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Cav-1 protein levels in serum and infarcted brain correlate with hemorrhagic volume in a mouse model of thromboembolic stroke, independently of rt-PA administration --Manuscript Draft--

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Abstract:	<p>Thrombolytic therapy with recombinant tissue plasminogen activator (rt-PA) is currently the only FDA-approved drug for acute ischemic stroke. However, its administration is still limited due to the associated increased risk of hemorrhagic transformation (HT). rt-PA may exacerbate blood-brain barrier (BBB) injury by several mechanisms that have not been fully elucidated. Caveolin-1 (Cav-1), a major structural protein of caveolae, has been linked to the endothelial barrier function. The effects of rt-PA on Cav-1 expression remains largely unknown. Here, Cav-1 protein expression after ischemic conditions, with or without rt-PA administration, was analyzed in a murine thromboembolic middle cerebral artery occlusion (MCAO) and in brain microvascular endothelial bEnd.3 cells subjected to oxygen/glucose deprivation (OGD). Our results show that Cav-1 is overexpressed in endothelial cells of infarcted area and in bEnd.3 cell line after ischemia but there is disagreement regarding rt-PA effects on Cav-1 expression between both experimental models. Delayed rt-PA administration significantly reduced Cav-1 total levels from 24 to 72 h after reoxygenation and increased pCav-1/Cav-1 at 72 h in the bEnd.3 cells while it did not modify Cav-1 immunoreactivity in the infarcted area at 24 h post-MCAO. Importantly, tissue Cav-1 positively correlated with Cav-1 serum levels at 24 h post-MCAO and negatively correlated with the volume of hemorrhage after infarction, the latter supporting a protective role of Cav-1 in cerebral ischemia. In addition, the negative association between baseline serum Cav-1 levels and hemorrhagic volume points to a potential usefulness of baseline serum Cav-1 levels to predict hemorrhagic volume, independently of rt-PA administration.</p>

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3

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36 **ABSTRACT**

37 Thrombolytic therapy with recombinant tissue plasminogen activator (rt-PA) is currently the only FDA-
38 approved drug for acute ischemic stroke. However, its administration is still limited due to the associated
39 increased risk of hemorrhagic transformation (HT). rt-PA may exacerbate blood-brain barrier (BBB) injury by
40 several mechanisms that have not been fully elucidated. Caveolin-1 (Cav-1), a major structural protein of
41 caveolae, has been linked to the endothelial barrier function. The effects of rt-PA on Cav-1 expression remains
42 largely unknown. Here, Cav-1 protein expression after ischemic conditions, with or without rt-PA
43 administration, was analyzed in a murine thromboembolic middle cerebral artery occlusion (MCAO) and in
44 brain microvascular endothelial bEnd.3 cells subjected to oxygen/glucose deprivation (OGD). Our results show
45 that Cav-1 is overexpressed in endothelial cells of infarcted area and in bEnd.3 cell line after ischemia but there
46 is disagreement regarding rt-PA effects on Cav-1 expression between both experimental models. Delayed rt-PA
47 administration significantly reduced Cav-1 total levels from 24 to 72 h after reoxygenation and increased pCav-
48 1/Cav-1 at 72 h in the bEnd.3 cells while it did not modify Cav-1 immunoreactivity in the infarcted area at 24 h
49 post-MCAO. Importantly, tissue Cav-1 positively correlated with Cav-1 serum levels at 24 h post-MCAO and
50 negatively correlated with the volume of hemorrhage after infarction, the latter supporting a protective role of
51 Cav-1 in cerebral ischemia. In addition, the negative association between baseline serum Cav-1 levels and
52 hemorrhagic volume points to a potential usefulness of baseline serum Cav-1 levels to predict hemorrhagic
53 volume, independently of rt-PA administration.

54

55 **KEYWORDS**

56 Caveolin-1; stroke; blood-brain barrier; recombinant tissue plasminogen activator; middle cerebral artery
57 occlusion; oxygen/glucose deprivation

58

59 **ABBREVIATIONS**

60	BBB	Blood-brain barrier
61	bEnd.3	Immortalized mouse brain endothelial cell line
62	BSA	Bovine serum albumin
63	Cav-1	Caveolin-1
64	CTR	Control
65	DAB	Diaminobenzidine
66	FITC-BSA	Fluorescein isothiocyanate labelled bovine serum albumin
67	HT	Hemorrhagic transformation
68	MCAO	Middle cerebral artery occlusion
69	MTT	3-(4,5-dimethylthiazol)-2,5-diphenyl tetrazolium bromide
70	OGD	Oxygen/glucose deprivation
71	pCav-1	Phosphorylated caveolin-1
72	rt-PA	Recombinant tissue plasminogen activator

73 INTRODUCTION

74 Acute ischemic stroke is the most frequent cause of permanent disability in adults worldwide [1]. Additionally,
75 a poor prognosis and increased mortality after stroke is linked to different risk factor as diabetes, affecting also
76 millions of people in the world [2, 3]. However, less than 10% of stroke patients receive recombinant tissue
77 plasminogen activator (rt-PA), which is still the only FDA and EMA-approved fibrinolytic drug with a level I-A
78 of evidence for the treatment of acute ischemic stroke [4, 5]. This is due, among other reasons, to the increased
79 associated risk of hemorrhagic transformation (HT) which occurs as a result of severe blood-brain barrier (BBB)
80 disruption during reperfusion [6]. rt-PA itself may exacerbate BBB injury by several mechanisms, such as
81 augmented neurovascular cells toxicity [7], elevated free radicals generation [8] and the activation of matrix
82 metalloproteinase 9 (MMP-9) [9]. However, the molecular mechanisms underlying rt-PA effects on the BBB
83 disruption remained to be fully understood. In this context, the maintenance of BBB homeostasis represents an
84 interesting target not only for neurovascular protection but also for the development of thrombolytic adjuvant
85 therapies aimed at decreasing the rt-PA-associated HT risk.

86 Caveolin-1 (Cav-1), a major structural protein of caveolae, has been linked to the endothelial barrier function
87 [10], mainly by regulating endocytosis and vesicular trafficking [11]. Nevertheless, its role in cerebral ischemic
88 injury and BBB dysfunction still needs to be clarified. For instance, some works suggest that Cav-1 may have a
89 protective function after ischemic conditions, inhibiting MMP-9 activity and regulating post-ischemic
90 angiogenesis [12, 13] whereas other studies propose a Cav-1 detrimental role that impairs the endothelial tight
91 junction proteins and increases BBB permeability [14, 15]. Moreover, it has been suggested that
92 phosphorylation of Cav-1 (pCav-1) may be associated with early BBB breakdown after brain injury [16].

93 Therefore, in the present study, we aim to investigate the effects of delayed rt-PA administration on Cav-1
94 protein expression in a murine thromboembolic-reperfusion middle cerebral artery occlusion (MCAO) model,
95 both at brain and serum level, as well as in an oxygen and glucose deprivation (OGD)-exposed bEnd.3 cell line.
96 The correlation between brain and serum Cav-1 protein levels and the volume of infarction, hemorrhage and
97 edema were also evaluated in the murine model.

98

99 MATERIALS AND METHODS

100 *In vivo* thromboembolic stroke model performance

101 Adult male Swiss mice (Jackson labs, Bar Harbor, Me) with a mean weight of 30 g were used for this study. All
102 procedures were performed in accordance with the European Communities Council Directive (86/609/EEC) and
103 approved by the Ethics Committee on Animal Welfare of University Complutense (PROEX No. 016/18) and are
104 reported according to ARRIVE (Animal Research: Reporting of In Vivo Experiments) guidelines. Animals were
105 housed individually under standard conditions of temperature and humidity and at a 12 h light/dark cycle (lights
106 on at 8 h) with free access to food and water.

107 The surgical procedure for *in situ* thromboembolic model was carried out during light cycle (9h-13h) as
108 previously described [17, 18]. Briefly, mice were anaesthetized in a chamber ventilated with 2.5% isoflurane
109 and then maintained at 1.5–2% isoflurane in a 30/70% mixture of O₂/air. Body temperature was maintained at

110 36.5–37 °C using a feedback-controlled heating blanket. Mouse alpha-thrombin (2 UI) was injected into the
111 MCA to induce its occlusion by a clot. A clot was defined as stable when laser Doppler flowmetry displayed a
112 drastic fall of brain perfusion (mean reduction of 70– 80%) that remained stable during 60 min. For reperfusion,
113 rt-PA (10 mg/kg) was intravenously administered 3 h after thrombin injection. We considered that reperfusion
114 was effective when blood flow was recovered (in the range of 60% to 100% of basal values) and remained
115 stable within the first 60 min after rt-PA injection.

116 A total of 32 animals were assigned arbitrarily to three groups: (1) middle cerebral artery occlusion (MCAO)
117 (n= 12), in which the middle cerebral artery (MCA) was permanently occluded and vehicle was intravenously
118 administered 3 h after thrombin injection, (2) MCAO + rt-PA (n= 11), in which artery recanalization was
119 achieved administering rt-PA at 3 h after thrombin injection, and (3) sham (n = 9), in which the MCA was
120 surgically exposed but not occluded. *In vivo* data analysis was performed by a person other than the
121 experimenter and sample size estimation was based in previous studies. Mice with spontaneous reperfusion
122 (without rt-PA administration) (n=3), with extraparenchymal hemorrhages (n=2) or with striatal lesions (n=1)
123 were excluded from further analysis. No spontaneous mortality was found after MCAO and this was unaffected
124 by the rt-PA administration.

125

126 Blood sample collection

127 Blood tail samples were collected before (t = pre-MCAO) and after the experimental procedure (3 h post-
128 thrombin injection, previously to rt-PA or vehicle administration (t=0), and at 3 and 24 h after rt-PA or vehicle
129 injection) in the different experimental groups (Figure 1a). Samples were kept at room temperature for 1 h and
130 at 4 °C overnight, allowing coagulation. Samples were then centrifuged at 1500g and 4 °C and the obtained
131 serum was kept at 80 °C until its analysis.

132

133 Measurement of serum Cav-1 levels

134 Serum Cav-1 concentration was measured at pre-MCAO, 0, 3 and 24 h using an ELISA kit (SEA214Mu, Cloud
135 Clone Corp. Houston, USA) in accordance with the manufacturer's instructions. In brief, 100 µl of blank,
136 standards and serum samples (diluted 1/20) were added to wells and incubated for 2 h at 37 °C. After removing
137 the liquid of each well without washing, 100 µl of detection reagent A working solution were added to each well
138 and incubated for 1 h at 37 °C. After washing, wells were sequentially incubated with 100 µl of detection
139 reagent B working solution for 30 min and 90 µl of substrate solution for 20 min at 37 °C. Finally, 50 µl of stop
140 solution were added to each well and absorbance was immediately measured at 450 nm using the SpectraMax
141 340PC384 Microplate Reader (Molecular Devices). Data were divided by the corresponding pre-MCAO level
142 and represented as a percentage to reduce initial variability. The time point of 0 h was taken as the baseline
143 levels.

144

145 Tissue collection

146 Twenty-four hours after MCAO (Figure 1a), mice were sacrificed by an overdose of sodium pentobarbital and
147 were transcardially perfused with 0.1 M phosphate buffer (pH 7.4) followed by a solution of 4%

148 paraformaldehyde in PBS. Brains were post-fixed in 4% paraformaldehyde in phosphate-buffered saline (PBS)
149 solution overnight and then placed in 30% sucrose in PBS for 3 days at 4 °C until they sank. Brains were then
150 frozen in isopentane and stored at -80 °C for further analysis.

151

152 Determination of brain edema, infarct volume and volume of hemorrhage

153 One coronal section of 30 µm thickness every 400 µm was stained with cresyl violet (Nissl immunostaining)
154 and diaminobenzidine (DAB) to measure edema and infarct and hemorrhagic volumes as previously reported
155 [17]. Briefly, the ratio of the entire area of the ipsilateral hemisphere to that of the contralateral one was
156 considered as edema. The infarct area was delineated and determined (in mm²) by counting the number of pixels
157 within the outline. The infarct volume (in mm³) was calculated as the sum of the orthogonal projections of each
158 damaged area over the section thickness. In order to exclude the brain swelling effects, infarct volume was
159 corrected by the edema and data were expressed as a percentage of the hemisphere. All noticeable hemorrhages,
160 both petechial and parenchymal ones, were quantified by stereology using Cast Grid software (Visiopharm,
161 Denmark). The volume of extravasated red cells was calculated by Cavalieri applying the following formula:
162 (volume = a(p)·d· P) where a(p) is the area associated to the dot, d the distance between two consecutive
163 sections, and P the counted dots inside the hemorrhage.

164

165 Immunohistochemistry

166 Serial coronal sections of cryopreserved brain (15 µm-thick) were obtained in a cryostat (CM1950, Leica) at -23
167 °C, at coordinates between Bregma 2.4 mm and -4.2 mm. The slices were mounted onto SuperFrost/Plus slides
168 (Menzel-Gläser, Braunschweig, Germany) and stored at -80 °C until immunohistochemistry staining.

169 Four double labelling of Cav-1 and extravasated IgG, frozen sections were dried and permeabilized with TBS
170 0.5% Triton X-100 for 10 min and blocked with TBS-T (TBS 0.1% Triton X-100) 1% bovine serum albumin
171 (BSA) for 30 min. Sections were then incubated with a rabbit anti-caveolin-1 antibody (1:200, sc-894, Santa
172 Cruz Biotechnology) for 3 h at room temperature, washed 3 times with TBS-T and incubated 2 h more with
173 Alexa Fluor® 488 goat anti-rabbit and 594 goat anti-mouse IgGs (1:750 and 1:100 respectively, Invitrogen).
174 Finally, samples were washed, stained with DAPI and mounted with Dako fluorescent mounting medium (Dako
175 North America Inc., USA). No immunostaining was observed in control slides without the primary or secondary
176 antibodies. Mouse IgG staining was used to identify the infarcted zone where the BBB leakage occurs [19].

177 Additionally, a similar immunohistochemistry protocol was used to confirm the expression of Cav-1 in brain
178 endothelial cells. Sections were simultaneously incubated with the rabbit anti-caveolin-1 antibody and the rat
179 anti-PECAM-1 antibody (1:50, sc-18916, Santa Cruz Biotechnology) for overnight at 4°C and the Alexa Fluor®
180 488 goat anti-rabbit and 594 goat anti-rat (1:500, A-11007, Invitrogen) for 2 h at room temperature,
181 respectively.

182 Microphotographs were taken with an Olympus DP70 digital camera (Japan) attached to a BX41 Olympus
183 microscope. Image-J image analysis software was used to assess greyscale intensity levels. An average of Cav-1
184 intensity was measured in the ipsilateral hemisphere (infarcted zone) and in the contralateral hemisphere (CTR)

185 between Bregma 2.4 mm and -4.2 mm for all regions. In the sham group, anatomically equivalent brain areas in
186 the ipsilateral and contralateral hemispheres were analyzed.

187

188 Cell culture

189 Immortalized mouse brain endothelial cell line (bEnd.3) purchased from ATCC (CRL-2299), were seeded in 60
190 mm Petri dishes (Corning, USA) for western blot analysis, or on the top of a transwell insert (0.3 cm² surface
191 area, 0.4 µm pore size, PET membrane, BD Falcon), for metabolic activity and transcellular permeability
192 analysis, as previously described [20]. bEnd.3 cells were grown as a monolayer in DMEM high glucose (HG)
193 medium with 1% glutamine (Gibco, USA), 10% fetal bovine serum (Gibco, USA) and 1%
194 Penicillin/Streptomycin (HyClone Laboratories, USA). All bEnd.3 cells used for these experiments were
195 cultured between 25 and 30 passages, which have been shown to maintain excellent BBB characteristics *in vitro*
196 [21].

197

198 OGD exposure

199 To mimic acute ischemia-like conditions *in vitro*, bEnd.3 cells were exposed to OGD for 2.5 h as we described
200 previously [20, 22]. In brief, after overnight starvation in DMEM HG with 1% fetal bovine, bEnd.3 monolayers
201 were subjected to OGD. The medium was replaced with glucose-free DMEM without FBS (Gibco, USA)
202 previously perfused with N₂ to purge the oxygen. The cells were then placed into a 37 °C humidified hypoxic
203 chamber with a constant N₂ flow of 1 L/min and 0.15 bar pressure for 2.5 h. Regarding the control (CTR) group,
204 the same procedure was carried out with the difference that the glucose-free medium was supplemented with
205 glucose (5.5 mM) and incubated at 37 °C with 5% of CO₂. At the end of the OGD period, the media were
206 removed and replaced with DMEM HG medium containing 10% FBS and with or without rt-PA at a
207 concentration of 20 µg/ml and cultures were returned to the normoxic incubator. As reported in previous
208 publications, we used 20 µg/ml of rt-PA, based on the finding that such a concentration can be observed in
209 blood [23].

210

211 Metabolic activity and transcellular permeability analysis

212 bEnd.3 metabolic activity and transcellular permeability were assessed after 72 h of reoxygenation, with and
213 without rt-PA treatment, using 3-(4,5-dimethylthiazol)-2,5-diphenyl tetrazolium bromide (MTT) assay and the
214 passage of fluorescein isothiocyanate labelled bovine serum albumin (FITC-BSA) across the cell monolayer,
215 respectively, as previously described [20].

216 MTT assay was performed as follows. The medium of the transwells was aspirated, 100 µl of fresh medium and
217 10 µl of MTT (5mg/ml) (Sigma) were added to each transwell and cells were incubated at 37 °C for 2 h. The
218 medium was then carefully removed, and formazan crystals were lysed in 100 µl of DMSO by gently shaking
219 the plate. Absorbance was measured at 570 nm using the SpectraMax 340PC384 Microplate Reader (Molecular
220 Devices). MTT results were expressed as a percentage of the value in the CTR group.

221 For the analysis of transcellular permeability inserts were transferred into new wells containing 0.75 ml of fresh
222 serum-free medium and the medium of the luminal chamber was replaced with 0.15 ml of medium containing

223 0.35 mg/mL of FITC-BSA. After 1 h, the abluminal medium was sampled (duplicates of 200 µl) and
224 fluorescence was measured on a Cytation™ 5 Cell Imaging Multi-Mode Reader (Biotek) at excitation and
225 emission wavelengths of 485 and 520 nm. Changes in permeability were calculated relative to inserts without
226 cells (blank inserts), which served as a reference for maximum permeability. The following formula was used:
227 permeability (% of max) = ((FITC reading of experimental insert-average FITC reading of the vehicle
228 group)/(FITC reading of the blank insert-average FITC reading of the vehicle group)) x100.

229

230 Western blot analysis

231 At 0, 3, 24 and 72 h post-reoxygenation cells were collected and protein was isolated using lysis buffer (Cell
232 Signaling, The Netherlands) (Figure 1b). The protein concentration was measured using the BCA method
233 (Thermo Fisher Scientific, USA). Protein samples (10 µg) were loaded and separated by electrophoresis on 4-
234 15% Criterion™ TGX Stain-Free™ Precast Gels (Bio-Rad) at 120 V for 80–90 min. Proteins were then
235 transferred to PVDF membranes at 30 V overnight at 4 °C. After 1 h of blocking with Tris buffered saline with
236 0.1% Tween-20 (TBST) 5% BSA (EMD Millipore, USA), membranes were incubated with anti-phospho-
237 caveolin-1 (Tyr14) (1:1000, Cell Signaling, The Netherlands), anti-caveolin-1 (sc-894) (1:40000, Santa Cruz
238 Biotechnology, USA) and anti-rabbit HRP-conjugated (1:10000, Cell Signaling, The Netherlands) antibodies in
239 TBST 3% BSA. Stripping was performed to reprove the membranes. Protein bands were revealed using
240 Immobilon Western Chemiluminescent HRP Substrate (EMD Millipore, USA). Quantification of the results was
241 performed using Alpha Ease FC software (Alpha Innotech, USA) to measure integrated density of bands after
242 background subtraction. Normalized expression of pCav-1 were obtained by comparing to total expression of
243 Cav-1. Total expression of protein in the same lane were used as a loading control. These expression were
244 obtained after exposition to 5 minutes with UV light in order to activate the trihalo compounds presents in the
245 used criterion stain-free gels according to the previously described [22].

246

247 Cav-1 immunofluorescence in bEnd.3 cells

248 After 72h of reoxygenation, bEnd.3 cells were fixed with PBS 3.7% paraformaldehyde (Sigma-Aldrich, St.
249 Louis, MO, US) and permeabilized with PBS 0.25% Triton X-100 (Sigma-Aldrich, St. Louis, MO, US) (Figure
250 1b). Cells were then blocked with PBS 3% BSA and incubated 1 h with primary antibody anti-caveolin-1 (sc-
251 894) (1:100, Santa Cruz Biotechnology) and 1 h with secondary antibody Alexa Fluor® 488 goat anti-rabbit IgG
252 (1:40, Invitrogen) diluted in PBS 3% BSA. Finally, the nuclei were stained with DAPI. Images were captured
253 with different channels for Alexa Fluor-488 and DAPI on a BD Pathway 855 Bioimager System (Becton–
254 Dickinson Biosciences). Merging images were obtained in accordance with the recommended assay procedure
255 using BD Attovision software. Total intensity of Cav-1 was quantified using Image-J 1.43
256 (<http://rsb.info.nih.gov/ij/>) software (NIH, Bethesda, MD).

257

258 Statistics

259 SPSS software (IBM SPSS Statistics 22) was used to perform the statistical analysis. Shapiro-Wilk test was
260 performed to assess the normality of the data. Cav-1 immunoreactivity, metabolic activity, transcellular

261 permeability and Cav-1 and pCav-1 *in vitro* expression were compared by one-way ANOVA followed by a
262 Bonferroni post-hoc analysis when required. Analyses of Cav-1 serum levels were conducted with a linear
263 mixed model, which corresponded to two between-group factors, the GROUP (sham, MCAO and MCAO + rt-
264 PA) and the TIME (0, 3 and 24 h post-treatment). Bonferroni post-hoc contrast was used when required.
265 Correlations between variables were estimated using the Spearman test. The significance level (alpha) for all
266 tests was set at .05.

267

268 **RESULTS**

269 Cav-1 expression in the infarcted area and correlation with damage parameters after thromboembolic stroke and 270 delayed rt-PA administration

271 A previously described *in situ* mouse model of thromboembolic stroke and reperfusion [17, 18] was used to
272 analyze Cav-1 levels in the infarcted area and serum and their correlation with the parameters of infarct volume,
273 edema and hemorrhagic volume.

274 In MCAO animals, the thrombin injection caused a rapid fall in cerebral blood flow rate generating an infarction
275 (Figure 2a and b, $p=.023$ vs. sham) in the cortex region. Delayed rt-PA administration (after 3 h of MCAO) was
276 effective in recovering cerebral perfusion (data not shown) although it did not significantly affect the size of the
277 lesion ($p=.965$ vs. MCAO). Both MCAO and MCAO + rt-PA animals, showed hemorrhagic transformation of
278 the ischemic lesion ($p=.045$ and $p=.004$ vs. sham, respectively; Fig 2c). Importantly, significant increased edema
279 values were only detected in the rt-PA-treated MCAO animals ($p=.004$ vs. sham) (Figure 2d).

280 As shown in Figure 3, Cav-1 immunoreactivity was significantly increased in the infarcted area of the MCAO
281 group ($p=.009$ vs. sham) after 24 h post thrombin injection. A similar Cav-1 increase was detected in the
282 infarcted area of MCAO + rt-PA group ($p<.002$ vs. sham; $p=.211$ vs. MCAO). Cav-1 immunoreactivity in
283 contralateral hemispheres of both MCAO and MCAO + rt-PA groups were similar to Cav-1 immunoreactivity
284 in both hemispheres of the sham group ($p=.932$) (Figure 3a and b). Cav-1 protein was expressed in PECAM
285 positive cells, showing that Cav-1 was specifically overexpressed in murine brain endothelial cells after 24 h
286 post-occlusion (Figure 3c).

287 The analysis of correlations demonstrated a significant negative correlation between Cav-1 immunoreactivity in
288 the infarcted area and the hemorrhagic volume ($\rho=-.900$, $p=.037$) in the MCAO group at 24 h post thrombin
289 injection, with a similar trend in MCAO + rt-PA animals ($\rho=-.700$, $p=.188$) (Figure 3d). There were not any
290 significant association between Cav-1 immunoreactivity in infarcted area with the other damage parameters
291 (infarct volume and edema; data not shown).

292

293 Serum Cav-1 levels and correlation with tissue Cav-1 expression and damage parameters after thromboembolic 294 stroke and delayed rt-PA administration

295 Serum Cav-1 levels were similar in all groups (sham, MCAO and MCAO + rt-PA) and no differences were
296 either found at any of the times analyzed (0, 3 and 24 h after rt-PA or vehicle administration). The interaction
297 between GROUP and TIME was not statistically significant either (Figure 4a).

298 A positive significant correlation was found between Cav-1 immunoreactivity and serum Cav-1 levels at 24 h
299 post-MCAO in the MCAO group ($\rho=.786$, $p=.036$), with a similar trend in MCAO + rt-PA animals ($\rho=.800$,
300 $p=.104$) (Figure 4b).

301 Baseline serum Cav-1 levels (3 h after ischemia induction and prior to rt-PA administration) and hemorrhagic
302 volume at 24 h post-treatment were negatively correlated in MCAO animals ($\rho=-.648$, $p=.043$) (Figure 4c). No
303 significant correlations were found between serum Cav-1 levels and edema or infarct volume (data not shown).

304

305 Cav-1 and pCav-1 expression in bEnd.3 cells after OGD and delayed rt-PA treatment

306 Cav-1 expression was significantly increased by 2.5 h of OGD (0 h post-reoxygenation $p=.006$) and remained
307 higher compared to CTR conditions from 3 to 72 h after reoxygenation (3 h $p=.006$; 24 h $p<.001$; 72 h $p=.011$).
308 However, when rt-PA was added to OGD-exposed cells, Cav-1 expression significantly diminished to levels
309 similar to CTR conditions from 24 to 72 h after rt-PA administration (24 h $p=.002$; 72 h $p=.004$ vs. OGD). In
310 CTR conditions, rt-PA treatment did not modify Cav-1 expression at any of the analyzed time-points (Figure 5a
311 and b).

312 The effect of rt-PA on the Cav-1 phosphorylation ratio (pCav-1/Cav-1) were also analyzed. As shown in Figure
313 5c, this ratio was only significantly altered at 72 h. At this time, the ratio decreased in OGD compared to CTR
314 condition ($p=.043$) and increased in OGD + rt-PA compared to OGD condition ($p=.037$).

315 The evaluation of metabolic activity and transcellular permeability was also performed at 72 h. Metabolic
316 activity was significantly decreased after OGD ($p=.002$) and the addition of rt-PA further decreased it in cells
317 subjected to OGD ($p=.026$ vs. OGD; $p<.001$ vs. CTR + rt-PA) (Figure 5d). Transcellular permeability was
318 significantly increased after OGD or after rt-PA treatment in CTR cells ($p=.015$ and $.002$ vs. CTR, respectively)
319 and it was found that the effect was potentiated when the two treatments were carried out together ($p=.005$ vs
320 OGD; $p=.017$ vs. CTR + rt-PA) (Figure 5e).

321 The changes observed in total Cav-1 expression by western blotting were also confirmed by
322 immunofluorescence in samples obtained at 72 h post-reoxygenation. It was also demonstrated that the
323 significant increase of Cav-1 immunoreactivity induced in the bEnd.3 cells subjected to OGD ($p<.001$ vs. CTR)
324 was not observed when cells were treated with rt-PA ($p<.001$ vs. OGD) (Figure 6).

325

326 **DISCUSSION**

327 rt-PA has been proved to be an effective thrombolytic therapy after acute ischemic stroke. However, it has been
328 associated with increased BBB permeability and, therefore, with an increased risk of HT after delayed
329 treatment. On the other hand, after an ischemic insult, Cav-1 has been related to BBB dysfunction but the
330 previously published data are still controversial. In this context, possible interactions between rt-PA and Cav-1
331 in *in vivo* ischemic conditions are largely unknown. To the best of our knowledge, this is the first study to
332 analyze brain and serum Cav-1 levels in a mouse model of thromboembolic stroke with a delayed rt-PA
333 administration. Our results show that tissue Cav-1 protein expression at 24 h post-MCAO: 1) increases in
334 endothelial cells of the infarcted area, 2) positively correlates with Cav-1 serum levels at 24 h, 3) negatively

335 correlates with the volume of hemorrhage after infarction and 4) it is not modified by rt-PA. Additionally, a
336 negative correlation between serum baseline Cav-1 levels and hemorrhagic volume at 24 h was found in MCAO
337 animals, without effects of delayed rt-PA administration on Cav-1 serum levels at 3 and 24 h post-MCAO. This
338 study has also investigated the effects of rt-PA administration on Cav-1 expression in murine endothelial bEnd.3
339 cells subjected to OGD until 72 h post-reoxygenation, a late time-point study not previously addressed. In this *in*
340 *vitro* BBB model, OGD increases the expression of Cav-1 protein, replicating *in vivo* results, and, on the
341 contrary, showing that delayed rt-PA administration reduces Cav-1 expression and increases Cav-1
342 phosphorylation ratio at 72 h post-reoxygenation.

343 The thromboembolic stroke mouse model with delayed rt-PA administration has been used to analyze Cav-1
344 expression in similar conditions to the human clinical situation. MCAO animals showed infarct and hemorrhagic
345 volumes that were similar to those that have been previously published [17, 18]. Additionally, although no
346 significant rt-PA effect on infarct size lesion was found, probably due to irreversible tissue damage at the time
347 of rt-PA administration, increased edema values in the rt-PA-treated MCAO animals would be in agreement
348 with the concept that delayed administration of rt-PA exacerbates the disruption of the BBB [24].

349 Our results show that Cav-1 immunoreactivity is significantly and specifically increased in endothelial cells
350 from the infarcted area at 24 h post-MCAO. Several studies carried out in different *in vivo* rat ischemic models
351 (embolic, tMCAO and photothrombotic [13, 25, 26]) also show increase of Cav-1 expression in endothelial cells
352 of the ischemic hemisphere at 24 h and 48 h. According to the controversial role of Cav-1 in cerebral ischemia,
353 the increased Cav-1 immunoreactivity could account for (1) the reported increase in density of caveolae in
354 vascular segments showing BBB breakdown described in previous ultrastructural studies [27] or, as has been
355 recently reported, (2) a protective role on the neurovascular unit [28]. The negative correlation between brain
356 Cav-1 protein expression and hemorrhagic volume at 24 h post-MCAO detected in the present study could point
357 towards a protective function of this protein in ischemic conditions.

358 With regards to rt-PA effects, our results show that Cav-1 expression in the infarcted area at 24 h does not differ
359 between rt-PA treated and non-treated MCAO groups, and both groups have a similar trend to negatively
360 correlate with the volume of hemorrhage at 24 h post-MCAO. To date only one study has previously analyzed t-
361 PA effects on Cav-1 immunoreactivity in the infarcted area of MCAO animals, showing an enhancement of
362 Cav-1 expression in the surviving endothelial cells [26]. The apparent discrepancies could be due to the different
363 MCAO model applied and the time of t-PA administration (one and three hours later than in our study).
364 Additionally, a direct relationship between Cav-1 expression and the cerebral edema in the focal ischemic brain
365 has been described using Cav-1-deficient (Cav-1^{-/-}) mice [25] but any study has published this association after
366 MCAO with rt-PA treatment in Cav-1-non deficient mice. In contrast with the expected anti-edema effects of
367 Cav-1, our results showed that Cav-1 immunoreactivity and brain edema values were not correlated neither
368 MCAO nor MCAO + rt-PA group. These current findings need to be confirmed in new studies since differences
369 were observed regarding features of ischemic injury (photothrombosis versus MCAO) and strain mice (Cav-1
370 knockout and wild-type).

371 We know of no other studies exploring serum Cav-1 levels in ischemic conditions in animal models. Though
372 Cav-1 plays a significant role in the pathogenesis of other relevant diseases such as cancer, a low number of
373 animal studies and clinical trials have examined serum Cav-1 levels. Thus, the molecular function of the

374 circulating concentrations of Cav-1 has remained uncertain. Importantly, our results demonstrate a positive
375 correlation between Cav-1 expression in the infarcted area and serum after 24 h post-MCAO. These findings
376 suggest that serum Cav-1 levels could reflect changes occurring at tissue level. The activation of MMPs,
377 unleashed by the ischemic process, could be contributing to the release of Cav-1 by proteolysis as has been
378 described for other cell signaling factors [29]. Moreover, it is notorious that baseline serum Cav-1 levels (3 h
379 after ischemia induction) negatively correlated with hemorrhagic volume at 24 h. In accordance with this, a
380 clinical report by our research group demonstrated that low serum Cav-1 levels are an independent predictor of
381 HT after rt-PA administration [30]. In addition, Zhang *et al.* described that ischemic stroke patients with low
382 serum Cav-1 levels have a 3-fold increased risk of cerebral microbleeds (CMBs) compared with patients with
383 high Cav-1 level [31]. These data suggest a protective effect of Cav-1 in ischemic brain damage and we could
384 hypothesize that a lower Cav-1 response will be more prone to a worse outcome, independently of rt-PA
385 administration. Therefore, an early analysis of serum Cav-1 levels could contribute to a better prediction of the
386 development of HT.

387 bEnd.3 murine cells were used in order to explore rt-PA effects on endothelial Cav-1 expression in a longer
388 time-course than in the *in vivo* model. This cell line is a widely accepted *in vitro* BBB model [21, 32] allowing
389 to elucidate time-dependent molecular mechanisms associated with BBB breakdown after OGD and delayed rt-
390 PA administration [20]. Although this represented a more simplified approach, it required less time and cost to
391 evaluate Cav-1 changes from 3 up to 72 h after OGD and delayed rt-PA administration. To date, only one *in*
392 *vitro* study has analyzed rt-PA effects on Cav-1 expression in brain endothelial cells in the context of ischemia
393 [15] and, as far as we know, our study is the first to analyze the effects of OGD and rt-PA on Cav-1
394 phosphorylation levels. Our results show that OGD induces a significant increase of Cav-1 expression in bEnd.3
395 cells from 3 up to 72 h after the ischemic insult. Supporting this, unchanged total Cav-1 protein expression has
396 been reported after 2 h of OGD [33] in bEnd.3 cells but a similar Cav-1 increase has been described in human
397 brain microvascular endothelial cells (HBMECs) after 24 h of OGD [34]. In addition, our *in vitro* data show a
398 significant reduction in total Cav-1 protein expression following rt-PA treatment. In agreement with our results,
399 Song *et al.* has shown that rt-PA decreased Cav-1 protein expression in OGD-treated bEnd.3 cells by promoting
400 their secretion to the culture medium after 2 h of OGD and 6 h of reoxygenation [15]. Our study adds that the rt-
401 PA-induced Cav-1 decrease in bEnd.3 cells occurred beyond 3 h of reoxygenation and was maintained until 72
402 h, time-point where pCav-1 levels were also significantly higher than in rt-PA non-treated cells. The
403 phosphorylation of Cav-1 at tyrosine 14 modulates caveolae formation and detachment from the plasma
404 membrane, key processes for caveolae transcytosis [35–37]. Although in a different endothelial model
405 (pulmonary cells), phosphorylation of Cav-1 has been reported to contribute to endothelial barrier disruption
406 [38]. Accordingly, the inhibition of Cav-1 phosphorylation abrogated transcytosis in human brain endothelial
407 cells [39]. Since the significantly increased pCav-1/Cav-1 ratio coincided with a higher transcellular
408 permeability in the OGD-rt-PA-treated cells at 72 h, it is plausible that the rt-PA effects on bEnd.3 cells under
409 ischemic conditions could be mediated by the phosphorylation of Cav-1.

410 In summary, the present report has evaluated the effects of delayed rt-PA administration on Cav-1 expression in
411 an *in vivo* and in an *in vitro* model of cerebral ischemia. Taken together, our results show that Cav-1 is
412 overexpressed in endothelial cells due to ischemia but there is disagreement regarding rt-PA effects on Cav-1

413 expression between both experimental models. While rt-PA does not modify Cav-1 expression in the *in vivo*
414 model, it significantly reduces Cav-1 expression and increases pCav-1/Cav-1 ratio in bEnd.3 cells. We suggest
415 that the evident differences between the monoculture of bEnd.3 endothelial cells and the neurovascular unit, a
416 complex tissue composed of diverse cell types, may account for the Cav-1 expression differences detected
417 between the *in vitro* and *in vivo* results when rt-PA was administrated. In this way, astrocyte-endothelial
418 interaction is crucial for BBB homeostasis and the astrocyte-derived fatty acid-binding protein 7 (FABP7) has
419 been described as an endogenous protective response to BBB disruption partly mediated through upregulation of
420 endothelial Cav-1 following traumatic brain injury [40]. Further studies are needed to confirm the present results
421 using cocultures including endothelial cells and astrocytes. Importantly, the results obtained in the *in vivo* model
422 support a protective role of Cav-1 and point to a potential usefulness of baseline serum Cav-1 levels to predict
423 hemorrhagic volume after ischemic stroke. Further studies analyzing pCav-1 expression in the *in vivo*
424 thromboembolic model could add relevant data to accurately define Cav-1 role during ischemic stroke.

425 **Authors Declarations**

426 **Ethics approval**

427 All procedures were performed in accordance with the European Communities Council Directive (86/609/EEC)
428 and approved by the Ethics Committee on Animal Welfare of University Complutense (PROEX No. 016/18)
429 and are reported according to ARRIVE (Animal Research: Reporting of In Vivo Experiments) guidelines.

430 **Consent to participate**

431 Not applicable

432 **Consent to publish**

433 All the authors verify that they concur with the present submission and that the material submitted has not been
434 previously reported in any other journal.

435 **Availability of data and material** (data transparency)

436 The datasets generated during and/or analysed during the current study are available from the corresponding
437 author on reasonable request.

438 **Competing interests**

439 The authors have no conflicts of interest to declare that are relevant to the content of this article.

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449 **Authors' contributions**

450 CG, PC and EK performed in vitro procedures and Western blot, ELISA and immunofluorescence analysis. IP
451 helped with immunohistochemistry analysis. IG performed the mouse thromboembolic stroke model. CG, PC,
452 EK and GH designed the experiments and interpreted data. IL, MAM, JS and MC contributed to data
453 interpretation and, joint to JMS, critically revised the manuscript. CG, PC and EK were the major contributors in
454 writing the manuscript. All the authors read and approved the final manuscript and agreed to be accountable in
455 ensuring appropriate answer to questions related to the accuracy and integrity of any part of the work.

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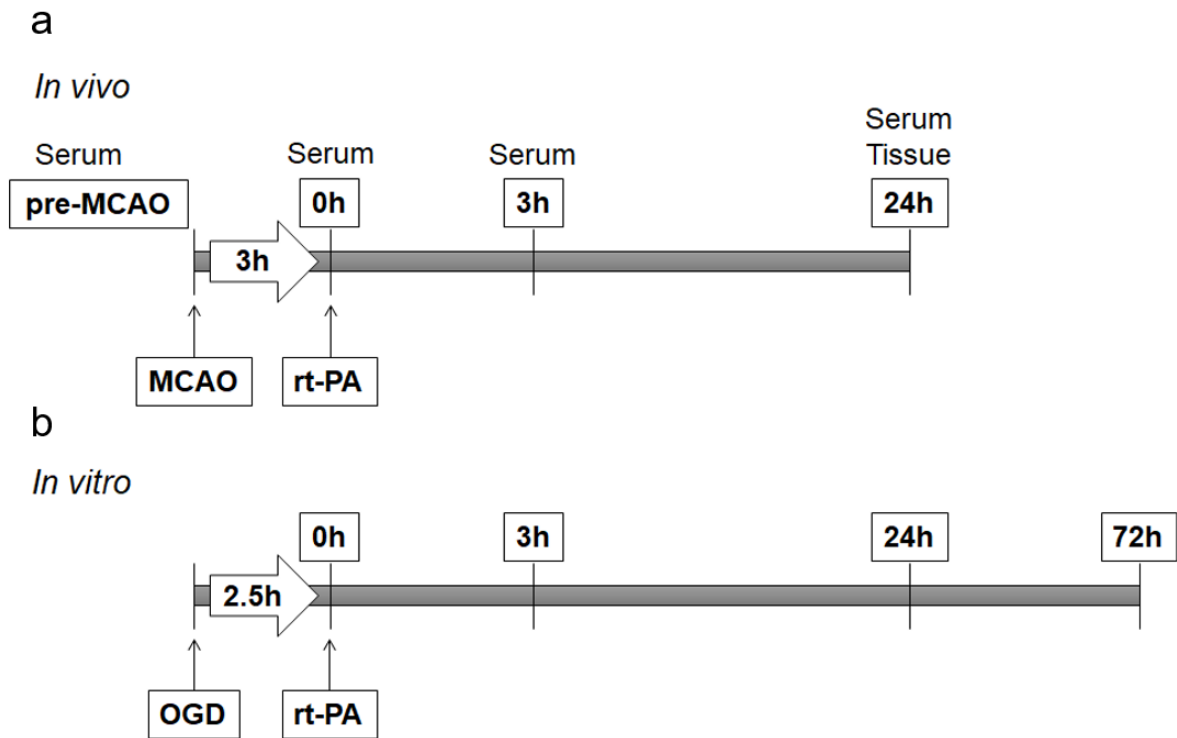
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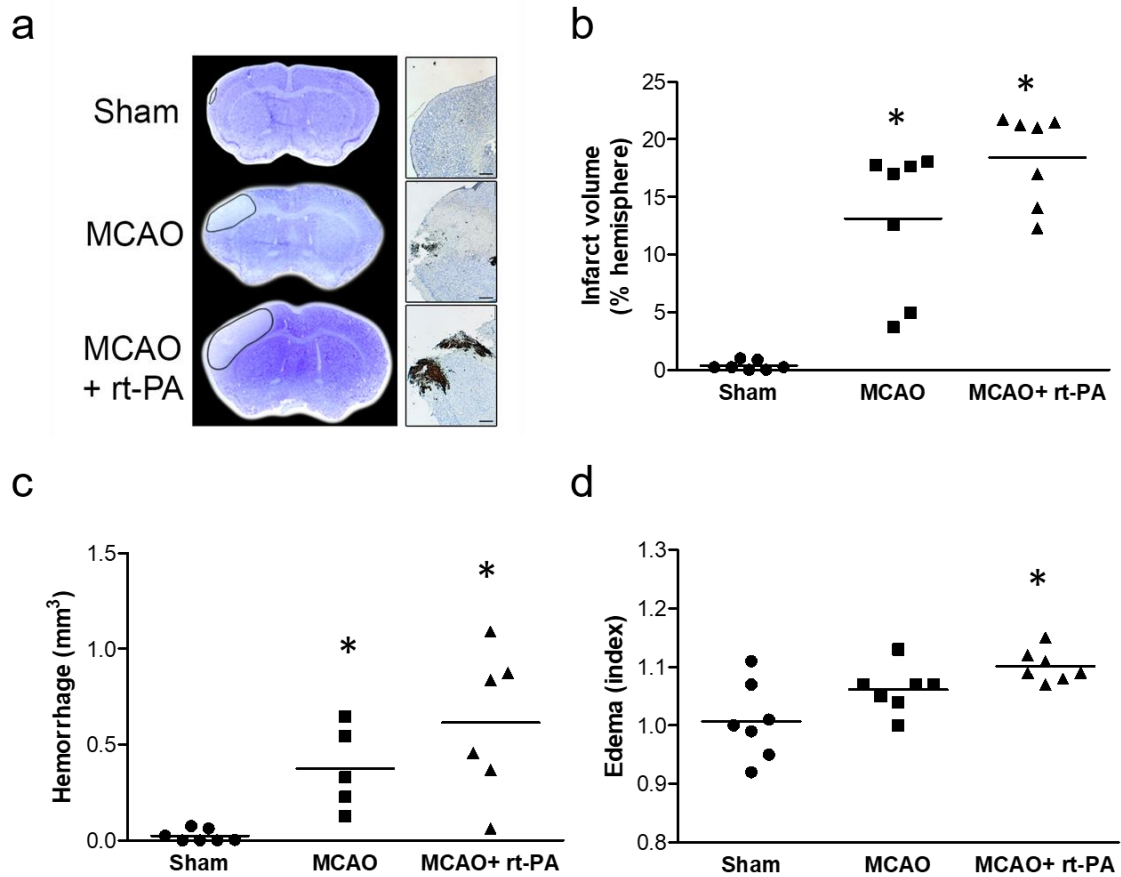
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576 **Figure 1.** Time-course of experimental procedure in the *in vivo* (A) and *in vitro model* (B). **a)** Mice were
 577 submitted to MCAO and injected with/without rt-PA after 3 h of MCAO. Serum samples were obtained at pre-
 578 MCAO, 0, 3 and 24 h, **time** at which mice were sacrificed to obtain tissue samples. **b)** bEnd.3 cells were
 579 subjected to 2.5 h of OGD and subsequently reoxygenated with/without rt-PA. Total protein was extracted at 0,
 580 3, 24 and 72 h for western blot analysis. An immunofluorescence analysis was performed at 72 h after
 581 treatments.

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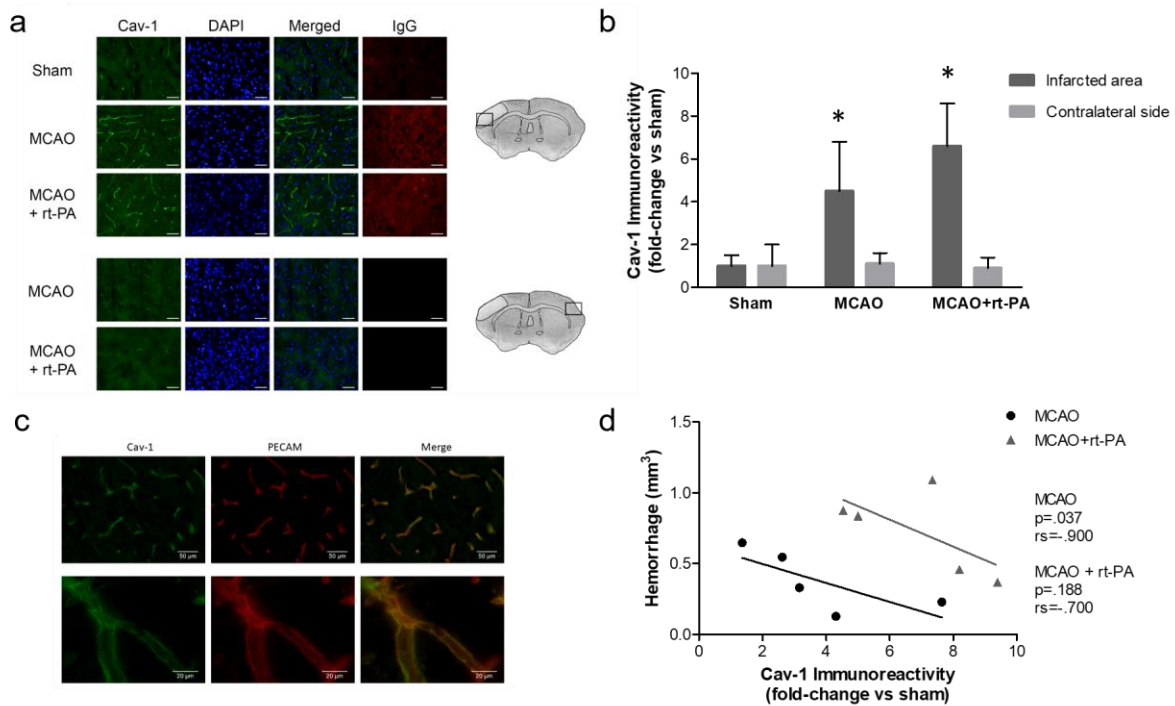


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586 **Figure 2.** Effects of *in situ* MCAO on infarct, edema and hemorrhagic outcomes **a)** Representative images of
 587 Nissl immunostaining showing infarcted area and Nissl combined with DAB immunostaining showing
 588 hemorrhage outcome of each group after 24 h of experimental procedure. Scale bars, 400 μ m. Dot plots showing
 589 **b)** Infarct volumes **c)** hemorrhage volumes and **d)** brain swelling (edema), according to the three experimental
 590 groups: sham ($n = 7$); MCAO ($n = 5-7$) and MCAO + rt-PA ($n = 6-7$). $*p < .05$ vs. sham.

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593

594 **Figure 3.** Analysis of Cav-1 immunoreactivity after 24 h of thrombin injection, in the thromboembolic MCAO
 595 model, with or without rt-PA administration. **a**) Triple immunofluorescence staining showing Cav-1 (green),
 596 cell nuclei (blue) and extravasated IgG (red) in the infarcted zone and in an equivalent area in the contralateral
 597 hemisphere. Scale bars, 50 μ m. **b**) Quantitation of Cav-1 immunoreactivity normalized vs. sham animals and
 598 expressed as a fold-change \pm SD. * $p < .05$ vs. sham. Sham ($n=7$); MCAO ($n=8$); MCAO + rt-PA ($n=6$). **c**) Cav-1
 599 immunoreactivity in murine endothelial cells of intracerebral vessels, after 24 h of occlusion. Representative
 600 images of double immunofluorescence staining showing Cav-1 (green) and PECAM (red) expression in the
 601 infarcted zone. **d**) Scatter plot showing a correlation between Cav-1 immunoreactivity in the infarcted zone and
 602 hemorrhagic volume at 24 h post-MCAO. MCAO ($n=5$); MCAO + rt-PA ($n=5$).

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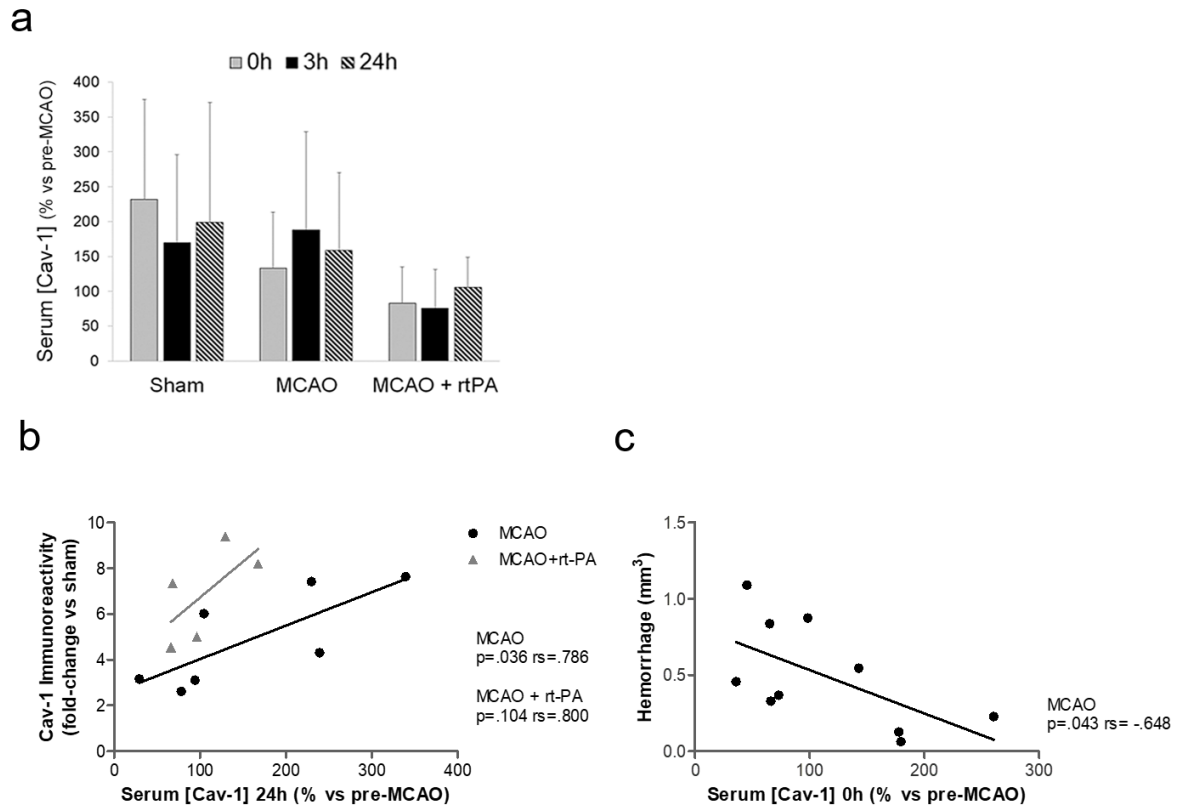
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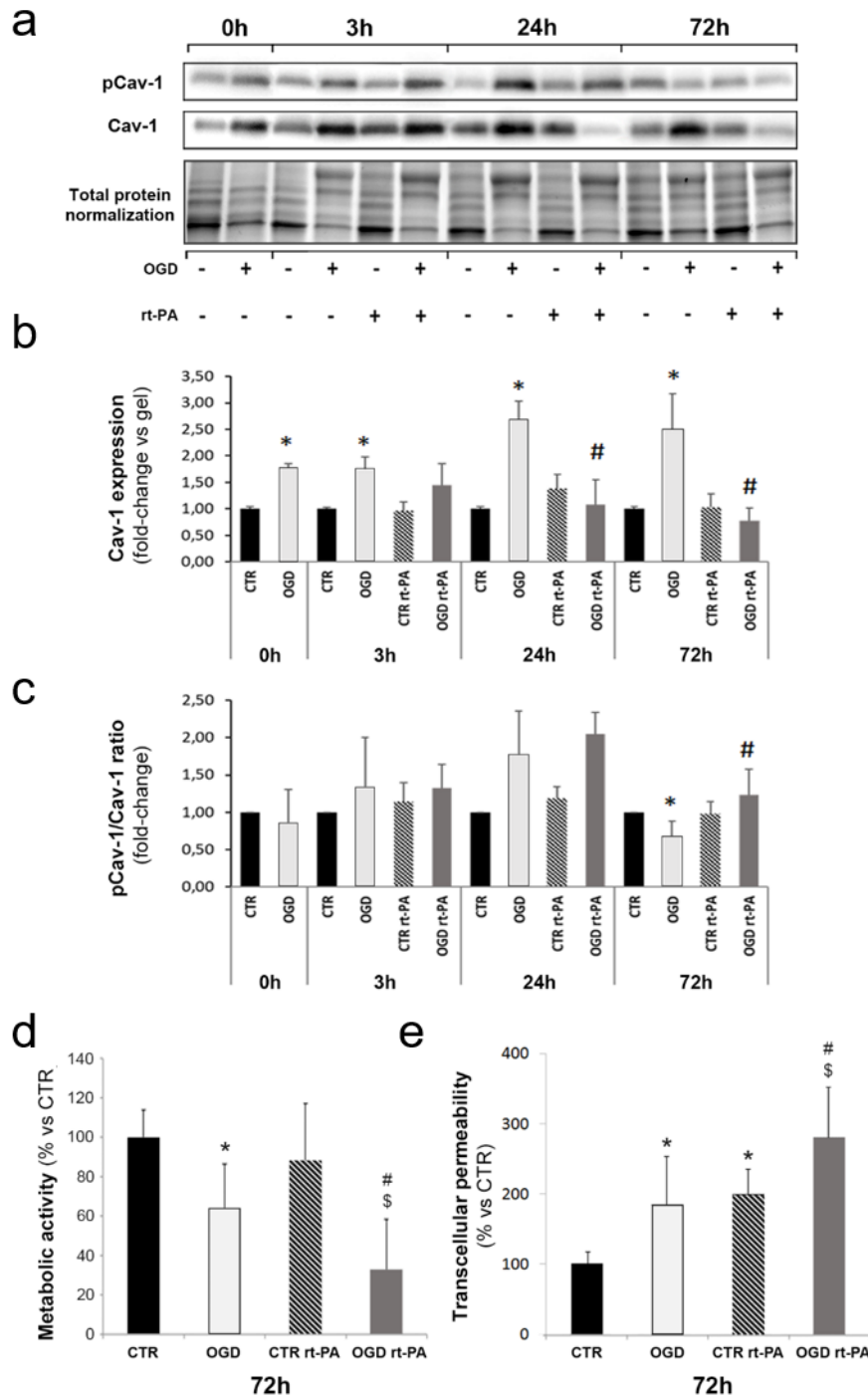
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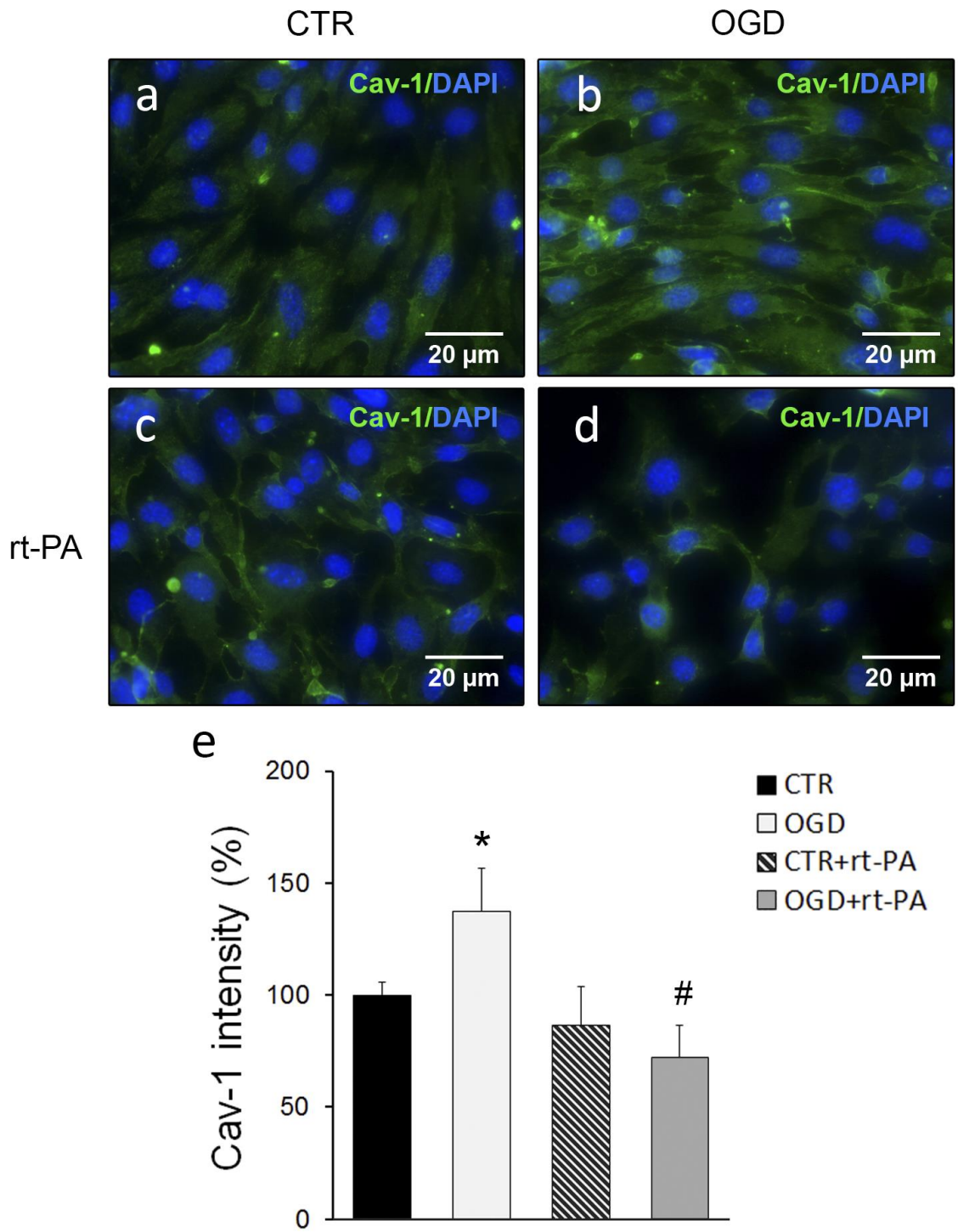
610 **Figure 4.** Serum Cav-1 levels and correlation's analysis between serum Cav-1 levels and Cav-1
 611 immunoreactivity in the infarcted area or damage parameters in the thromboembolic MCAO model. **a)** Analysis
 612 of serum Cav-1 levels after 0, 3 and 24 h of experimental MCAO procedure. Sham ($n=8$); MCAO ($n=7$);
 613 MCAO + rt-PA ($n=6$). **b)** Scatter plot showing a correlation between serum Cav-1 levels and Cav-1
 614 immunoreactivity at 24 h post-MCAO. MCAO ($n=7$); MCAO + rt-PA ($n=5$). **c)** Scatter plot showing a
 615 correlation between baseline serum Cav-1 levels and hemorrhagic volume at 24 h post-MCAO ($n=10$). Serum
 616 Cav-1 levels are expressed as a percentage \pm SD; Cav-1 immunoreactivity as a fold-change vs. sham.

617



618

619 **Figure 5.** Effects of 2.5 h of OGD and rt-PA treatment on Cav-1 expression in bEnd.3 cells. **a)** Representative
 620 image of western blot results showing protein expression of pCav-1, total Cav-1 and the total protein
 621 **quantitation** used as a loading control in bEnd.3 cells submitted (+) or not (-) to OGD and r-PA treatment. **b-c)**
 622 Relative expression of total Cav-1 protein and pCav-1/Cav-1 ratio in the four experimental groups at 0, 3, 24
 623 and 72 h after rt-PA administration. **d-e)** Analysis of metabolic activity (MTT) and transcellular permeability
 624 (FITC-BSA) in the four experimental groups at 72 h after rt-PA administration. Data are presented as means \pm
 625 SD. * $p < .05$ vs. CTR; # $p < .05$ vs. OGD; \$ $p < .05$ vs. CTR + rt-PA. $n = 3-6$ independent cell culture preparations.



626

627 **Figure 6.** Representative images of immunofluorescence assay of Cav-1 (green) in bEnd.3 cells after 2.5 h of
 628 OGD and 72 h after rt-PA administration in the four experimental groups: **a)** CTR group, **b)** OGD-treated cells,
 629 **c)** CTR group with rt-PA and **d)** OGD-treated cells with rt-PA. DAPI (blue) was used to stain nuclei. **e)**
 630 **Quantitation** of Cav-1 fluorescent intensity represented as a percentage vs. CTR. Data are mean \pm SD. * $p < .05$
 631 vs. CTR; # $p < .05$ vs. OGD. $n = 3$ independent cell culture preparations.