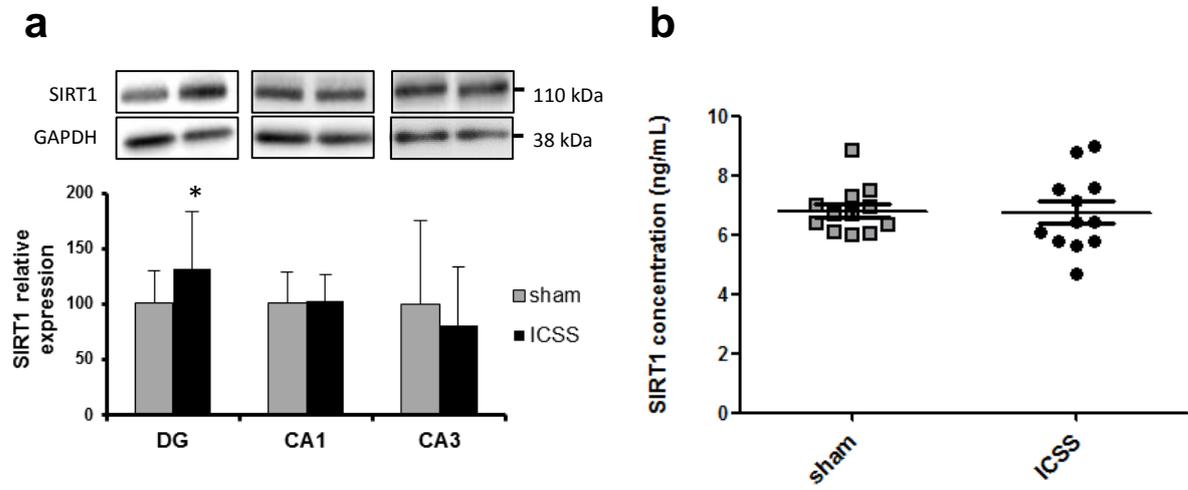
807 Scatter plot showing the relation between SIRT1 protein levels and miR-132  
808 levels ( $2^{-\Delta\Delta C_t}$ ) in serum in sham and ICSS group. Spearman correlation test  
809 was used to determine significance, being non-significant in sham group  
810 (P=0.347; Spearman’s correlation coefficient ( $\rho$ )=-0.333) and significant for  
811 ICSS group (P=0.022; Spearman’s correlation coefficient ( $\rho$ )= 0.650). n=10  
812 rats/sham group; 12 rats/ICSS group

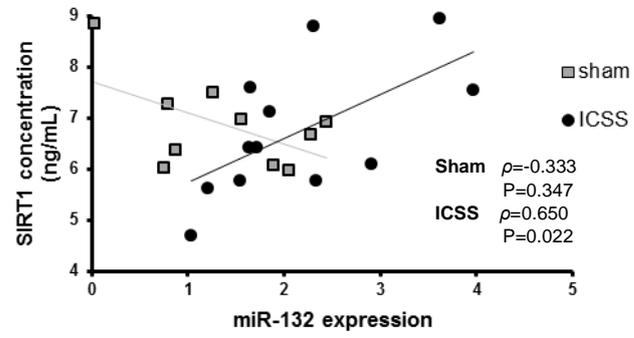












**Table 1. MicroRNAs analysed by qRT-PCR, using TaqMan Advanced Assays.**

Selection	Type	MicroRNA symbol	TaqMan Advanced miRNA Assay name	TaqMan Advanced miRNA Assay ID
OpenArray-based	Target	miR-196a-5p	hsa-miR-196a-5p	478230_mir
		miR-485-3p	mmu-miR-485-3p	mmu481854_mir
		miR-495-3p	mmu-miR-495-3p	mmu482634_mir
		miR-185-5p	hsa-miR-185-5p	477939_mir
		miR-154-5p	hsa-miR-154-5p	477925_mir
		miR-197-3p	hsa-miR-197-3p	477959_mir
Literature-based		miR-132-3p	rno-miR-132-3p	rno480919_mir
		miR-134-5p	rno-miR-134-5p	rno480922_mir
		miR-146a-5p	rno-miR-146a-5p	rno481451_mir
		miR-181c-5p	rno-miR-181c-5p	rno481295_mir
	Endogenous candidate	miR-16-5p	hsa-miR-16-5p	rno481312_mir
		miR-124-3p	rno-miR-124-3p	rno480901_mir
		let-7a-5p	hsa-let-7a-5p	478575_mir
		let-7b-5p	hsa-let-7b-5p	478576_mir

**Table 2. MFB-ICSS-regulated miRNA candidates.**

TaqMan OpenArray Assay	miRNA	FC	UP- or DOWN-REGULATED	Interesting pathways/putative pathways	Interesting putative targets
hsa-miR-196A	<b>miR-196a-5p</b>	4,964	UP	beta-tubulin polymerization [24] cytoskeleton remodelling [24] [25], ABC transporters [25]	APPB, BACE
mmu-miR-1959	miR-1959	2,805	UP	-	-
rno-miR-24-1#	miR-24-1-5p	2,665	UP	-	BACE
mmu-miR-300	miR-300-3p	2,055	UP	axon guidance, glutamatergic synapse	APP, APPB, BDNF, TTBK
mmu-miR-196a#	miR-196a-3p	2,007	UP	beta-tubulin polymerization [24] cytoskeleton remodelling [24] [25], ABC transporters [25], long term depression	APP, APPB, BACE, BDNF, TTBK
rno-miR-345-3p	miR-345-3p	1,499	UP	-	APPB, BACE, DBN
mmu-miR-485-3p	<b>miR-485-3p</b>	1,447	UP	dendritic spine development [26], axon guidance	APPB, SIRT1
mmu-miR-495	<b>miR-495-3p</b>	1,404	UP	axon guidance, dopaminergic cholinergic and GABAergic synapses, prion diseases, long-term potentiation	APPB, ARC, BDNF, SIRT1
hsa-miR-185	<b>miR-185-5p</b>	1,337	UP	axon guidance, BDNF pathway	APP
mmu-miR-187	miR-187-3p	1,319	UP	synaptic vesicle cycle, axon guidance	-
hsa-miR-197	<b>miR-197-3p</b>	0,233	DOWN	synaptic vesicle cycle	APP, BACE, DBN, SYN
rno-miR-333	miR-333	0,103	DOWN	-	-
hsa-miR-154	<b>miR-154-5p</b>	0,007	DOWN	GABAergic synapses, BDNF pathway	APPB
mmu-miR-2182	miR-2182	0,004	DOWN	-	-

List of assays showing differential expression between ICSS and sham pools in DG subfield, using TaqMan OpenArray MicroRNA Rodent Panel, and their functional relations according to literature and data base research. miRNAs in bold indicate those selected as MFB-ICSS-regulated candidates for further qRT-PCR analysis. n=4 pools of 3 rats per group. Fold-Change Boundary =1.3; P-value Boundary=0.05.

Abbreviations: APP: amyloid beta precursor protein; APPB: APP binding family; ARC: activity-regulated cytoskeleton-associated protein; BACE: beta-site APP-cleaving enzyme; BDNF: brain derived neurotrophic factor; DBN: drebrin family; SIRT1: sirtuin1; SYN: synapsin; TTBK: tau tubulin kinase.