

1 **Litterfall and growth in three forests in Montseny massif**

2 Antònia Caritat\*, Marina Pi, Lluís Vilar and Jordi Bou

3 Grup Flora i Vegetació, Facultat de Ciències, Universitat de Girona, Campus Montilivi,

4 17071 Girona.

5 \*[antonia.caritat@udg.edu](mailto:antonia.caritat@udg.edu)

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22 **ABSTRACT**

23

24 The nemoral forests of the montane level are especially interesting, because they  
25 are on the edge of their distribution. We want to see the influence of some  
26 environmental conditions (temperature and rainfall) on the litterfall and growth, in three  
27 kinds of these forests. The Montseny massif in the NE part of Iberian Peninsula, with a  
28 maximum altitude of 1.706 m, has a strong gradient of climate and vegetation, from the  
29 lowlands to the top of the mountains. We analyze three forests in the Montseny massif,  
30 two of them are composed by deciduous species not very extensive in the region  
31 (beech and sessile oak) and the other is a Mediterranean species, the mountain holm  
32 oak. We recollect data since 2007, monthly litterfall was measured and radial growth,  
33 and correlated with the climate of the study area. Our results shows that *Fagus*  
34 *sylvatica* recorded the biggest drop in annual litterfall (6.3 Mg / ha), followed by *Q.*  
35 *ilex* (5.3 Mg / ha) and *Q. petraea* (4.6 Mg / ha) all recorded values are similar to those  
36 observed in other forests and mountains of the same state of maturity equivalent. The  
37 start of the growth took place in the spring and *Quercus* spp. occurred more gradually  
38 than in *F. sylvatica*. We found that the accumulated rainfall in late spring has had a  
39 positive effect specially on the growth of *Quercus* species while the effect of summer  
40 temperature has been especially prominent in beech. Mediterranean species show  
41 larger fluctuations growth than Central European ones in response to lower water  
42 availability.

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44 **Keywords:** radial growth, litterfall, *Fagus sylvatica*, *Quercus ilex*, *Quercus petraea*,  
45 response to climatic variables

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47

48 **Introduction**

49

50 Numerous mountain areas in the Iberian Peninsula are susceptible to the effects of  
51 climate change and some have been affected by recent summer droughts. In forests, the  
52 most serious effects are detected at the southernmost limits of the ranges of trees such as  
53 *Fagus sylvatica* L. (Fotelli et al., 2009) and *Quercus petraea* Matt. Lieb (Aranda et al.,  
54 2000). The effects of climate change could prompt species to move to higher altitudes  
55 (Peñuelas and Boada, 2003) or provoke the replacement of deciduous species by  
56 evergreen Mediterranean species – above all, *Quercus ilex* – that are more resistant to  
57 prolonged dry summers. On the other hand, the observed increase in the efficiency of  
58 water use in populations of beech in the Iberian Peninsula located in low-lying areas  
59 (Jump et al, 2006) that have been subject to intense heat in recent last decades (Peñuelas  
60 et al., 2008) demonstrates this species' ability to deal with some of the requirements  
61 arising from this new climatic situation.

62 Thus, in the context of climate change, it is important to know how the most  
63 vulnerable species that are located on the edge of their distribution respond to climate  
64 variation, and to compare their response with that occurring in species such as *Q. ilex*  
65 that have mechanisms that allow them to adapt to the increased water stress that are  
66 typical of the Mediterranean region. We want to know the response of the three tree  
67 species to the meteorological data in Montseny massif as a representative area of  
68 mountains of north east of Iberian Peninsula in order to relate with climatic change.

69 **Material and methods**

70 At the end of spring 2006 three experimental beech plots of 400 m<sup>2</sup> were defined  
71 at Coll de Te, while in the sessile and holm oak forest at Marmolers in autumn 2006  
72 three circular plots with a radius of 10 m were defined in each forest type. In each  
73 experimental plot forest and floristic parameters were evaluated (Table 1). In summer  
74 2006, five litter traps covering an area of 0.25 m<sup>2</sup> were installed in the Coll de Te beech  
75 forest and in the sessile and holm oak forest at Marmolers.

76 To study tree growth, in May 2007 we placed a dendrometer band (Fig. 1) on five  
77 trees over 10 cm selected in each plot in the beech forest to monitor monthly the trees'  
78 radial growth. The dendrometers were placed on the oak trees following the same  
79 guidelines in early June 2007. In 2008 we installed three electronic dendrometers in the

80 beech and oak forests in order to record the continuous growth of the different tree  
81 types. Data were recorded in every month.

82 During autumn 2008 at Marmolers forest was cleared for firewood, which meant  
83 that all the traps and dendrometers had to be removed. They were subsequently re-  
84 installed to conduct a new inventory of the tree layer.

85 The differences in annual growth were obtained using an analysis of variance  
86 (one-way ANOVA) in which the factor considered was the year. This analysis was  
87 conducted in order to compare the response of these variables to dry and wet years.

88 Prior to the statistical analysis the Shapiro-Wilk test was applied to test for  
89 normality. Where necessary, the initial data was transformed to reach a normal  
90 distribution. In case of significant differences in the ANOVA and/or if the data did not  
91 meet the assumption of heteroscedasticity, post-hoc tests (Tukey HSD if data were  
92 homoscedastic and Games-Howell if heteroscedastic) were employed in order to  
93 determine significant differences between years.

94 The effect of meteorological variables on the production of total litterfall and leaf  
95 growth, and the increase in basal area (BAI) was studied monthly using a Pearson linear  
96 correlation. Meteorological data came from the Viladrau meteorological station  
97 (451837X, 4632388Y)

98

## 99 **Results**

### 100 **Composition of the litterfall**

101 The data obtained show that the annual litterfall production was greatest in *F.*  
102 *sylvatica* (6.3 Mg/ha), followed by *Q. petraea* (5.3 Mg/ha) and *Q. ilex* (4.6 Mg/ha).  
103 Holm oak leaves represented  $66.52 \% \pm 6.38\%$  of the total litterfall, while in the  
104 deciduous species the leaf litterfall fraction had values of  $73\% \pm 9.81 \%$  in *Q. petraea*  
105 and  $72.31 \% \pm 4.74 \%$  in *F. sylvatica*. The observed percentage for fruit in the litterfall  
106 in the oak woods was 8% but about 14% in the beech and holm oak forests.

107 Figure 2 shows the monthly variations in litterfall for the deciduous species. The  
108 main peaks are due to leaf fall and usually occur in October or November. Since 2009  
109 maximum leaf fall in *Fagus sylvatica* has occurred just before *Quercus petraea*. There  
110 were two other remarkable peaks, one in September 2008 and the other in May 2009

111 In the mountain holm oak forest, however, there are two main peaks in the intra-  
112 year litterfall curve (Fig. 3). The first takes place in the spring, in May-June, and the  
113 second usually in November

114 The second peak in the intra-year litterfall occurs in large part due to leaf fall in *Q.*  
115 *petraea*.

116 Although statistically significant correlations with meteorological variables were  
117 not always observed, *Q. ilex* litterfall in spring was negatively correlated with rainfall  
118 (Table 2)

119

## 120 **Radial growth**

121 Figure 4 illustrates the acquired radial growth patterns in the three different  
122 species during the study period. The main radial growth period is usually restricted to  
123 spring, best seen in *Quercus ilex* and *Fagus sylvatica*. In addition to the spring peak, a  
124 second growth peak usually occurs in autumn.

125 Positive correlations were observed between radial growth and rainfall in June-  
126 July in the oak species (that were significant in *Q. petraea*); nevertheless, in the beech  
127 the positive correlation occurred in August (Table 3).

128 In terms of spring and autumn average temperatures, there was a positive but not  
129 significant effect on radial growth in *F. sylvatica*. In summer for *Q. petraea*, radial  
130 growth correlates negatively with maximum temperature in June-July. In the case of  
131 beech, radial growth is negatively and significantly correlated with maximum  
132 temperatures in August.

133 In addition, it is also worth noting that in deciduous species two opposing  
134 tendencies were observed in the annual increase of AB (Fig. 5): although the annual  
135 increase is getting smaller in *F. sylvatica*, it is getting greater in *Q. petraea* every year.  
136 However, this trend was reversed in 2011 and the increase in AB was greater compared  
137 to the previous year in both species.

138

## 139 **Discussion**

140

### 141 **Composition and phenology of the litterfall**

142 In almost all cases, the values for litterfall production were similar to those  
143 observed in other forests and mountains with similar degrees of maturity; the exception

144 were the beech forests, in which there was a slightly greater drop in annual litterfall,  
145 possibly because the studied beech forest is more mature (Rodà et al., 1999; Verdú,  
146 1984). In all cases, leaves compose the bulk of the litterfall fraction, as has been shown  
147 by other studies (Carceller et al., 1989 Rapp et al., 1999; Santa Regina, 1987).

148 In studies of beech forests in different successional states, Lebret et al. (2001)  
149 conclude that the total percentage of leaf litterfall in mature beech forests is around  
150 70%, values that match the results of this study and other studies in mature beech  
151 forests (Santa Regina et al., 1999).

152 In a study conducted on 10 different species of trees in very mature woods, Kira  
153 and Shide (1967) report that the leaf fraction tends to represent about 50% of the total  
154 litterfall. In the *Q. ilex* forest, the fruit percentage was slightly higher than that found by  
155 Bellot et al. (1992) (6.3%) in the Prades holm oak forest (NE Spain), probably because  
156 of the more northern situation of the Montseny Massif and its more humid and stable  
157 climate than Prades. Nevertheless, apart from the influence of weather conditions, we  
158 should also take into account the great inter-annual variability in fruit production in the  
159 studied species, since years of abundance are often followed by years of low production.

160 Both *F. sylvatica* and *Q. petraea* (Fig. 2) had a seasonal pattern in their monthly  
161 foliar litterfall, which is consistent with the typical pattern of leaf fall in deciduous  
162 species (Verdú et al., 1984; Witkamp and Van der Drift, 1961) in cold and temperate  
163 regions in the northern hemisphere, where peak leaf fall occurs in autumn. However, the  
164 species differ in the month of maximum leaf fall, which occurs normally in November  
165 in the *Q. petraea* forests but varies in *F. sylvatica* forests according to the year. This is  
166 due to the fact that the beech is more sensitive to climatic conditions.

167 In the mountain holm oak forest, however, there are two peaks in the intra-year  
168 litterfall (Fig. 3). The first takes place in the spring in May and June after the first  
169 sprouting and is common in Mediterranean species (Caritat et al., 1996). This leaf fall is  
170 interpreted to be an adaptation by *Q. ilex* to the Mediterranean climate and its summer  
171 drought, and an attempt by trees to minimize water loss through transpiration during the  
172 period of greatest stress (Escudero et al. 1987). The second peak in the intra-year  
173 litterfall occurs in large part due to leaf fall in *Q. petraea*. As various authors have  
174 observed in other Mediterranean forests (Leonardi et al., 1992; Bussoti et al., 2003;  
175 Caritat et al., 2006), a second leaf fall may occur in October and November. This  
176 autumn leaf fall in Mediterranean evergreen species is related to a secondary sprouting

177 that follows the summer drought and comes before the arrival of the winter frosts if  
178 weather conditions are favourable (i.e. rainfall in September and October) Bellot et al.  
179 (1992).

180 In addition, we observed that in relation to the two peaks in leaf fall, evergreen  
181 species decline more gradually than deciduous species. The cost of producing evergreen  
182 leaves is higher than that of deciduous leaves due to the greater concentration of lignin  
183 (Aerts, 1995). Thus, the higher production costs of evergreen leaves ensure that they are  
184 preserved for longer than in deciduous trees.

185

### 186 **Influence of meteorological variables on litterfall.**

187 For *Quercus* spp., falling *Q. ilex* leaves in spring correlated negatively with  
188 rainfall (Table 2) since *a priori* the lack of precipitation favours leaf fall. This is  
189 interpreted as an adaptation by this species to water deficit since leaf fall reduces water  
190 loss through transpiration during summer drought. Also in El Montseny, in the  
191 evergreen *Q. suber* forest at Polell, there was a positive correlation between litterfall  
192 and temperature as warmer years had greater litterfall (Caritat et al. 2006).

193 Furthermore, when there is greater rainfall, more leaf fall occurs in deciduous  
194 trees (although values were not statistically significant). Increased rainfall encourages  
195 more intense sprouting and an increase in leaf production. In the case of *F. sylvatica*,  
196 leaf fall in autumn correlates negatively with temperature, thereby demonstrating this  
197 tree's sensitivity to high temperatures. These results are similar to those obtained by  
198 Gloaguen and Touffet (1982). To verify these trends a longer-term study of litterfall in  
199 these forests in relation to climate variables would be necessary.

200

### 201 **Influence of meteorological variables on radial growth.**

202 Radial growth in the Mediterranean is usually restricted to spring and part of  
203 autumn, when rainfall is most abundant and temperatures are moderate (Montserrat  
204 Martin et al., 2009). The *F. sylvatica* growth pattern was the most consistent during the  
205 study period and the maximum growth peak was found to occur usually in June and  
206 July.

207 As mentioned above, in addition to the spring peak, a second growth peak usually  
208 occurs in autumn, which is much less important and shorter than in spring and related to  
209 favourable weather conditions (i.e. heavy rainfall and no freezing temperatures). In our

210 case, positive correlations were observed between radial growth and rainfall in June-  
211 July in the oak trees, whereas in beech the positive correlation was in August (Table 3).  
212 This may explain why *Q. petraea* and *Q. ilex* grow on dry slopes where the soil can  
213 retain more moisture in rainy springs. *F. sylvatica*, on the other hand, lives in an area  
214 that is regularly wet in spring and so steady growth every year is possible; nevertheless,  
215 it does still suffer in August in dry summers. Our results therefore are consistent with  
216 those found in temperate forests in Italy (Nola 1991), in which there is also a positive  
217 correlation between summer precipitation and radial growth.

218 In terms of spring and autumn temperatures, there is a positive effect on radial  
219 growth in *F. sylvatica* as it grows in relatively wet sites. In northern Spain, Pérez (1993)  
220 also found a positive effect for spring temperature in *Q. robur* and *Q. petraea*. In the  
221 case of beech, temperature in August is negatively correlated with radial growth since  
222 too-high temperatures inhibit tree growth, as reported by Gutierrez (1988).

223

## 224 **Conclusions**

225 The drop in annual litterfall in the studied forests is similar to that observed in  
226 other studies in the Montseny massif and in other European forests. The litterfall  
227 phenology of all three studied species resembles the typical patterns described in the  
228 temperate forests of the northern hemisphere.

229 In *Q. ilex* the observed links between leaf fall and climatic variables was as would  
230 be expected in a typical Mediterranean species, in which low rainfall and high  
231 temperatures favour leaf fall in spring. In deciduous species, however, the opposite is  
232 true, above all in *F. sylvatica*.

233 The pattern of annual radial growth or basal area was fairly even throughout the  
234 study period, especially in the case of *F. sylvatica*, and the largest increases occurred in  
235 June and July. The *Quercus* spp. species were highly sensitive to water availability in  
236 early summer. The radial growth of *Q. petraea* correlated positively and significantly  
237 with rainfall in June and July. Moreover, the special sensitivity of *F. sylvatica* to high  
238 temperatures was made evident by the significant negative effect of the average  
239 maximum temperature on growth in August.

240 In *F. sylvatica* there was a reduction in the average annual increase of the basal  
241 area for three consecutive years that could be explained by the climatic conditions in  
242 specific years of the study period, and which, overall, could be attributed to temperature

243 trends at work in El Montseny in recent years. This growth enables us to predict that  
244 under current climatic conditions, with an increase in temperature following the  
245 tendencies detected in El Montseny, *F. sylvatica* will move to higher levels where  
246 thermal conditions are more suitable. In addition, it will probably be replaced at lower  
247 levels by Mediterranean species such as *Quercus ilex* that possess more mechanisms for  
248 dealing with fluctuations in water availability and have greater resilience to higher  
249 temperatures.

250 Overall, in the context of climate change, differential responses to meteorological  
251 conditions in the forest species studied need to be taken into consideration in the  
252 conservation and evolution towards maturity of these forest ecosystems.

253

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328

329 **Tables and figures**

330

331 Table 1: Characteristics of the three studied forests

332

	<i>Fagus sylvatica</i>	<i>Quercus petraea</i>	<i>Quercus ilex</i>
<b>UTM</b>	456102X,4626901Y	457180X,4627004Y	457206X, 4627098Y
<b>Altitude(ma.m.s.l.)</b>	1000	850	850
<b>Orientation</b>	East	South-east	South-east
<b>Slope</b>	23-29%	18-21%	24-28%
<b>Substratum</b>	Granodiorite	Granodiorite	Granodiorite
<b>Soil depth</b>	>40 cm	24-32cm	22-30cm
<b>Tree level</b>	<i>F. sylvatica</i> 100%	<i>Q. petraea</i> 93% <i>Castanea sativa</i> 7%	<i>Q. ilex</i> 75% <i>Q. petraea</i> 25%
<b>Density n/ha</b>	408±38	2601±32	732±32
<b>Biomass Mg/ha</b>	237±38	217±9	76±25

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334

335 Table 2: Pearson coefficient between spring weather variables of precipitation and  
 336 temperature, and the leaves fraction and total litterfall of *Q.ilex*, *Q.petraea* and  
 337 *F.sylvatica* during Spring or Autumn).

338

Forestry variable	Sp period data	Sp	Spring	
			Temperature	Precipitation
Total litterfall	Spring	<i>Q. ilex</i>	0,14	-0,959**
	Autum	<i>F.sylvatica</i>	-0,442	0,272
		<i>Q.petraea</i>	0,388	0,643
Leaves	Spring	<i>Q.ilex</i>	0,348	-0,653
	Autum	<i>F.sylvatica</i>	-0,531	0,407
		<i>Q.petraea</i>	0,594	0,675

\*\* p-value < 0,01

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340

341 Table 3: Pearson correlation between Basal Area Increment (BAI) of *F. sylvatica*, *Q.*  
 342 *petraea* and *Q. ilex*, and the variables of average temperature (T), highest average  
 343 temperature (Tmax) and precipitation (P).

344

Weather variable	Year period	Basal Area Increment (BAI)		
		<i>F. sylvatica</i>	<i>Q. petraea</i>	<i>Q. ilex</i>
T	APR-MAY	0,569	0,135	- 0,002
	JUN-JUL	0,073	-0,841	- 0,575
	AUG	-0,726	0,32	- 0,054
	SEP-NOV	0,511	0,127	0,187
Tmax	APR-MAY	0,798	0,356	0,166
	JUN-JUL	-0,139	-0,747	- 0,572
	AUG	-0,832*	0,454	- 0,098
	SEP-NOV	0,069	0,534	- 0,445
P	APR-MAY	-0,804	-0,357	- 0,139
	JUN-JUL	-0,102	0,881*	0,649
	AUG	0,729	-0,233	0,144
	SEP-NOV	0,437	-0,191	0,449

345 \*p-value < 0.05

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347 Figure 1: Beech tree at Coll de Te with dendrometers

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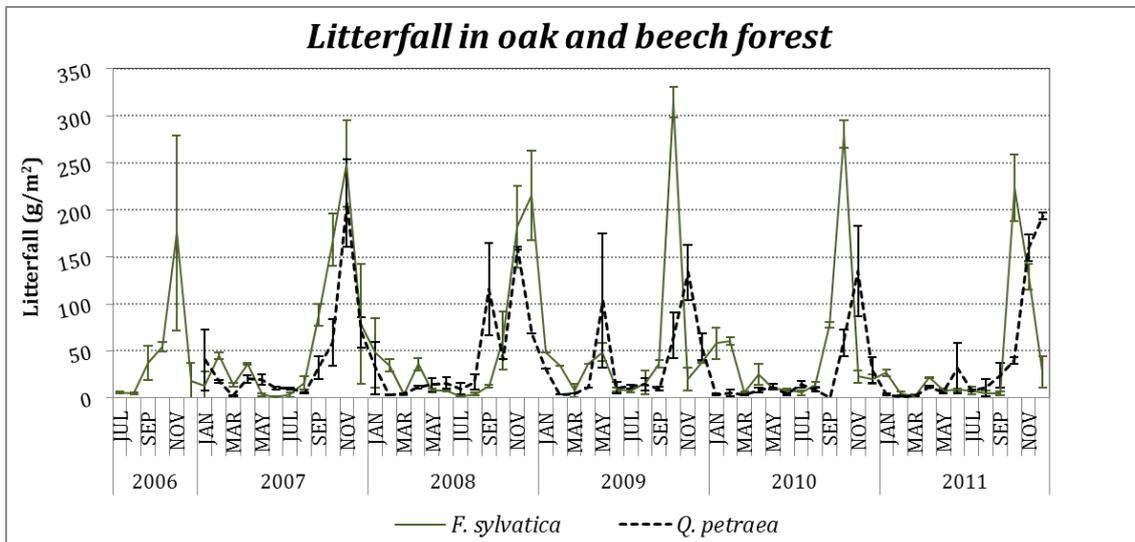
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360 Figure 2: Monthly litterfall registered in the Coll de Te beech wood and in the oak  
361 forest at Marmolers

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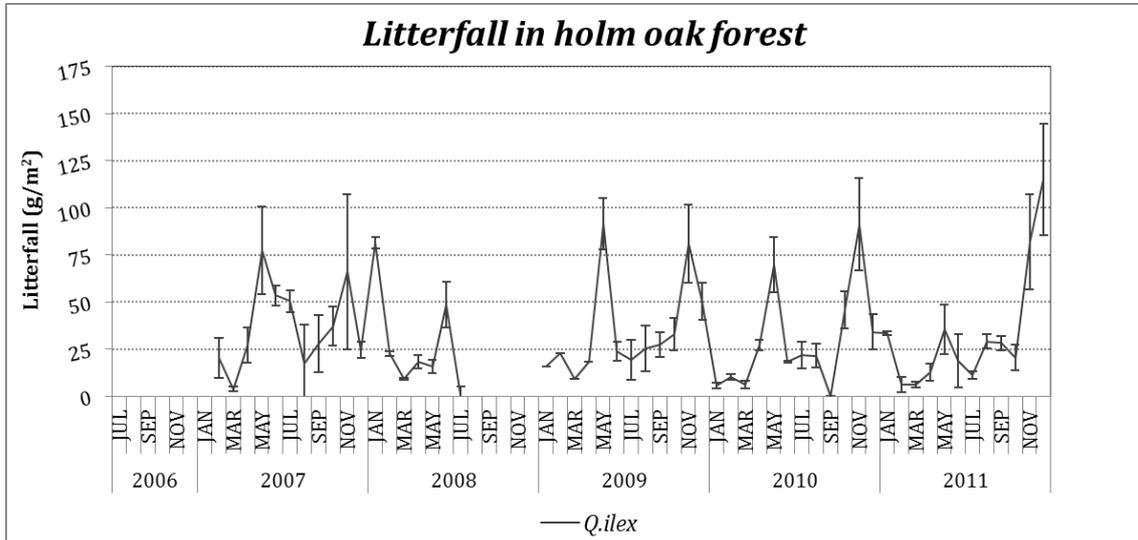


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365 Figure 3: Monthly total litterfall recorded in the holm oak forest at Marmolers. The bars  
366 correspond to the standard deviation (SD). There were no data for the second half of  
367 2008.

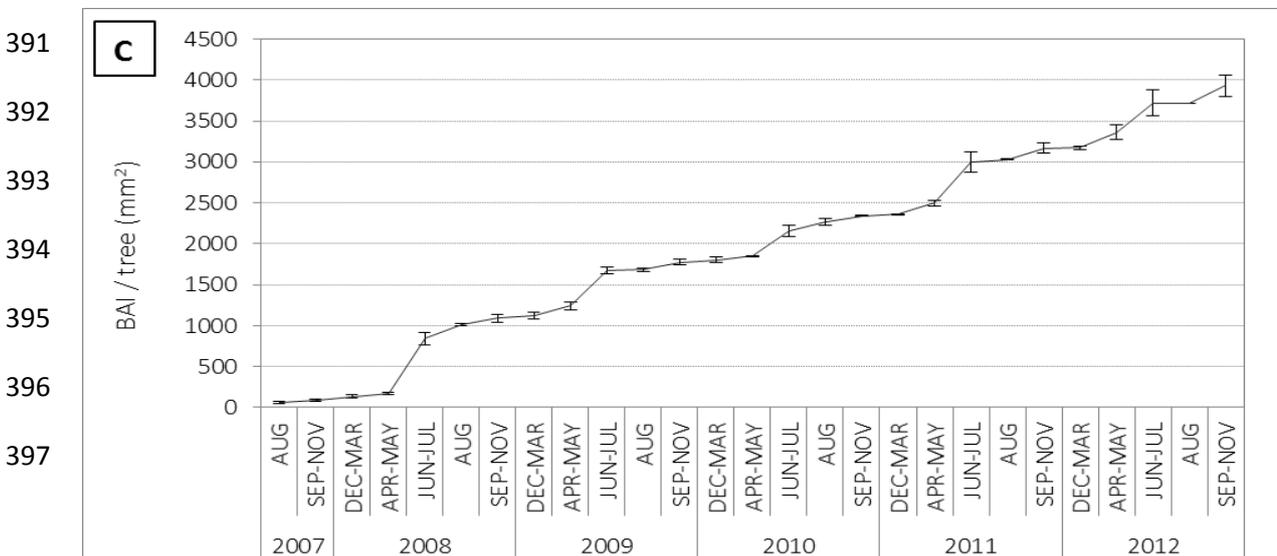
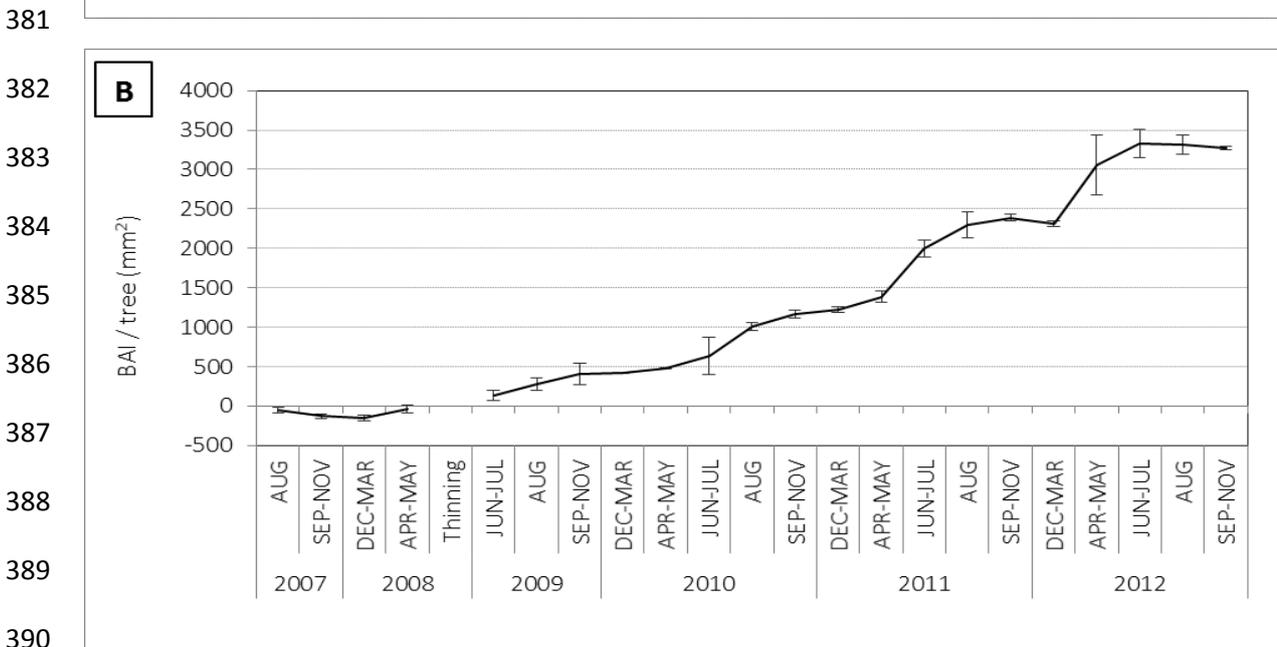
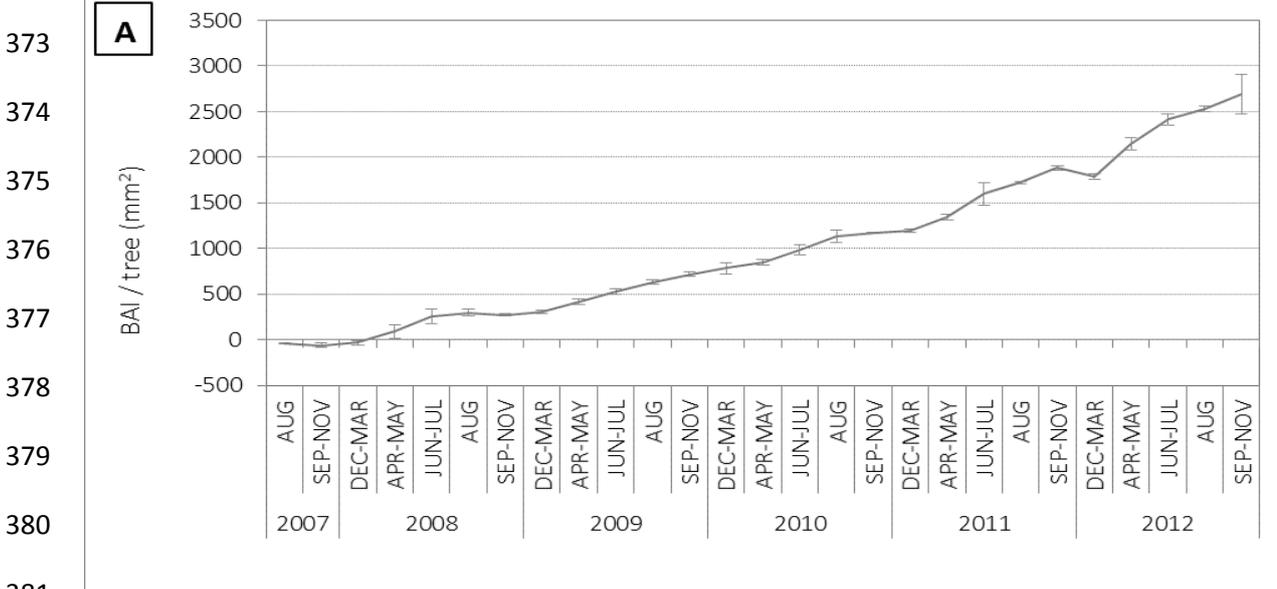
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371 Figure 4: Record of the cumulative radial growth (Basal Area Increment – BAI) at the  
 372 Marmolers sessile (A) and holm (B) oak forests, and Coll de Te beech forest (C).



398 Figure 5: Annual increase in basal area (BAI) expressed in mm<sup>2</sup> during the period  
399 August-July (of the following year). Bars: standard deviation plots ( $\pm$  SD)

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