

1 **A new predictive model for the outlet turbidity in micro-irrigation**  
2 **sand filters fed with effluents using Gaussian process regression**

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9  
10 **Abstract**

11 Sand media filters used in microirrigation systems must remove suspended particle load  
12 for avoiding emitter physical clogging. Turbidity is a parameter related to suspended  
13 particle load that it is easy and quick to measure and it is also included in some  
14 guidelines for reusing effluents in irrigation. Currently, there are not sufficiently  
15 accurate models available to predict outlet turbidity for sand filters, which would be  
16 useful for both irrigators and engineers. The aim of this study was to obtain a predictive  
17 model able to perform an early detection of the sand filter outlet value of turbidity. This  
18 study presents a powerful and effective Bayesian nonparametric approach, termed  
19 Gaussian process regression (GPR) model, for predicting the output turbidity ( $Turb_o$ )  
20 from data corresponding to 637 samples of different sand filters using reclaimed  
21 effluent. This optimization technique involves kernel parameter setting in the GPR  
22 training procedure, which significantly influences the regression accuracy. To this end,  
23 the most important parameters of this process are monitored and analyzed: type of filter,

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24 height of the filter bed ( $H$ ), filtration velocity ( $v$ ) and filter inlet values of the electrical  
25 conductivity ( $CE_i$ ), dissolved oxygen ( $DO_i$ ),  $pH_i$ , turbidity ( $Turb_i$ ) and water  
26 temperature ( $T_i$ ). The results of the present study are two-fold. In the first place, the  
27 significance of each variable on the filtration is presented through the model. Secondly,  
28 a model for forecasting the outlet turbidity was obtained with success. Indeed,  
29 regression with optimal hyperparameters was performed and a coefficient of  
30 determination equal to 0.8921 for outlet turbidity was obtained when this new predictive  
31 GPR-based model was applied to the experimental dataset. The agreement between  
32 experimental data and the model confirmed the good performance of the latter.

33

34 *Keywords:* Gaussian process regression (GPR); Bayesian statistics; Machine learning  
35 techniques; Drip irrigation; Clogging

36

### 37 **1. Introduction**

38 Shortage of fresh water resources has stimulated the use of reclaimed effluents with  
39 microirrigation systems since these systems offer several agronomic, environmental and  
40 health advantages regarding other irrigation methods (Trooien and Hills, 2007; Tal,  
41 2016). However, the use of effluents pose an increased emitter clogging risk due to their  
42 higher salt, nutrients, solid and biological concentrations. Thus, the greatest challenge  
43 when using effluents is preventing emitter clogging to keep microirrigation systems  
44 operating as designed (Trooien and Hills, 2007). Despite a proper selection of emitter  
45 reduces emitter clogging (Zhou et al., 2019), operation and maintenance practices such  
46 as filtration, water treatment, dripline flushing and monitoring system performance are  
47 required when effluents are used (Trooien and Hills, 2007).

48 Sand media filters are considered the standard for protection of microirrigation systems  
49 (Trooien and Hills, 2017) since they usually remove more particles and therefore reduce  
50 emitter clogging (Ravina et al., 1997; Capra and Scicolone, 2007; Duran-Ros et al.,  
51 2009; Tripathi et al., 2014; **Wen-Yong** et al., 2015). However, investment and  
52 maintenance costs for sand filters are greater (Pujol et al., 2011) and require high  
53 technological and professional standards (Capra and Scicolone, 2007), which is aligned  
54 with the growth of precision microirrigation (Madramootoo and Morrison, 2013). In this  
55 regard, advanced techniques such as neural networks (ANN), gene expression  
56 programming (GEP) (Martí et al., 2013), support vector machines (SVM) (García-Nieto  
57 et al., 2016) have been used for predicting the filtered volume and the value of dissolved  
58 oxygen – an indicator of the water quality – at sand media filter outlets. More recently,  
59 García-Nieto et al. (2017, 2018) used hybrid algorithms and gradient boosted regression  
60 trees for modeling pressure loss in these filters. However, prediction of turbidity values  
61 at microirrigation sand filter outlet has not been completely successful (Puig-Bargués et  
62 al., 2012) although better results have been obtained in a pilot multi-media filter  
63 (Hawari and Alnahhal, 2016). Turbidity is a parameter related to suspended load  
64 (**Stevenson and Bravo, 2019**) that it is easy and quick to measure using specific sensors.  
65 Accurate prediction of turbidity is becoming interesting since several guidelines for  
66 using reclaimed effluents in irrigation (e.g. USEPA, 2012; Alcalde-Sanz and Gawlik,  
67 2017) include thresholds values for this parameter.

68

69 Thus, the application of the innovative methodology that combines the Gaussian  
70 process regression (GPR) approach (Rasmussen, 2003; Kuhn and Johnson, 2018;  
71 Ebden, 2015) with the optimization algorithm Limited-memory Broyden-Fletcher-

72 Goldfarb-Shanno (LBFGB) (Liu and Nocedal, 1989; Byrd et al., 1994; Zhu et al.,  
73 1997) to foretell the outlet turbidity in sand media filters used in microirrigation systems  
74 could be an interesting approach since, at the knowledge of the authors, has not been yet  
75 addressed in previous investigations. GPR is a machine learning method developed on  
76 the basis of statistical theory and Bayesian theory. It is a nonparametric regression  
77 method and can be considered a complex model with capability to model nonlinearities  
78 and variable interactions (Rasmussen, 2003; Ebden, 2015). When this method is  
79 compared with other machine learning techniques (Hastie et al., 2003; Mather and  
80 Johnson, 2015), GPR has several advantages (Rasmussen and Williams, 2006): (1) GPR  
81 has an important generalization capacity; (2) the hyperparameters in GPR can be self-  
82 adaptively calculated; and (3) the GPR outputs have clear probabilistic meaning. In this  
83 study, the LBFGB method was applied successfully to optimize the GPR  
84 hyperparameters. Previous researches show that GPR is an effective tool in many fields,  
85 such as irrigation mapping (Chen et al., 2018), wind engineering and industrial  
86 aerodynamics (Ma et al., 2019), applied geophysics (Noori et al., 2019), applied  
87 demography (Wu and Wang, 2018), psychology (Schulz et al., 2018), mechanical  
88 engineering (Kong et al., 2018), environmental engineering (Liu et al., 2018), tracking  
89 and positioning (Ko et al., 2007a), deformation observation (Rogers and Girolami,  
90 2016), system identification and control (Ko et al., 2007b) and so on. However, it has  
91 never been used in microirrigation sand filters.

92

93 The main objective of the present study was to predict the outlet turbidity ( $Turb_o$ ) in  
94 sand media filters that worked with reclaimed effluents using Gaussian Processes (GPs)  
95 in combination with the LBFGB parameter optimization technique.

96 The structure of this paper is organized as follows: Section 2 introduces the  
97 experimental setup and variables involved in this study as well as the GPR method;  
98 Section 3 describes the results obtained with this model by comparing the GPR results  
99 with the experimental measurements, including the importance of the input variables  
100 and validating the efficacy of the proposed approach; and finally, Section 4 concludes  
101 this study with a list of main findings.

102

## 103 **2. Materials and methods**

### 104 *2.1. Experimental setup*

105 A filtration platform with three sand media filters fed with the reclaimed effluent of the  
106 wastewater treatment plant of Celrà (Girona, Spain) was used for carrying out the  
107 experiment. Each one of the filters had a different underdrain design: inserted domes  
108 (model FA-F2-188, Regaber, Parets del Vallès, Spain), arm collector (model FA1M,  
109 Lama, Sevilla, Spain) and porous media (prototype designed by Bové et al. (2017)) (see  
110 Fig. 1).

111

112 All the filters were filled with silica sand CA-07MS (Sibelco Minerales SA, Bilbao,  
113 Spain) with the same characteristics: an effective diameter ( $D_e$ , size opening which will  
114 pass 10% of the sand) of 0.48 mm and a coefficient of uniformity (ratio of the sizes  
115 opening which will pass 60% and 10% of the sand through, respectively) of 1.73. Two  
116 media heights were tested for each filter: 20 and 30 cm, respectively.

117

118 Each filter operated on a 8 h daily basis and not simultaneously with the other two.  
119 Slight changes on the operation time were sporadically set for solving different

120 operation and maintenance issues. Two filtration velocities were used for each filter: 30  
121 and 60 m/h, respectively. Each combination of media height and filtration velocity was  
122 tested during 250 h. The filters were automatically backwashed when the pressure loss  
123 across them reached 50 kPa for more than 1 min. The backwashing was carried out  
124 during 3 min with previously filtered effluent that was chlorinated for achieving 4 ppm  
125 target chlorine concentration.

126

127 Filtered and backwashed effluent volumes, pressures across the filter and some effluent  
128 quality parameters before (pH, temperature, electrical conductivity, turbidity and  
129 dissolved oxygen) and after (only turbidity and dissolved oxygen) being filtered were  
130 measured and recorded every minute in a supervisory control and data acquisition  
131 system (SCADA) fully described by Solé-Torres et al. (2019).

132

133 **Fig. 1.** Picture of the experimental set-up with the three filter designs: (a) red: arm  
134 collector; (b) blue: inserted domes; and (c) green: porous media prototype.

135

## 136 *2.2. Variables involved in the model and materials tested*

137 The main objective of this study was to compute the outlet turbidity as a function of  
138 different experimentally measured parameters that the GPR-based model needs as  
139 input. The output variable is the outlet turbidity which is an indicator of the quality of  
140 the filtered effluent and it is directly related to physical clogging risk of emitters of  
141 microirrigation systems. The operation input variables are as follows:

- 142 • Filter: Each one of the three filter designs (porous, dome and arm collector)  
143 described in section 2.1. It is a categorical variable;
- 144 • Height of the filter bed (cm): this is an operation variable for sand filters. Two  
145 different filter bed heights of 20 and 30 cm were tested for each filter;
- 146 • Filtration velocity (m/h): it is a variable related to filter operation. Two filtration  
147 velocities (30 and 60 m/h) were tested for each filter since these follow within  
148 the common range of velocities suggested by the manufacturers;
- 149 • Electrical conductivity ( $\mu\text{S}/\text{cm}$ ): it is a general measure of water quality related  
150 to salinity, which is a constraint for using microirrigation (Tal, 2016);
- 151 • Dissolved oxygen (mg/l): it is a variable related to the ability of water to support  
152 aquatic life. This is a common parameter used for controlling biological  
153 treatment in wastewater plants;
- 154 • pH: it measures water acidity or alkalinity;
- 155 • Water temperature ( $^{\circ}\text{C}$ ): temperature of the effluent at the filter inlet;
- 156 • Input turbidity (FNU): this a key parameter for water quality that measures water  
157 clarity, which depends on suspended solid load;
- 158 • Filtered volume ( $\text{m}^3$ ): it measures the volume of effluent filtered in each  
159 filtration cycle.

160

### 161 *2.3. Gaussian process regression (GPR)*

162 GPs are Bayesian state-of-the-art tools for discriminative machine learning (i.e.,  
163 regression, classification, and dimensionality reduction). GPs assume that a GP prior

164 governs the possible latent functions, which are unobserved, and the likelihood (of the  
165 latent function) and observations shape this prior to produce posterior probabilistic  
166 estimates. Consequently, the joint distribution of training and test data is a  
167 multidimensional GP, and the predicted distribution is estimated by conditioning on the  
168 training data (Camps-Valls, 2016).

169

170 To fix ideas, a Gaussian distribution is a probability distribution that explains the  
171 random variables including vectors and scalars. On the one hand, this kind of  
172 distribution is fully stated exactly through the mean and covariance:  $x: N(\mu, \sigma^2)$ . On  
173 the other hand, a Gaussian process can be seen as a generalization of the Gaussian  
174 probability distribution and applies over functions. From the functional space point of  
175 view, a Gaussian procedure is an ensemble of random variables, that is to say, any finite  
176 number having a joint Gaussian distribution.

177

### 178 2.3.1. The fundamentals of GPR

179 Suppose that  $D = \{(\mathbf{x}_i, y_i) / i = 1, 2, \dots, N\}$  depicts the training dataset of the Gaussian  
180 approach. Moreover, the feature vectors  $\mathbf{x}_i \in \mathfrak{R}^n$  comprise the extracted features or the  
181 merged features and the pertinent segregation parameters. The observed target values  $y_i$   
182 reproduce the outlet turbidity (**Turb<sub>o</sub>**) measured in a filtration process, respectively.

183  $X = \{\mathbf{x}_i\}_{i=1}^N$  depicts the input matrix of training dataset,  $\mathbf{y} = \{y_i\}_{i=1}^N$  symbolizes the output  
184 vector. A Gaussian process  $f(\mathbf{x})$  defines a prior over functions, which can be converted  
185 into a posterior over functions once we have seen some data. A Gaussian process can



186 be fully stated exactly by using its mean function  $m(\mathbf{x})$  and covariance function  
 187  $k(\mathbf{x}, \mathbf{x}')$ . In this way, the Gaussian process is indicated as (Rasmussen and Williams,  
 188 2006; Marsland, 2014):

$$f(\mathbf{x}): GP(m(\mathbf{x}), k(\mathbf{x}, \mathbf{x}')) \quad (1)$$

189 so that

$$\begin{aligned} m(\mathbf{x}) &= E[f(\mathbf{x})] \\ k(\mathbf{x}, \mathbf{x}') &= E\left[(f(\mathbf{x}) - m(\mathbf{x}))(f(\mathbf{x}') - m(\mathbf{x}'))^T\right] \end{aligned} \quad (2)$$

190 The mean function  $m(\mathbf{x})$  depicts the anticipated value of the function  $f(\mathbf{x})$  at the input  
 191 point  $\mathbf{x}$ . The covariance function  $k(\mathbf{x}, \mathbf{x}')$  can be taken into account as a measurement  
 192 of the confidence level for  $m(\mathbf{x})$ , and it is required that  $k(\cdot, \cdot)$  be a positive definite  
 193 kernel. In general, the mean function is set to be zero for notation simplicity, but it is  
 194 also reasonable if there is no prior knowledge about the mean variable, as is the case in  
 195 this study.

196

197 The choice of the covariance function is critical for the Gaussian process. It describes  
 198 the assumptions about the latent regression model and, therefore, is also referred to as  
 199 the prior (Schneider and Ertel, 2010). In this research, the affine mean function and  
 200 squared-exponential (SE) covariance function are expressed as follows (Shi and Choi,  
 201 2011; Kuhn and Johnson, 2018):

$$k_{SE}(\mathbf{x}, \mathbf{x}') = \sigma_f^2 \exp\left(-\frac{\|\mathbf{x} - \mathbf{x}'\|^2}{2l^2}\right) \quad (3)$$

202 being  $l$  the characteristic length-scale and  $\sigma_f^2$  the signal variance. The parameter  
 203 selection of the SE covariance function has a direct effect on the performance of the  
 204 Gaussian process. Here,  $l$  controls the horizontal scale over which the function changes,  
 205 and  $\sigma_f^2$  controls the vertical scale of the function.

206

207 The function values  $f(\mathbf{x})$  are not achievable in most applications. In practice, only the  
 208 noisy observations are available given by:

$$\mathbf{y} = f(\mathbf{x}) + \varepsilon \quad (4)$$

209 so that  $\varepsilon$  is the additive white noise and besides suppose that Gaussian noise is  
 210 independent and identically distributed such that  $\varepsilon : N(0, \sigma_n^2)$ , where  $\sigma_n$  is the  
 211 standard deviation of this noise. Any finite number of the observed values can also  
 212 constitute an individual Gaussian process as given by (Vidales, 2019):

$$\mathbf{y} : GP(m(\mathbf{x}), k(\mathbf{x}, \mathbf{x}') + \sigma_n^2 \delta_{ij}) = GP(0, k(\mathbf{x}, \mathbf{x}') + \sigma_n^2 \delta_{ij}) \quad (5)$$

213 where  $\delta_{ij}$  is the Kronecker delta function described as:

$$\delta_{ij} = \begin{cases} 1 & \text{if } i = j \\ 0 & \text{otherwise} \end{cases}$$

215 The purpose of the GPR model is to foretell the function value  $\bar{f}^*$  and its variance  
 216  $\text{cov}(f^*)$  given the new test point  $\mathbf{x}^*$ . In this sense,  $X^*$  depicts the input matrix of test  
 217 dataset and  $N^*$  the size of test dataset. Taking into account the definition of Gaussian  
 218 process, the observed values and the function values at new test points obey a joint  
 219 Gaussian previous distribution which can be expressed as:

$$\begin{bmatrix} \mathbf{y} \\ \mathbf{f}^* \end{bmatrix} : N \left( \mathbf{0}, \begin{bmatrix} K(X, X) + \sigma_n^2 I & K(X, X^*) \\ K(X^*, X) & K(X^*, X^*) \end{bmatrix} \right) \quad (6)$$

220 where:

- 221 •  $K(X, X)$ : is the covariance matrix of training dataset;
- 222 •  $K(X^*, X^*)$ : is the covariance matrix of test dataset;
- 223 •  $K(X, X^*)$ : depicts the covariance matrix obtained from the training and test
- 224 dataset. Furthermore  $K(X^*, X) = K(X, X^*)^T$ .

225

226 Since  $\mathbf{y}$  and  $\mathbf{f}^*$  are jointly distributed, it is possible to condition the prior on the  
 227 observations and ask how likely predictions for the  $\mathbf{f}^*$  are. This can be expressed as:

$$\mathbf{f}^* | X^*, X, \mathbf{y} : N(\bar{\mathbf{f}}^*, \text{cov}(\mathbf{f}^*)) \quad (7)$$

228 where

$$\bar{\mathbf{f}}^* = E[\mathbf{f}^* | X^*, X, \mathbf{y}] = K(X^*, X) [K(X, X) + \sigma_n^2 I]^{-1} \mathbf{y} \quad (8)$$

$$\text{cov}(\mathbf{f}^*) = K(X^*, X^*) - K(X^*, X) [K(X, X) + \sigma_n^2 I]^{-1} K(X, X^*) \quad (9)$$

229 Afterwards, the subsequent distribution can be used for the forecast of new test input  
 230 points. Indeed,  $\bar{\mathbf{f}}^*$  is the predicted output value of the GPR model for test point.

231 Additionally, confidence interval (CI) of the predicted output value can be calculated  
 232 through the variance  $\text{cov}(\mathbf{f}^*)$ . For instance, the 95% CI can be determined by

233  $\left[ \bar{\mathbf{f}}^* - 2 \times \sqrt{\text{cov}(\mathbf{f}^*)}, \bar{\mathbf{f}}^* + 2 \times \sqrt{\text{cov}(\mathbf{f}^*)} \right]$ . As a consequence, the GPR model not only

234 supplies the predicted values but also furnishes the confidence level of the predicted  
 235 results.

236

237 Finally, the GPR model is a nonparametric model since the predicted outputs rely only  
 238 on the inputs and the observed values  $\mathbf{y}$ . In this way, parameters  $\Theta = \{l, \sigma_f, \sigma_n\}$  are  
 239 termed the hyperparameters of the GPR model.

240

### 241 2.3.2. Hyperparameter estimation

242 The predictive performance of GPR model depends exclusively on the suitability of the  
 243 chosen kernel. To estimate the kernel hyperparameters, an exhaustive search over a  
 244 discrete grid of values can be used, but this can be quite slow. The most usual method  
 245 considers an empirical Bayes approach that maximizes the marginal likelihood. That is,  
 246 the optimal hyperparameters are achieved by maximizing the log marginal likelihood.

247

248 The marginal likelihood  $P(\mathbf{y}|X)$  is obtained, using Bayes' rule, as:

$$P(\mathbf{y}|X) = \int P(\mathbf{y}|f, X)P(f|X)df \quad (10)$$

249 The term marginal likelihood refers to the marginalization over the function values  $\mathbf{f}$ .

250 Since  $\mathbf{y} \sim \mathbf{N}[0, K(X, X)]$ , the log marginal likelihood can be written as:

$$\log p(\mathbf{y}|\mathbf{u}X) = -\frac{1}{2}\mathbf{y}K_y^{-1}\mathbf{y} - \frac{1}{2}\log|\mathbf{u}K_y\mathbf{u}| - \frac{N}{2}\log(2\pi) \quad (11)$$

251 where  $K_y = K + \sigma_n^2 I$ ,  $K = K(X, X)$  and  $|\mathbf{u}|$  is the determinant. In this expression, the  
 252 first term is a data-fit term, the second term (always positive), subtracted from it, is a  
 253 model complexity penalty, and the last term is just a normalization constant. Then, this

254 expression shows that the criterion of maximum marginal likelihood avoids the problem  
255 of over-fitting because if two models are explaining the observed data, then the simplest  
256 one will be chosen (Murphy, 2012).

257

258 The optimal hyperparameters  $\Theta' = \arg \max_{\Theta} \log p(\mathbf{y}|X, \Theta)$  can be calculated using any  
259 standard gradient-based optimizer after parameter initialization. In this study, the variant  
260 of the limited-memory Broyden-Fletcher-Goldfarb-Shanno algorithm, denominated  
261 LBFGSB algorithm (Liu and Nocedal, 1989; Byrd et al., 1994; Zhu et al., 1997) is used.

262

#### 263 *2.4. The goodness-of-fit of this approach*

264 Eight predicting variables were used (see section 2.2) to construct the new GPR-based  
265 model. The output predicted variable is the outlet turbidity. To predict the outlet  
266 turbidity from other input operation parameters, it is necessary to choose the model that  
267 best fits the experimental data. In this sense, to determine the goodness-of-fit, the  
268 criterion considered here was the coefficient of determination  $R^2$  (Picard and Cook,  
269 1984; Freedman et al., 2007). A dataset takes values  $t_i$ , each of which has an associated  
270 modelled value  $y_i$ . The former are termed the observed values and the latter are often  
271 referred to as the predicted values. The dataset variability is measured through different  
272 sums of squares as follows (Freedman et al., 2007):

273 •  $SS_{tot} = \sum_{i=1}^n (t_i - \bar{t})^2$  : the total sum of squares, proportional to the sample variance;

274 •  $SS_{reg} = \sum_{i=1}^n (y_i - \bar{t})^2$  : the regression sum of squares, also termed the explained

275 sum of squares;

276 •  $SS_{err} = \sum_{i=1}^n (t_i - y_i)^2$  : the residual sum of squares.

277 Note that in the previous sums,  $\bar{t}$  is the mean of the  $n$  observed data:

$$\bar{t} = \frac{1}{n} \sum_{i=1}^n t_i \quad (12)$$

278 Taking into account the above sums, the coefficient of determination is defined via:

$$R^2 \equiv 1 - \frac{SS_{err}}{SS_{tot}} \quad (13)$$

279 so that a coefficient of determination value of 1.0 points out that the regression curve  
280 fits the data perfectly.

281

282 Two additional criteria considered in this study were the root mean square error  
283 (RMSE) and mean absolute error (MAE) (Hastie et al., 2003; Wasserman, 2003). These  
284 statistics are also used frequently to evaluate the forecasting capability of a  
285 mathematical model. Indeed, the root mean square error (RMSE) and mean absolute  
286 error (MAE) are given by the expressions (Freedman et al., 2007; Wasserman, 2003):

$$RMSE = \sqrt{\frac{\sum_{i=1}^n (t_i - y_i)^2}{n}} \quad (14)$$

$$MAE = \frac{\sum_{i=1}^n |t_i - y_i|}{n} \quad (15)$$

287 If the root mean square error (RMSE) has a value of zero, it means that there is no  
288 difference between the predicted and observed data. Mean Absolute Error (MAE) is the  
289 average vertical distance between each point and the identity line. MAE is also the

290 average horizontal distance between each point and the identity line. MAE has a clear  
291 interpretation as the average absolute difference between  $t_i$  and  $y_i$ .

292

293 Besides, it is well known that the GPR technique depends strongly on the following  
294 hyperparameters (Friedman and Roosen, 1995; Xu et al., 2004; Vidoli, 2011):

295 • Variance ( $\sigma_f^2$ ): is the signal variance and controls the vertical scale of the kernel  
296 function;

297 • Lengthscale ( $\ell$ ): the characteristic length-scale and controls the horizontal scale  
298 over which the kernel function changes;

299 • Gaussian noise variance ( $\sigma_n^2$ ): if  $\varepsilon$  is the additive white noise and the Gaussian  
300 noise is independent and identically distributed such that  $\varepsilon : N(0, \sigma_n^2)$ , then  $\sigma_n^2$   
301 is the variance of this noise.

302 At this point, we have constructed a model (specifically in this study, the novel GPR–  
303 based model) taking as dependent variable the outlet turbidity (output variable) from the  
304 other eight remaining variables (input variables) in granular filters (Tien, 2012; Bové et  
305 al., 2015), studying their effect in order to optimize its calculation through the analysis  
306 of the coefficient of determination  $R^2$  with success.

307

308 Additionally, as previously mentioned, this GPR technique is greatly dependent on their  
309 hyperparameters: variance ( $\sigma^2$ ); lengthscale ( $\ell$ ) and the Gaussian noise variance ( $\sigma_n^2$ ).

310 The traditional way of performing hyperparameter optimization has been *grid search*, or  
311 a *parameter sweep*, which is simply an exhaustive searching through a manually

312 specified subset of the hyperparameter space of a learning algorithm. In this study, the  
313 variant of the limited-memory Broyden-Fletcher-Goldfarb-Shanno algorithm,  
314 denominated LBFGSB algorithm (Liu and Nocedal, 1989; Byrd et al., 1994; Zhu et al.,  
315 1997) is used due to its features of rapid convergence and moderate memory  
316 requirement for large-scale problems. Moreover, LBFGSB is an iterative algorithm.  
317 After initialization with a starting point and boundary constraints, it iterates through five  
318 phases (Fei et al., 2014): (1) gradient projection; (2) generalized Cauchy point  
319 calculation; (3) subspace minimization; (4) line searching; and (5) limited-memory  
320 Hessian approximation. It is important to observe LBFGSB is an iterative algorithms  
321 that requires initialization and is sensitive to the initial value of the hyperparameters.

322

### 323 **3. Results and discussion**

324 The new predictive model created, employed as input variables eight different operation  
325 variables. All of them are presented in Table 1. The total number of samples measured  
326 experimentally was 637, but after removing samples with missing data, we have worked  
327 with data from 547 filtration cycles.

328

#### 329 **Table 1**

330 **Set of operation physical input variables used in this study along with their mean,**  
331 **median, standard deviation (STD) and mean absolute deviation (MAD).**

332



333 In order to tackle this study, we divided the dataset in a training set with 80% of the  
334 data, and testing set with the remainder 20% of the data. A model is constructed and  
335 optimized with the training data and then, it is tested with the test data set.

336

337 The outlet turbidity is used as output dependent variable of the proposed GPR-based  
338 model. The prediction performed from the independent variables (Tien, 2012) was  
339 satisfactory as it was already stated before, the GPR technique is influenced by the  
340 selection of the GPR hyperparameters much as the variance  $\sigma^2$  and lengthscale  $\ell$  for  
341 the RBF kernel, the Gaussian noise variance  $\sigma_n^2$  and objective function value.

342

343 Table 2 points out the optimal hyperparameters of the best fitted GPR-based model  
344 found with the LBFGSB optimization technique. Usually, the traditional way of  
345 performing hyperparameter optimization in most computational codes has been *grid*  
346 *search*, or a parameter sweep, which is simply an exhaustive searching through a  
347 manually specified subset of the hyperparameter space of a learning algorithm. Indeed,  
348 the grid search is a brute force method and, as such, almost any optimization method  
349 improves its efficiency. The LBFGSB method used here belongs to quasi-Newton  
350 methods, a class of hill-climbing optimization techniques that seek a stationary point of  
351 a function. It is an iterative method for solving nonlinear optimization problems.

352

### 353 **Table 2**

354 Optimal hyperparameters of the best fitted GPR-based model found with the LBFGSB  
355 technique: variance  $\sigma_f^2$  and lengthscale  $\ell$  for the RBF kernel, the Gaussian noise

356 variance  $\sigma_n^2$ , and the corresponding objective function value for the optimized models  
357 for the training set.

358

359 Therefore, we have constructed a new predictive model that is the GPR-based model  
360 that employs as dependent variable the outlet turbidity in micro-irrigation sand filters  
361 fed with effluents.

362

363 The value of  $R^2$  was calculated using the optimized model with the testing set. The  
364 module Gpy from the Gaussian process framework in python (Gpy, 2014; Martin,  
365 2018), along with the LBFGSB technique (Liu and Nocedal, 1989; Byrd et al., 1994;  
366 Zhu et al., 1997), were used to construct the final regression model.

367

368 Taking into account the results achieved, the GPR technique in combination with the  
369 LBFGSB optimization method is able to build models with a high performance for the  
370 estimation of the outlet turbidity in micro-irrigation sand filters fed with effluents using  
371 the test set. Indeed, the coefficient of determination ( $R^2$ ) of the fitted GPR model was of  
372 0.8921 with a correlation coefficient of 0.9445, and the root mean square error (RMSE)  
373 and mean absolute error (MAE) were 0.4335 and 0.2974 for the outlet turbidity,  
374 respectively. A computer with a CPU Intel Core i7-4770 @ 3.40 GHz with eight cores  
375 and 15.5 GB RAM memory was used, taking 0.2676 seconds to obtain the final outlet  
376 turbidity ( $Turb_o$ ) model.

377

378 A graphical representation of the terms that form the best fitted GPR-based model for  
379 the outlet turbidity ( $Turb_o$ ) is shown below in Figs. 2 and 3.

380

381 **Fig. 2.** First-order terms for some of the independent variables for the dependent  
382 variable output turbidity ( $Turb_o$ ).

383

384 **Fig. 3.** Second-order terms of some of the independent variables for the dependent  
385 variable output turbidity ( $Turb_o$ ).

386

### 387 *3.1. Importance of the variables*

388 The importance of the variables for Gaussian Process models is often done using  
389 automatic relevance determination (ARD) (Seeger, 2000). However, this procedure does  
390 not provide an adequate technique because it systematically underestimates the  
391 relevance of linear input variables in relation with nonlinear ones that have the same  
392 relevance in the generation of the squared error (Piironen and Vehtari, 2016). This is  
393 consistent with our experience. For instance, it is to be expected that an important  
394 variable for  $Turb_o$  is  $Turb_i$ . This result is not obtained with ARD, where the importance  
395 of this variable is relegated to the last positions of the relevance ranking. As an  
396 alternative, Paananen and co-workers (Paananen et al., 2019) propose the use the  
397 variance of the posterior latent mean. When the value of a single independent variable is  
398 modified a small amount, a large variation of the value of the latent mean implies that  
399 this variable is relevant. However, this method is not suitable for categorical variables,  
400 as it is the case with the *filter* variable, as they do not admit small modifications: either

401 we have one filter or other. Thus, in our study, a different method that accounts for the  
402 presence of categorical variables has been used: the importance of the variables has  
403 been studied removing a variable, evaluating the new model performance and  
404 comparing it with the performance of the full model. The greater the decrease in the  
405 goodness-of-fit parameter, the greater the importance of the independent variable.

406

407 Therefore, as an additional result of these calculations, the significance rankings for the  
408 input variables predicting the outlet turbidity (output variable) in this complex nonlinear  
409 study are shown in Table 3 and Fig. 4. Thus, for the GPR model the most significant  
410 variable in output turbidity prediction is the input turbidity, followed by the filter,  
411 electrical conductivity, height of the filter bed, velocity, dissolved oxygen, water  
412 temperature and pH.

413

414 **Table 3**

415 Log marginal likelihood variation value between the full model and the model without  
416 the variable for the Turb<sub>o</sub> model.

417

418 **Fig. 4.** Relative relevance of the variables in the GPR model for the outlet turbidity  
419 (Turb<sub>o</sub>).

420

421 As it could be anticipated, outlet turbidity is highly dependent on inlet turbidity since  
422 suspended particles are retained across filter media, and therefore turbidity is reduced.  
423 Less turbidity at filter outlet is to be expected. However, turbidity removal depends also

424 on media particle size (Triphati et al., 2014) and on the interaction between filter type,  
425 media height and filtration velocity, considering input turbidity as a co-variable (Solé-  
426 Torres et al., 2019b). The results confirm these previous results, but electrical  
427 conductivity has also an effect that was not considered before since only one water  
428 quality parameter could be included in the analysis carried out by Solé-Torres et al.  
429 (2019b). Electrical conductivity measures total dissolved solids (Trooien and Hills,  
430 2007) and is not directly related with turbidity but with the effluent that was used in the  
431 experiment it showed a slight effect on outlet turbidity. Further research considering  
432 more filtration velocities and media heights could shed more light on their effect on  
433 turbidity values.

434

435 In conclusion, this research work was able to estimate the outlet turbidity (output  
436 variable) in agreement with the actual experimental values observed using the GPR-  
437 based model with great accurateness as well as success. Indeed, Fig. 5 shows the  
438 comparison among the outlet turbidity values observed and predicted by using the GPR  
439 model with the testing set. Therefore, it is mandatory the use of a GPR model with an  
440 LBFGB optimization technique in order to achieve the best effective approach in this  
441 regression problem.

442

443 **Fig. 5.** Observed and predicted  $Turb_o$  values, taking into account the confidence  
444 interval, by using the GPR-based model with the testing set ( $R^2 = 0.8921$ ).

445

446

#### 447 **4. Conclusions**

448 Taking into account the experimental and numerical results, the main findings of this  
449 study can be summarized as follows:

- 450 • Firstly, there are no analytical equations to predict the outlet turbidity from the  
451 experimental values; accordingly, the development of alternative diagnostic  
452 techniques is very important. In this sense, the new GPR-based method used in  
453 this work is a good decision to evaluate the outlet turbidity in sand media filters  
454 used in microirrigation systems;
- 455 • Secondly, the assumption that the outlet turbidity diagnosis can be accurately  
456 modelled by using a hybrid GPR-based model in granular filters was confirmed;
- 457 • Thirdly, a reasonable coefficient of determination equal to 0.8921 was obtained  
458 when this GPR-based model was applied to the experimental dataset  
459 corresponding to the outlet turbidity ( $Turb_o$ );
- 460 • Fourthly, the significance order of the input variables involved in the prediction  
461 of the outlet turbidity in sand media filters was set. This is one of the main  
462 findings in this work. Specifically, input variable Turbidity ( $Turb_i$ ) could be  
463 considered the most influential parameter in the prediction of the outlet  
464 turbidity. In this regard, it is also important to highlight the influential role of the  
465 type of filter in the dependent variable outlet turbidity;
- 466 • Finally, the influence of the hyperparameters setting of the GPR approach on the  
467 outlet turbidity regression performance was set up.

468 In summary, this methodology could be applied to other filtration processes with similar  
469 or distinct filter media types with success, but it is always necessary to take into account

470 the characteristics of each filter and experiment. Consequently, an effective GPR-based  
471 model is a good practical solution to the problem of the determination of the outlet  
472 turbidity in sand media filters broadly used in microirrigation systems.

473

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480

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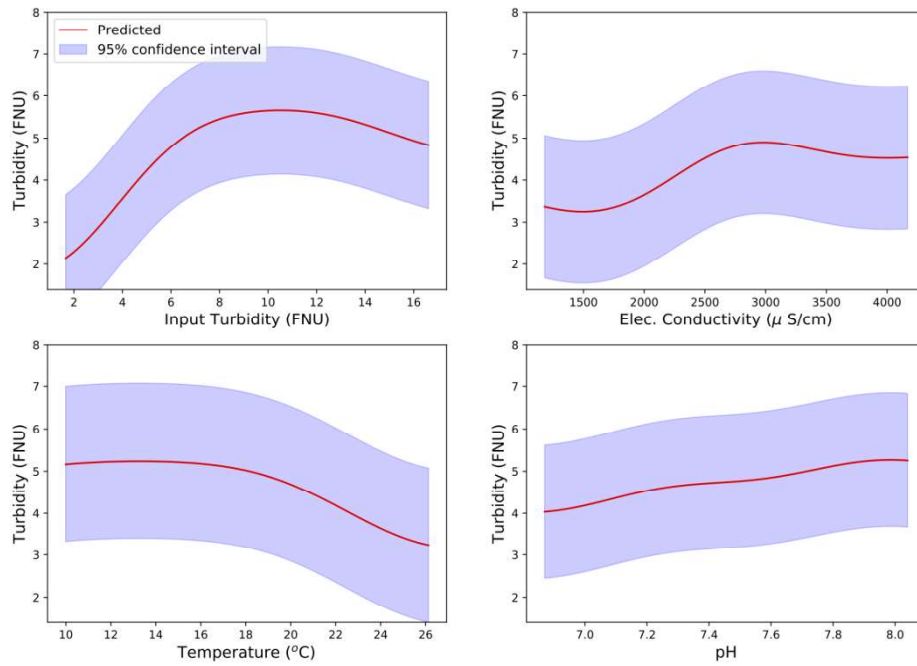
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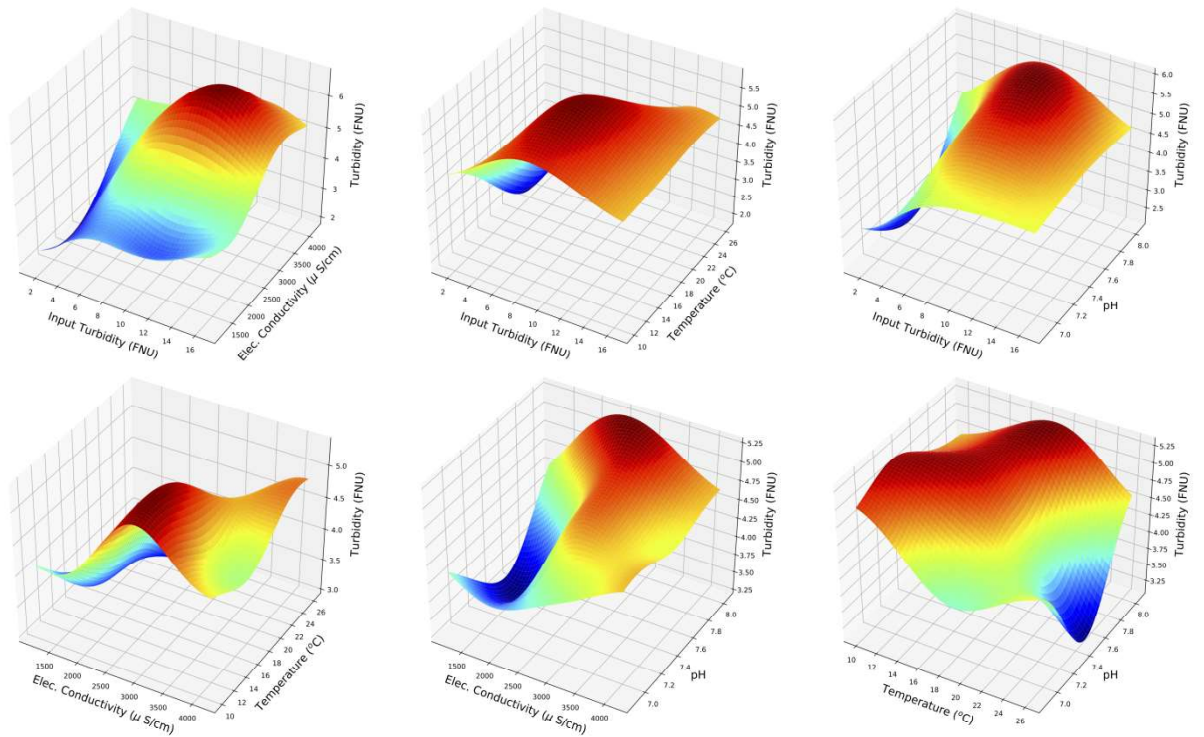


**Fig. 1.** Picture of the experimental set-up with the three filter designs: (a) red: arm collector; (b) blue: inserted domes; and (c) green: porous media prototype.

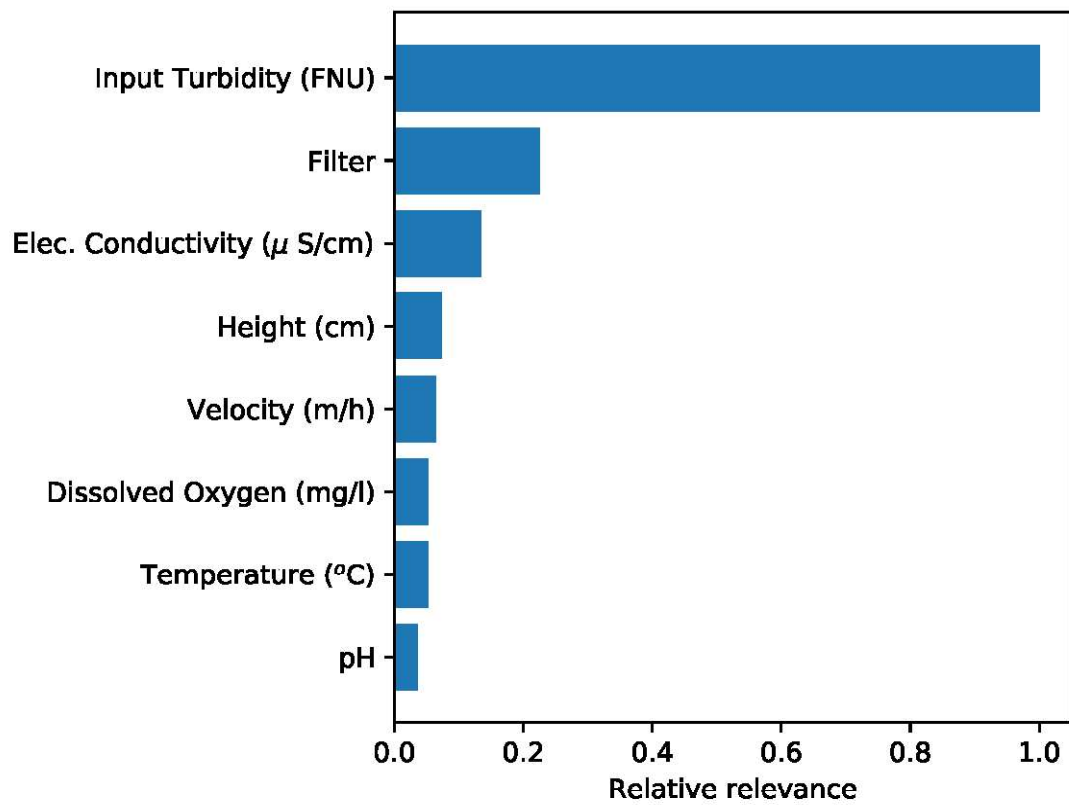


**Fig. 2.** First-order terms for some of the independent variables for the dependent variable output turbidity ( $Turb_0$ ).

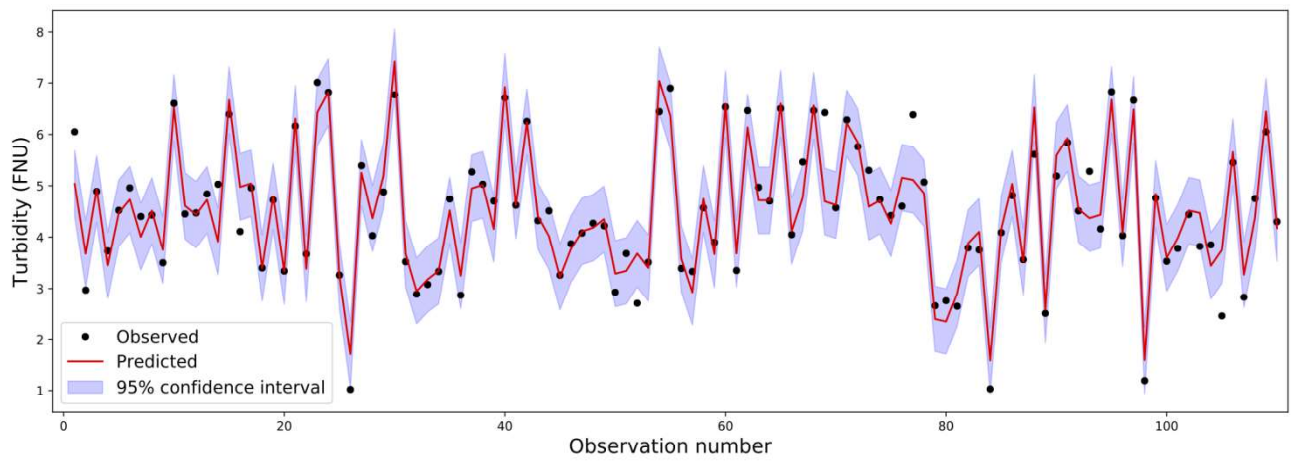




**Fig. 3.** Second-order terms of some of the independent variables for the dependent variable output turbidity ( $Turb_0$ ).



**Fig. 4.** Relative relevance of the variables in the GPR model for the outlet turbidity ( $Turb_o$ ).



**Fig. 5.** Observed and predicted  $Turb_o$  values, taking into account the confidence interval, by using the GPR-based model with the testing set ( $R^2 = 0.8921$ ).

**Table 1**

Set of operation physical input variables used in this study along with their mean, median, standard deviation (STD) and mean absolute deviation (MAD).

Input variables	Name of the variable	Mean	Median	STD	MAD
Filter media type	Filter	--	--	--	--
Height of the filter bed (cm)	H	25.631	30.000	4.9601	0.0000
Filtration velocity (m/h)	v	49.909	60.000	14.174	0.0000
Electrical conductivity ( $\mu S/cm$ )	CE <sub>i</sub>	2575.6	2639.0	497.68	285.00
Dissolved oxygen (mg/l)	DO <sub>i</sub>	3.3529	3.3300	0.9860	0.6700
pH	pH <sub>i</sub>	7.3526	7.3800	0.2229	0.1400
Input turbidity (FNU)	Turb <sub>i</sub>	6.1029	5.8000	2.5898	1.5800
Water temperature (°C)	T <sub>i</sub>	20.002	19.960	3.3486	2.6200

**Table 2**

Optimal hyperparameters of the best fitted GPR-based model found with the LBFGSB technique: variance  $\sigma_f^2$  and lengthscale  $\ell$  for the RBF kernel, the Gaussian noise variance  $\sigma_n^2$ , and the corresponding objective function value for the optimized models for the training set.

Output variable	$\sigma_f^2$	$\ell$	$\sigma_n^2$	Objective fun. value
Turb <sub>o</sub>	1.05	1.56	0.0298	174

**Table 3**

Log marginal likelihood variation value between the full model and the model without the variable for the Turb<sub>0</sub> model.

<b>Variable</b>	<b>Likelihood variation</b>
Input Turbidity (FNU)	566
Filter	128
Electrical Conductivity ( $\mu$ S/cm)	76
Height (cm)	42
Velocity (m/h)	36
Dissolved Oxygen (mg/l)	30
Temperature ( $^{\circ}$ C)	29
pH	20