

1 **Mucosa-associated *Faecalibacterium prausnitzii* phylotype richness is reduced in**  
2 **inflammatory bowel disease patients.**

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14 **RUNNING TITLE:**

15 Phylotype richness of *Faecalibacterium prausnitzii*

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25

**26 ABSTRACT**

27 *Faecalibacterium prausnitzii* depletion in intestinal diseases has been extensively  
28 reported, but little is known about intra-species variability. This work aims to determine  
29 if subjects with gastrointestinal disease host different mucosa-associated *F. prausnitzii*  
30 populations from healthy individuals. A new species-specific polymerase chain  
31 reaction-denaturing gradient gel electrophoresis (PCR-DGGE) targeting the 16SrRNA  
32 gene was developed to fingerprint *F. prausnitzii* populations in biopsies from 31 healthy  
33 controls (H), 36 Crohn's disease (CD), 23 ulcerative colitis (UC), 6 irritable bowel  
34 syndrome (IBS), and 22 colorectal cancer (CRC) patients. The richness of *F. prausnitzii*  
35 subtypes was lower in inflammatory bowel disease (IBD) patients than in H subjects.  
36 The most prevalent operational taxonomic units (OTU) consisted of four phylotypes  
37 (OTU99), which were shared by all groups of patients. Their distribution and the  
38 presence of some disease-specific *F. prausnitzii* phylotypes allowed us to differentiate  
39 IBD and CRC population from that in H. At the OTU97 level, two phylogroups  
40 accounted for of 98% the sequences. Phylogroup I was found in 87% of H but in under  
41 50% of IBD patients (P=0.003). In contrast, phylogroup II was detected in >75% of IBD  
42 patients and only in 52% of H subjects (P=0.005). This study reveals that despite the  
43 main members of *F. prausnitzii* population are present in both H and individuals with  
44 gut diseases, richness is reduced in the latter, and an altered phylotype distribution  
45 exists between diseases. This approach may serve as a basis for addressing the  
46 suitability of their quantification as putative biomarkers of disease, and depicting the  
47 importance of these subtype losses in disease pathogenesis.

**48 KEYWORDS**

49 *Faecalibacterium prausnitzii*, DGGE, richness, inflammatory bowel disease, Crohn's  
50 disease, ulcerative colitis, colorectal cancer, irritable bowel syndrome

51

## 52 INTRODUCTION

53 Metagenomic studies have shown that the human gut microbiota is constituted  
54 by a relatively limited number of dominating bacterial phyla. While in healthy adults,  
55 Bacteroidetes and Firmicutes are the most abundant phyla, Proteobacteria,  
56 Verrucomicrobia, Actinobacteria and Fusobacteria are relatively scarce (1-3). The  
57 Firmicute *Faecalibacterium prausnitzii* (Ruminococcaceae) is one of the three most  
58 abundant species, representing approximately 6-8% of the gut microbial community in  
59 healthy subjects, although it can reach up to 20% in some individuals (1, 4-11). In  
60 contrast, depletion of *F. prausnitzii* has been reported to occur in several pathological  
61 disorders (for review see (12) and references therein) such as Crohn's disease (CD)(12-  
62 19), ulcerative colitis (UC) (11, 14, 15, 17, 20-26), irritable bowel syndrome (IBS) of  
63 alternating type (27), colorectal cancer (CRC) (28, 29), and diabetes (30-32).

64 Many studies have shown the potential role of *F. prausnitzii* in promoting gut  
65 health through the secretion of anti-inflammatory compounds such as butyrate (16, 33-  
66 36), and in reducing the severity of induced-colitis in mice (16, 37). Despite being a  
67 relatively abundant bacteria, capable of regulating gut homeostasis (38, 39) and  
68 interacting in several host pathways (40), few studies have paid attention to the  
69 distribution of phylotypes within faecalibacteria populations in the human gut. Random  
70 Amplified Polymorphic DNA-polymerase chain reaction (RAPD-PCR) fingerprinting  
71 of 18 isolates from fecal samples of ten healthy subjects showed that an individual can  
72 have up to four different *F. prausnitzii* strains and that these are grouped by individual  
73 (35). Besides, 16S rRNA gene analysis of these isolates indicated that each strain has its  
74 unique sequence, but they group into two *F. prausnitzii* phylogroups that have a 97%  
75 16S rRNA gene sequence similarity. These two phylogroups coexist in healthy  
76 individuals (35) and comprise approximately 97% of *F. prausnitzii* 16S rRNA

77 sequences found in feces (10, 41). However, it still remains to be elucidated how many  
78 different *F. prausnitzii* are hosted by patients with gut diseases, and it is still unknown if  
79 the *F. prausnitzii* population of patients suffering intestinal disorders differs from that  
80 found in healthy subjects.

81         This work describes the *F. prausnitzii* populations present in inflammatory  
82 bowel disease (IBD), CRC and IBS patients by using a species-specific polymerase  
83 chain reaction (PCR) followed by denaturing gradient gel electrophoresis (DGGE) and  
84 then sequencing bands. The main objective therefore was to determine if phyloyppe  
85 profiles correlated with certain intestinal disorders. We have also investigated whether  
86 or not certain phylotypes are associated with patients' clinical characteristics in order to  
87 reveal potential biomarkers for diagnosis support and/or prognosis.

88

## 89 MATERIALS AND METHODS

### 90 Patients, clinical data and sampling.

91 A Spanish cohort consisting of 118 volunteers (36 CD, 23 UC, 6 IBS, 22 CRC,  
92 and 31 control (H) subjects) was included (Table 1). Subjects were recruited by the  
93 Gastroenterology Services of the Hospital Universitari Dr. Josep Trueta (Girona, Spain)  
94 and the Hospital Santa Caterina (Salt, Spain). Patients were gender- and age-matched,  
95 except CRC patients who were significantly older than all the other groups ( $P<0.001$ ),  
96 and H subjects who were older than those with IBD ( $P\leq 0.013$ ). IBD patients were  
97 diagnosed according to standard clinical, pathological and endoscopic criteria and  
98 categorized as stated in the Montreal classification (42). Rome III criteria was used to  
99 diagnose IBS patients (available at <http://www.romecriteria.org/criteria/>). CRC  
100 diagnosis was established by colonoscopy and biopsy. The control group (H) consisted  
101 of subjects with normal colonoscopy who underwent this procedure for reasons such as  
102 rectorrhagia (N=9), colorectal cancer familial history (N=11), and abdominal pain  
103 (N=11). None of the subjects received antimicrobial treatment for at least two months  
104 before colonoscopy.

105 Prior to colonoscopy, patients were subjected to cleansing of the gastrointestinal  
106 tract using Casenglicol<sup>®</sup> following manufacturer's guidelines. During routine  
107 colonoscopy, a biopsy sample from transverse colon was collected for each subject,  
108 following standard procedures. When it was not technically possible, rectal samples  
109 were taken instead, because the mucosa-associated community profile is rather stable  
110 along the gut (15, 43). All biopsies were immediately placed in sterile tubes without any  
111 buffer and stored at  $-80^{\circ}\text{C}$  following completion of the whole endoscopic procedure  
112 and upon analysis.

### 113 Ethical consideration.

114 This work was approved by the Ethics Committee of Clinical Research of the  
115 Hospital Universitari Dr. Josep Trueta (Girona, Spain) and the Institut d'Assistència  
116 Sanitària of Girona (Salt, Spain) on 24<sup>th</sup> February 2009 and 21<sup>st</sup> April 2009,  
117 respectively. Informed consent from the subjects was obtained before enrollment.

118 **Sample treatment and DNA extraction.**

119 Prior to DNA extraction, biopsies were subjected to two mild ultrasound wash  
120 cycles to discard transient and loosely attached bacteria as previously reported (15).  
121 DNA was extracted using the NucleoSpin<sup>®</sup> Tissue Kit (Macherey-Nagel GmbH & Co.,  
122 Germany). The support protocol for Gram positive bacteria and the RNase treatment  
123 step were carried out. Genomic DNA was stored at -80 °C until use. DNA concentration  
124 and purity of the extracts were determined with a NanoDrop ND-100 spectrophotometer  
125 (NanoDrop Technologies, USA).

126 **Primers design to study *F. prausnitzii* population.**

127 A conventional PCR assay consisting of a species-specific primer set targeting  
128 the 16S rRNA gene was designed. 16S rRNA gene sequences from *F. prausnitzii* and  
129 from other Ruminococcaceae (Table S1) were recovered from GenBank and aligned  
130 using Clustal W (44). Specific primers targeting DNA regions exclusive for  
131 *F. prausnitzii* were manually designed and further checked using the softwares Primer  
132 Express<sup>®</sup> version 3.0 software (Applied Biosystems, Foster City, CA, USA) and  
133 NetPrimer<sup>®</sup> software (available at <http://premierbiosoft.com/netprimer>, PREMIER  
134 Biosoft International, California, USA) to check for primer-dimer structures, hairpins  
135 and possible cross dimer interactions between oligonucleotides.

136 The final primer set designed in this study was: Fpra 427F 5'-  
137 TAAACTCCTGTTGTTGAGGAAGAT-3' and Fpra 1127R 5'-

138 TTTGTCAACGGCAGTCYKG-3', flanking a ~700-bp fragment that includes variable  
139 regions V3 to V6.

140 Oligonucleotides specificity was tested *in silico* by comparing against the  
141 Ribosomal Database Project II (45) and GenBank database through Seqmatch and  
142 BLAST (46) tools, respectively. Additionally, an *in vitro* inclusivity/exclusivity test was  
143 performed (see details in Supplemental Materials, Table S2). Primer set coverage was  
144 evaluated using the SILVA TestPrime 1.0 (available at [http://www.arb-](http://www.arb-silva.de/search/testprime/)  
145 [silva.de/search/testprime/](http://www.arb-silva.de/search/testprime/)). A sensitivity test to determine the detection limit of the  
146 reaction (i.e. the lowest concentration at which 95% of the positive samples are detected  
147 (47)) was performed (Supplemental Materials).

#### 148 **PCR amplification and DGGE fingerprinting.**

149 For *F. prausnitzii* population profiling, the 16S rRNA gene was partially  
150 amplified from extracted genomic DNA using the new primer set designed in this study.  
151 To obtain suitable PCR products for DGGE analysis, a GC-rich sequence was attached  
152 to the 5' end of the forward primer: 5'-  
153 CGCCCGCCGCGCCCCGCGCCCGGCCCGCCGCCCCCGCCCC-3' according to  
154 Muyzer et al. (48). All primers were obtained from Metabion (Steinkirchen, Germany).

155 PCR reaction was optimized testing different concentrations of MgCl<sub>2</sub> (0.25 to  
156 15 mM), deoxyribonucleoside triphosphates (dNTPs; 0.1 to 3 mM), and primers (50 to  
157 900 nM of each primer). The optimized reaction was used in all samples and was  
158 composed of: 1× of buffer (II) 10× (Applied Biosystems, Foster City, California),  
159 2.5 mM of MgCl<sub>2</sub> (25 mM; Applied Biosystems), 0.2 mM of dNTPs (10 mM; Applied  
160 Biosystems), 0.2 μM of GC-Fpra 427F primer, 0.2 μM of Fpra 1127R (10 pmol/mL  
161 each), 0.05 U/μL of AmpliTaq DNA polymerase (5 U/mL, Applied Biosystems), and 1  
162 μL of genomic DNA as a template in a total volume of 50 μL.

163 All PCR were performed in a GeneAmp<sup>®</sup> PCR System 2700 thermocycler  
164 (Applied Biosystems, Perkin-Elmer<sup>®</sup>, CA, USA). PCR conditions were optimized by  
165 testing different annealing temperatures (52°C to 70°C). The optimized cycling program  
166 was used, and consisted of: 10 minutes at 95°C for initial denaturation and DNA  
167 polymerase activation, followed by 35 cycles of 30 seconds at 95°C (denaturation), 30  
168 seconds at 65°C (annealing), and 1 minute at 72°C (extension), with a final extension of  
169 10 minutes at 72°C. Products were visualized under UV light after gel electrophoresis  
170 on 1.5% (wt/vol) agarose gels in 1× TAE buffer (Tris-acetate-EDTA, pH 8.0) stained  
171 with ethidium bromide (0.5 µg/mL).

172 DGGE was carried out with an Ingeny-phorU2 system (Ingeny<sup>®</sup>, Goes, The  
173 Netherlands) in 6% (w/v) acrylamide gels, with a vertical denaturing gradient ranging  
174 from 30% to 70% urea/formamide. Electrophoreses were run in 0.5× TAE buffer at  
175 60°C and at a constant voltage of 120 V for a minimum of 16 hours. Gels were then  
176 stained with 1× SYBR Gold<sup>®</sup> (Molecular Probes Europe, Invitrogen<sup>™</sup>) for 45 minutes  
177 in the dark, visualized under UV light and photographed.

#### 178 **Sequencing, sequence editing and analyses.**

179 All detectable bands were excised from the gel and DNA extracted as previously  
180 reported (15). DNA was then reamplified by PCR as described above, using the forward  
181 primer Fpra 427F without the GC clamp. Positive PCR products were cleaned and  
182 sequenced in both directions (forward and reverse) by Macrogen Inc (Seoul, Korea).  
183 Prior to analysis, sequences chromatograms were manually inspected and none  
184 presented double peaks. Quality of sequences was also checked with Sequence Scanner  
185 Software2 (Applied Biosystems, Foster City, CA, USA). Only those with high trace  
186 score (which is the average basecall quality value of bases in the post-trim sequence)  
187 were considered for further analyses. Sequences were assembled to obtain high-quality

188 consensus sequences which were further manually refined using BioEdit Sequence  
189 Alignment Editor version 7.0.9.0 (49). The presence of chimeras was subsequently  
190 checked using DECIPHER (50).

191 To validate the accuracy of sequences, two biopsies from the same patient were  
192 analysed as previously described, and identical consensus sequences were obtained  
193 from the bands recovered (data not shown).

194 Consensus sequences were compared against NCBI database by using the  
195 BLASTN search tool (46) in order to determine the closest previously reported  
196 sequence and isolate. An alignment of the consensus sequences was performed using  
197 Clustal W (44), with manual curation if needed. For further analysis sequences of 581  
198 nucleotides in length (positions 525-1106 in *Escherichia coli* numbering, V3-V6  
199 regions) were used, which corresponded to those positions recovered for all sequences.

200 A Neighbor-Joining [NJ, (51)] distance matrix using the Jukes-Cantor (JC)  
201 correction was calculated in Mothur [<http://www.mothur.org>, (52)], which was then  
202 used to assign sequences to Operational Taxonomic Units (OTUs) using the farthest  
203 neighbor method at a cutoff of 0.03 and 0.01 (i.e., 97% and 99% minimum similarity  
204 for any pair of sequences to belong to the same phylogroup or phylotype, respectively).  
205 Representative sequences for each OTU (named thereafter OTU97 and OTU99,  
206 respectively) were identified and used for further analyses of distribution by group of  
207 patients. We will refer to the OTUs defined at >99% sequence identity as “phylotypes”  
208 and those defined at >97% as “phylogroups”. Unique sequences (100% similarity,  
209 named thereafter OTU100) were also considered to compare sequence-based population  
210 composition and to study the richness by groups of patients.

211 **Nucleotide sequence accession numbers**

212 The 16S rDNA sequences obtained in this study were deposited in the  
213 GenBank/EMBL/DDBJ database under the accession numbers KP005458 through  
214 KP005741.

#### 215 **Statistics**

216 Clustering of all samples was performed according to the presence/absence of  
217 unique sequences with a hierarchical analysis by the inter-groups joining method based  
218 on the Dice coefficient. This same analysis was performed for group of patients  
219 clustering according to OTU99 and OTU97 composition.

220 The non-parametric Kruskal–Wallis and the Mann–Whitney U tests were used to  
221 test differences in richness in variables with more than two categories and for pairwise  
222 comparisons, respectively according to subject diagnostics, IBD subtype, activity status  
223 (active CD and UC patients when CDAI > 150 (53) and a Mayo score >3, respectively),  
224 smoking habit, intestinal resection, medication and tumour state for CRC patients.

225 Pearson's  $\chi^2$  test was carried out to compare the prevalence of OTUs defined at  
226 a 99% and at 97% of similarity, taking into account the same clinical variables in order  
227 to determine specific OTUs of each condition.

228 All statistical analyses were conducted via SPSS 15.0 (SPSS Inc., Chicago, IL).  
229 Significance levels were established for P values  $\leq 0.05$ .

230

231 **RESULTS**

232 **Features of the novel PCR-DGGE assay to study *F. prausnitzii* population.**

233 In this study, a novel oligonucleotide set was designed to specifically amplify  
234 *F. prausnitzii* (Supplemental Materials). The detection limit of the reaction was 2623  
235 target genes, which indicates that if we had many replicate samples with 2623 copies of  
236 16S rRNA gene of *F. prausnitzii*, no more than 5% failed reactions should occur. The  
237 banding pattern obtained by DGGE represents the major constituents of the analyzed  
238 community (54). Species that contribute <1% of the total population would not be  
239 readily detected by this molecular approach (48). This is expected to have a minor effect  
240 in the present study however because *Faecalibacterium prausnitzii* represents at least a  
241 6% of the mucosa-associated microbial community in healthy subjects (1, 4-11).

242 The *in silico* analysis of the oligonucleotide set chosen showed that primer  
243 Fpra427F was specific for *F. prausnitzii* and targeted all the isolates, whereas the  
244 Fpra1127R primer was genus-specific. Coverage of the Fpra 427F-Fpra1127R primer  
245 set was of 70.6% of the *Faecalibacterium* sp. sequences in the SILVA dataset. The  
246 remaining 29.4% should be regarded as sequences of this genus but not *F. prausnitzii*  
247 (probably other species that have not yet been described exist within this genus).

248 Inclusivity-exclusivity tests were conducted *in vitro* by testing DNA from nine  
249 *F. prausnitzii* isolates and from 71 additional representative bacterial species (Table S2,  
250 Supplemental Materials) confirmed that the PCR was totally specific. All the *F.*  
251 *prausnitzii* isolates were successfully detected thus producing a single PCR product,  
252 and there was no cross-reaction with any of the non-target microorganisms  
253 (Supplemental Materials).

254 **Sequence analysis and *F. prausnitzii* population composition**

255 From the samples from the 118 volunteers engaged in the study, a total of 284  
256 partial *F. prausnitzii* 16S rRNA gene sequences (66 from H, 17 from IBS, 48 from UC,  
257 97 from CD and 56 from CRC) were obtained from PCR-DGGE gels. This represented  
258 an 88.75% of the observed bands, whereas the remaining (i.e. 11.25% of the observed  
259 bands) were not recovered due to methodological problems (i.e. not proper band  
260 excision from gel, incapability to re-amplify the bands for further sequencing) and thus  
261 were not included in the analysis. Among the retrieved sequences, 135 unique  
262 sequences were found, that could be grouped into 40 OTUs based on a >99% sequence  
263 similarity criteria and 5 OTUs based on >97% of sequence similarity criteria (Tables  
264 S3, S4 and S5). Correspondence between OTUs at different cutoffs of similarity is  
265 shown in Table S6 (i.e. which OTU100 are included within a given OTU97 and  
266 OTU99).

267 *F. prausnitzii* population similarity and richness (OTU100 analysis)

268 Sequences were grouped in 135 unique sequences (i.e. OTU100; Table S3), and  
269 analyzed in order to compare sequence-based population composition and to estimate  
270 *F. prausnitzii* richness between groups of patients.

271 Clustering analysis of OTU100 hosted by each subject revealed that *F.*  
272 *prausnitzii* populations were rather individual-specific as few patients featured identical  
273 population composition. Furthermore, 24.6% of the subjects had *F. prausnitzii*  
274 population composition very different from that found in any other patient studied, thus  
275 featuring their own branching point in the cluster analysis (Figure 1). Noticeably, these  
276 were mainly H subjects (54.8%), whereas only 16.7% of IBS, 21.7% of UC, 4.5% of  
277 CRC, and 22.2% of CD patients had particular *F. prausnitzii* population profiles  
278 composed by a set of sequences not found in any other patient (P=0.001). Within CD  
279 patients, over 54% of C-CD featured unique *F. prausnitzii* populations, but this was

280 only found in approximately 10% of patients with ileal disease location (either I-CD or  
281 IC-CD) ( $P=0.025$ ).

282 The remaining 75.4% of the subjects grouped into five main clusters.  
283 Interestingly, no H subjects were grouped in cluster III, which included over 30% of  
284 IBD and CRC patients ( $P=0.005$ ). This clustering was not explained by any other  
285 patient variable tested (age, gender, smoking habit, disease activity index, age at the  
286 onset of disease, intestinal resection, and medication).

287 As concerns to *F. prausnitzii* richness, all volunteers had populations ranging  
288 between one and five unique sequences of this species. The average number of unique  
289 sequences of *F. prausnitzii* per subject was lower in IBD patients ( $1.7\pm0.8$  in UC and  
290  $1.6\pm0.8$  in CD) than in H, IBS and CRC subjects ( $2.1\pm1.1$  in H,  $2.2\pm1.0$  in IBS and  
291  $2.5\pm1.2$  in CRC) ( $P=0.064$ ). Clinical data of patients did not explain differences in the  
292 number of unique sequences found.

293 Percentage of subjects with three or more *F. prausnitzii* unique sequences was  
294 higher in H, IBS and CRC groups in comparison with IBD patients ( $P=0.027$ ) (Figure  
295 2). These data suggested that IBD patients featured less *F. prausnitzii* microdiversity  
296 whereas CRC and IBS patients presented a higher number of *F. prausnitzii* unique  
297 subtypes in the gut, being similar to numbers found in H subjects.

#### 298 *F. prausnitzii* population composition at the phylotype level (OTU99 analysis).

299 For this analysis all the samples from patients with the same diagnostics were  
300 analyzed together in order to compare the *F. prausnitzii* populations hosted by H  
301 subjects and patients with intestinal disorders. We will refer to the 40 OTUs defined at  
302  $>99\%$  sequence identity as “phylotypes”. All volunteers had populations ranging  
303 between one and four phylotypes of this species, but no significant differences in the  
304 average number of OTU99 per patient between groups were observed ( $P=0.558$ ). In H

305 subjects 20 different phylotypes were recovered, however in IBS, UC, CD and CRC  
306 patients values were lower (7, 9, 16 and 14 respectively) (Figure 3). The highest  
307 complexity of the community found in H subjects was confirmed from estimates of the  
308 Shannon diversity index ( $H'_H=2.24$ ), whereas the lowest diversity index was calculated  
309 in CD patients ( $H'_{CD}=1.90$ ) in spite of having more subjects with this disorder in the  
310 cohort analyzed. Besides, the other gut disorders also presented lower diversity index  
311 values than H subjects ( $H'_{IBS}=1.49$ ,  $H'_{UC}=1.58$ ,  $H'_{CRC}=1.83$ ).

312 Cluster analysis of phylotypes by patient group revealed that those with IBD and  
313 CRC host different *F. prausnitzii* populations when compared to H subjects (Figure 3).  
314 The most prevalent phylotype (OTU99\_1) accounted for approximately 20% of the  
315 sequences in H and IBS subjects, and about 40% in IBD and CRC patients ( $P=0.002$ )  
316 (Figure 3, Table S4). The next three most prevalent OTUs (OTU99\_2, OTU99\_3 and  
317 OTU99\_4), were also detected in all the patient groups (Figure 3, Table S4),  
318 representing between 8% and 50% of the sequences depending on the patients group,  
319 but without reaching statistically significant differences in their prevalence between  
320 groups of patients. Twelve OTUs (representing 54.8% of the sequences recovered from  
321 H) were exclusive of the H group. On the other hand, 22.2% of sequences from CD  
322 patients (seven OTUs) were not shared with patients with other intestinal disorders.  
323 Similarly, four UC and four CRC specific OTUs were also found, accounting for the  
324 17.4% and the 18.2% of sequences from each group of patients respectively. Finally,  
325 OTU99\_17 accounted for 16.7% of IBS sequences and was only found in this group of  
326 patients.

327 Altogether these observations suggest that the most prevalent *F. prausnitzii*  
328 phylotypes (OTU99\_1 through OTU99\_4) are present in both H and diseased patients,  
329 but that there existed rare phylotypes not found in all the patients (from OTU99\_5 to

330 OTU99\_40), which could have emerged or disappeared in certain gut conditions, since  
331 they are disease-specific or exclusively found in healthy subjects.

332 *F. prausnitzii* phylogroup distribution by group of patients (OTU97 analysis)

333 Of the total 284 sequences recovered, 279 sequences grouped together in two  
334 main OTU97 which corresponded to the previously defined phylogroups I and II (35)  
335 (Figure 4, Table S5). Thus, we will refer to the OTUs defined at >97% sequence  
336 identity as “phylogroups. Phylogroup II (OTU97\_1) consisted of 56.7% of the total  
337 sequences recovered (corresponding to 161 sequences) whereas phylogroup I  
338 (OTU97\_2) was slightly less prevalent (41.55%, 118 sequences). The remaining five  
339 sequences, representing less than 2% of sequences recovered, were grouped into three  
340 different OTUs: a triplet with two CD and one CRC sequences (OTU97\_3), a  
341 singleton from an IBS patient (OTU97\_4), and a singleton from an H subject  
342 (OTU97\_5). Noticeably, OTU97\_5 presented 95% of similarity to the nearest sequence  
343 present in databases (Table S5).

344 All subjects had populations ranging between one and three phylogroups of *F.*  
345 *prausnitzii*. Significant differences in the average number of OTU97 per patient  
346 between groups were not found ( $P=0.285$ ). However, phylogroups I and II prevalences  
347 differed between groups of patients (Figure 4). More than 52% of the sequences from H  
348 and IBS subjects belonged to phylogroup I, whereas most of the sequences from UC,  
349 CD and CRC patients belonged to phylogroup II (62.5%, 66% and 62.5% respectively)  
350 ( $P=0.001$ ), suggesting that phylogroup I is more frequently compromised in the latter.  
351 Cluster analysis of phylotgroups by patient group also revealed that those with IBD and  
352 CRC host different *F. prausnitzii* populations when compared to H subjects at this cut-  
353 off level (Figure 4).

354 Co-occurrence analysis of both phylogroups (Figure 5) showed that only in  
355 26.1% of UC and 22.2% of CD presented both phylogroups simultaneously while more  
356 than 38% of H, IBS and CRC subjects had sequences from both phylogroups, although  
357 differences did not reach statistical significance ( $P=0.270$ ). It is of note that no I-CD  
358 patients had simultaneously both phylogroups ( $P=0.060$ ).

359 These results suggest that patients with intestinal disorders feature an altered  
360 prevalence of phylogroups, mostly characterized by the presence of mono-phylogroup  
361 populations in some IBD patients, especially those with I-CD.

362 **Putative indicator sequences for differential diagnosis and/or disease**  
363 **prognostics.**

364 We observed differences in phylogroup and phylotype prevalence between  
365 groups of patients. Therefore, we further explored which OTUs could be considered as  
366 potential biomarkers for disease diagnosis. The prevalence of four OTU99 was  
367 statistically different between the groups of patients ( $P\leq 0.027$ ) (Table 2). Particularly,  
368 OTU99\_1 was found to be more prevalent in IBD and CRC patients than in H subjects  
369 ( $P=0.020$ ), OTU99\_10 was found in 16.7% of IBS patients and 9.1% CRC patients, but  
370 not in H nor in IBD subjects ( $P=0.027$ ), and OTU99\_11 and OTU99\_17 were  
371 exclusively found in a 16.7% of IBS patients ( $P=0.001$ ).

372 Several OTU99 were found to be associated with clinical characteristics of the  
373 diseased status. Within IBD patients, OTU99\_24 was exclusively found in a 33% of UC  
374 inactive patients ( $P=0.015$ ) whereas OTU99\_8 was especially absent in active CD  
375 patients ( $P=0.008$ ). Remarkably, OTU99\_8 and OTU99\_4 were found in all CRC  
376 patients featuring the most severe tumor state (T4) ( $P<0.001$  and  $P=0.033$  respectively).  
377 Moreover, OTU99\_7 was not found in CRC patients with tumour states T3 and T4 but

378 it was carried by all CRC patients with T1, a 12.5% of T2, and a 5.6% of CD patients  
379 ( $P=0.013$ ).

380 Referring to phylogroups (OTU97), the 87.1% of H subjects and the 83.3% of  
381 IBS patients had phylogroup I, whereas this value was reduced to 63.6% in CRC, 47.8%  
382 in UC and 43.2% in CD patients ( $P=0.003$ ) (Table 2). In contrast, a higher prevalence of  
383 phylogroup II was observed in IBD and CRC patients (78.3% in UC, 83.8% in CD and  
384 90.9% in CRC) in comparison to H and IBS subjects (51.6% and 66.7% respectively;  
385  $P=0.005$ ). No further differences in relation to patients' clinical characteristics were  
386 observed at the phylogroups level.

387

388 **DISCUSSION**

389           In this study we describe for the first time the richness and the distribution of  
390 phylotypes and phylogroups of *F. prausnitzii*. Our data reveal that *F. prausnitzii*  
391 populations are rather individual-specific, especially in H subjects, in line with previous  
392 evidences from *F. prausnitzii* isolates which grouped by individual according to their  
393 16S rRNA gene sequence and their RAPD-PCR fingerprint (35). Despite the fact that  
394 we have observed that *F. prausnitzii* populations can include up to five different  
395 sequences per individual, we found that in over 87% of IBD patients their populations  
396 consisted of just one or two different *F. prausnitzii* sequences. In contrast, at least 30%  
397 of H, IBS and CRC subjects hosted populations with more than three different  
398 sequences. Multiple 16S rRNA gene copies, with slight sequence variation can be  
399 hosted by a bacterial species, which can affect bacterial community analyses (55).  
400 According to data on *rrnDB* (56) *F. prausnitzii* S3L/3 has a single copy of the 16S  
401 rRNA gene which makes our results more meaningful. To date only one genome of this  
402 species has been fully sequenced and annotated, therefore the possibility that future  
403 studies reveal that several copies of 16S rRNA gene are hosted by other members of  
404 this species cannot be ruled out. This will reduce the number of unique phylotypes per  
405 patient observed. In our study, no differences in the average number of unique  
406 sequences per patient have been observed between active and inactive IBD patients,  
407 IBD or CRC subtypes, intestinal resection, medication or smoking habit, suggesting that  
408 richness remains reduced over time even if there is endoscopic and clinical remission,  
409 and regardless of the treatment used.

410           BLAST analysis revealed that the sequences recovered were of high similarity to  
411 previously characterised strains of this species. Only two of the sequences featured  
412  $\leq 95\%$  similarity with previously found *F. prausnitzii*. This finding suggests that novel

413 and rare phylotypes of *F. prausnitzii* are yet to be retrieved by cultivation techniques.  
414 Sequences for both phylogroups I and II,(35) were detected in all groups of patients; but  
415 in some patients, especially IBD, we detected only one of the two main phylogroups. In  
416 general all patients suffering from an intestinal disorder exhibited a reduction in  
417 phylotype richness which was not recovered during periods of remission or in mild  
418 states of the disease, suggesting that alterations in this population struggle to normalise  
419 with the current patient's treatments. New therapies to recover all the diversity of *F.*  
420 *prausnitzii* in these patients should be considered.

421 Differences in phylotype and phylogroup prevalence between diagnostics  
422 allowed us to discriminate patients suffering intestinal disease, especially those with  
423 IBD and CRC, from H subjects. This finding is in agreement with previous studies  
424 reporting that phylotypes related to isolate M21/2 (phylogroup I) and/or isolate A2-165  
425 (phylogroup II) are depleted in CD patients when compared to H subjects (15, 22). As  
426 these results might indicate differences in abundance of these phylogroups, it would be  
427 of interest to conduct quantitative analyses in order to explore their usefulness as  
428 biomarkers for intestinal disorders diagnostics and/or prognostics. Additionally, further  
429 investigation addressing the role of disease-specific sequences and the absence of H-  
430 associated phylotypes in gut disease patients could shed light on how *F. prausnitzii* can  
431 contribute to/prevent gut diseases pathogenesis.

432 Our data have revealed that H subjects and those with intestinal disorders host  
433 distinctive *F. prausnitzii* populations. This discrimination can be mainly attributable to  
434 differences in the prevalence of the common *F. prausnitzii* phylotypes, but also to the  
435 presence of rare OTUs found specifically in each group of patients. These differences in  
436 presence/absence of a specific phylotype can be explained by different capabilities to  
437 adapt to a diseased gut environment or mucosa status. For instance, it has been reported

438 that CD patients often have acidic stools, with elevated bile salt concentrations (57, 58)  
439 and also other factors such as oxidative stress or thiol availability are likely to lead to a  
440 significantly altered microbiota (59, 60). Supporting this hypothesis, *F. prausnitzii*  
441 representatives are sensitive to changes in the gut physico-chemical conditions that may  
442 occur in disease status, such as pH reduction or bile salt content (35), and are extremely  
443 sensitive to oxygen although they can persist in oxygen environments due to a flavin-  
444 thiol dependent extracellular electron shuttle (61). These observations should be taken  
445 into account for future treatment strategies aiming to restore *F. prausnitzii* population in  
446 patients suffering intestinal disorders. Novel treatments like prebiotics could be a  
447 strategy in order to boost the remaining *F. prausnitzii* populations in the gut disorders.  
448 The treatment strategies based on *F. prausnitzii* as probiotics using strains that are more  
449 tolerant to withstand gut conditions in intestinal disturbances could also be a suitable  
450 approach.

451         The observed imbalance in the prevalence of phylogroups between subjects  
452 provides an additional view to understand the role of this species in IBD since  
453 differences in their prevalence between healthy and IBD subgroups must have  
454 biological relevance, eg. reflecting differential responses to the host environment.  
455 Currently there is no phenotypic trait that consistently distinguishes *F. prausnitzii*  
456 members from one or other phylogroup (35), but the existence of differences to be  
457 present in members of different phylogroups would not be surprising, for instance due  
458 to horizontal gene transfer. Phenotypic differences have been observed between  
459 isolates from different phylogroups (which also have their characteristic 16S rRNA  
460 sequence) with respect to their capability to use carbohydrates from diet and/or host-  
461 derived, as well as their tolerance to bile salts and pH (35). It can be hypothesised that  
462 differences in phylogroup composition reflect variations in sensitivity to such

environmental factors or to interactions with the host and it has been demonstrated that *F. prausnitzii* ATCC2768 (phylogroup I) and *F. prausnitzii* A2-165 (phylogroup II) are linked with the modulation of different urinary metabolites related to different host pathways (40). Our study does not allow deciphering the biological relevance of the observed changes in population composition, but points out that the *F. prausnitzii* population hosted by different groups of subjects is different, and further studies based on isolation and characterisation of *F. prausnitzii* from subjects with these disorders and controls would be interesting to address this question.

## CONCLUSION

Although members of the *F. prausnitzii* population are shared between healthy subjects and those with gut diseases, there is a loss of richness in IBD patients and a different distribution of specific phylotypes. The imbalance in phylogroups (OTU97\_1 and OTU97\_2), and abundance of specific phylotypes can be used as biomarkers to distinguish some intestinal diseases as IBD or CRC.

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492

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- 739

740 **FIGURE LEGENDS**

741 **Figure 1.** Hierarchical distance clustering showing sample relationship based on *F.*  
742 *prausnitzii* population as determined by comparing unique sequences (OTU100) by  
743 means of the inter-groups joining method based on the Dice coefficient. Scale bar  
744 describes similarity between profiles. H, indicates healthy subject; IBS, irritable bowel  
745 syndrome; UC, ulcerative colitis; CRC, colorectal cancer; CD, Crohn's disease; O,  
746 colonic CD (C-CD); ●, ileo-colonic CD (IC-CD); and ●, ileal-CD (I-CD). I to V  
747 indicate the main groups in which patients have clustered.

748

749 **Figure 2.** Percentage of patients with *F. prausnitzii* populations with one to five unique  
750 sequences (OTU100) by group of subjects. H, healthy subject; IBS, irritable bowel  
751 syndrome; UC, ulcerative colitis; CD, Crohn's disease; CRC, colorectal cancer.

752

753 **Figure 3.** Heatmap showing the relative abundance of sequences assigned to each  
754 operational taxonomic unit at a 99% 16S rRNA gene sequence similarity (OTU 99) by  
755 group of subjects. Relative abundance has been calculated as the percentage of sequences  
756 in an OTU from the total of sequences recovered in that group of patients. Hierarchical  
757 distance clustering showing relationship of OTU 99 and groups of patients based on  
758 their distribution has been represented (using the inter-groups joining method based on  
759 the Dice coefficient). Scale bar describes similarity between profiles.

760 H, healthy subject; IBS, irritable bowel syndrome; UC, ulcerative colitis; CD, Crohn's  
761 disease; CRC, colorectal cancer.

762

763 **Figure 4.** Heatmap showing the relative abundance of sequences assigned to each  
764 operational taxonomic unit at a 97% 16S rRNA gene sequence similarity (OTU 97) by

765 group of subjects. Relative abundance has been calculated as the percentage of sequences  
766 in an OTU from the total of sequences recovered in that group of patients. Hierarchical  
767 distance clustering showing relationship of OTU 97 and groups of patients based on  
768 their distribution has been represented (using the inter-groups joining method based on  
769 the Dice coefficient). Scale bar describes similarity between profiles.

770 H, healthy subject; IBS, irritable bowel syndrome; UC, ulcerative colitis; CD, Crohn's  
771 disease; CRC, colorectal cancer.

772

773 **Figure 5.** Prevalence of *F. praunsnitzii* phylogroups (OTU97) in healthy subjects and  
774 patients with different intestinal disorders (A) and by Crohn's disease subtype (B). H,  
775 healthy subject; IBS, irritable bowel syndrome; UC, ulcerative colitis; CD, Crohn's  
776 disease; CRC, colorectal cancer; C-CD, colonic-CD; IC-CD, ileocolonic-CD; I-CD,  
777 ileal-CD.

## 778 TABLES

779 Table 1. Sample size and clinical characteristics of subjects.

	Healthy*	Irritable bowel syndrome	IBD		Colorectal cancer	p value <sup>§</sup>
			Ulcerative colitis	Crohn's disease		
N (patients)	31	6	23	36	22	
Age (mean years $\pm$ SD)	49.2 $\pm$ 16.3	42.4 $\pm$ 11.4	38.4 $\pm$ 14.0	34.5 $\pm$ 12.8	70.1 $\pm$ 10.3	<0.001 <sup>‡</sup>
Male (N, %)	15 (48.4%)	2 (20.0%)	15 (65.2%)	21 (58.3%)	11 (50.0%)	0.538 <sup>†</sup>
Active (N, %)	na	na	17 (73.9%)	23 (63.8%)	na	0.365 <sup>†</sup>
Previous surgery (N, %)	0	nd	2 (8.7%)	7 (19.4%)	nd	0.145 <sup>†</sup>
Smokers (N, %)	0	0	2 (8.7%)	4 (11.1%)	1 (4.5%)	0.386 <sup>†</sup>
Treatment (N, %) **						0.520 <sup>†</sup>
No treatment	na	na	10 (43.5%)	11 (30.6%)	na	
Mesalazine	na	na	2 (16.7%)	3 (8.3%)	na	
Moderate immunosuppressant	na	na	4 (17.4%)	11 (30.6%)	na	
Anti-TNF $\alpha$ (infliximab, adalimumab)	na	na	4 (17.4%)	7 (19.4%)	na	
UC classification (N, %) **						na
Ulcerative proctitis (E1)	na	na	4 (17.4%)	na	na	
Distal UC (E2)	na	na	12 (52.2%)	na	na	
Extensive UC or pancolitis (E3)	na	na	4 (17.4%)	na	na	
CD Montreal classification						
Age of diagnosis (N, %) **						0.309 <sup>‡</sup>
diag < 16y (A1)	na	na	1 (4.3%)	3 (8.3%)	nd	
diag 17-40y (A2)	na	na	11 (47.8%)	23 (63.8%)	nd	
diag >41y (A3)	na	na	7 (30.4%)	7 (19.4%)	nd	
Location (N, %)						na
Ileal-CD (L1)	na	na	na	11 (30.5%)	na	
Colonic-CD (L2)	na	na	na	11 (30.5%)	na	
Ileocolonic-CD (L3)	na	na	na	9 (25.0%)	na	
Behavior (N, %) **						na
Non-stricturing, non-penetrating (B1)	na	na	na	20 (55.6%)	na	
Stricturing (B2)	na	na	na	4 (11.1%)	na	
CRC subtype (N, %) **						na
Sporadic	na	na	na	na	11 (50.0%)	
Hereditary***	na	na	na	na	1 (4.5%)	

780 IBS, Irritable bowel syndrome; IBD, Inflammatory bowel disease; UC, Ulcerative colitis; CD, Crohn's disease; CRC, Colorectal cancer; nd, not determined; na, not applicable

781 \*Controls consisted of subjects who underwent colonoscopy for different reasons: 9/31 rectorrhagia, 11/31 colorectal cancer familial history and 11/31 abdominal pain.

782 \*\* Medical treatment at the time of sampling was available in 33/36 CD patients, and 20/23 UC patients; Age of disease onset was available for 33/36 CD patients, and 19/23 UC patients; Disease behavior at last follow-up before the time of sampling was available in 24/36 CD patients, and none had penetrating CD (B3); Maximal disease extent at the time of sampling was available in 20/23 UC patients and 31/36 CD patients; presence or absence of relatives with CRC could only be clearly tracked in 12/22 CRC patients.

783 \*\*\*Patients were included within this category if a first grade relative has had also CRC.

784 <sup>§</sup> Groups were compared by non-parametric statistical tests, and p value  $\leq 0.05$  was considered significant785 <sup>†</sup>  $\chi^2$  test, <sup>‡</sup> Mann-Whitney U test

788 **Table 2.** Main differences observed at different 16S rRNA gene sequence similarity cutoffs after comparisons of sequences from healthy subjects  
 789 and patients with several gut disorders.  
 790

Similarity cutoff*	OTU	Total sequences	Nearest <i>F. prausnitzii</i> isolate			Number of patients (%)					p-value
			Strain	Similarity (%)	Accession Number	H (n=31)	IBS (n=6)	UC (n=23)	CD (n=36)	CRC (n=22)	
<b>99%</b>	OTU99_1	104	HTF-1	99	HQ457031.1	12 (38.7)	2 (33.3)	17 (73.9)	24 (66.7)	16 (72.7)	<b>0.020</b>
	OTU99_10	3	A2-165	99	AJ270469.2	0.0	1 (16.7)	0.0	0.0	2 (9.1)	<b>0.027</b>
	OTU99_11	2	S4L/4	99	HQ457025.1	0.0	1 (16.7)	0.0	0.0	0.0	<b>0.001</b>
	OTU99_17	1	A2-165	97	AJ270469.2	0.0	1 (16.7)	0.0	0.0	0.0	<b>0.001</b>
<b>97%</b>	OTU97_1	161	HTF-1	99	HQ457031.1	16 (51.6)	4 (66.7)	18 (78.3)	31 (86.1)	20 (90.9)	<b>0.005</b>
	OTU97_2	118	S3L/3	99	HQ457024.1	27 (87.1)	5 (83.3)	11 (47.8)	16 (44.4)	14 (63.6)	<b>0.003</b>
	OTU97_4	1	A2-165	97	AJ270469.2	0	1 (16.7)	0	0	0	<b>0.001</b>

H, healthy subject; IBS, irritable bowel syndrome; UC, ulcerative colitis; CD, Crohn's disease; CRC, colorectal cancer.

\* Different phylotypes found as calculated by Mothur with the farthest neighbor method using a cutoff of 99% or 97% similarity of the 16S rRNA gene sequence.









