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¹ Connection of neighboring WWTPs: economic

² and environmental assessment

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15 ABSTRACT

This paper explores the potential of integrated management of neighboring wastewater 16 treatment plants (WWTPs). The novelty lies in the integration of environmental aspects, with 17 the application of life cycle assessment (LCA) methodology, together with economic criteria 18 for the selection of best alternatives. A case study illustrates how the connection of 19 20 neighboring wastewater systems by constructing an extra pipeline provides positive results in the economic assessment, and in the majority of the LCA categories used in the global 21 environmental assessment. The consideration of local environmental constraints suggests that 22 the usage of the connection should be limited to periods when the minimum ecological flow 23 in the river section between the discharges of the two WWTPs is maintained. In this 24 25 particular case, the scenario promotes the usage of the connection between the two WWTPs

(but with some restrictions in dry weather periods) is preferred because it provides cost
savings of 45,053€·year⁻¹ and satisfies environmental criteria. A scenario analysis has been
conducted to evaluate the influence of the pipe length on both economic and environmental
aspects and the influence of individual cost terms on the economic assessment.

30

31 **KEYWORDS.** Life cycle assessment, economic evaluation, integrated management.

32

33 **1. Introduction**

Public or private companies operating wastewater systems are facing the challenge of 34 reviewing their practices in terms of environmental and economic performance. Most of the 35 studies resulting from such reviews focus on optimizing single wastewater systems, typically 36 without considering the effects on the receiving media. However, recent water directives 37 define that measures on a river basin scale, as the optimization of environmental performance 38 and economics should be conducted for multiple wastewater systems in the same river basin 39 and should take into account the impacts on the receiving media. The consideration of the 40 specific characteristics of the receiving water bodies in the management of WWTPs is needed 41 if aiming to minimize the impact on water bodies and fulfill the Water Framework Directive 42 objectives of good environmental (i.e., ecological and chemical) status (Corominas et al., 43 2013a). This is especially relevant in semi-arid regions (such as the Mediterranean) with low 44 river flows and significant contribution of WWTP discharges. 45

46

Some studies can be found in the literature evaluating the integrated management of multiple
facilities from and environmental and/or economic point of view. The study of Thames Water
(Dennison et al., 1998) on biosolids management showed that environmental impacts (by
using life cycle assessment - LCA) influenced more the decision rather than capital costs.

51 Lundie et al. (2004) performed an LCA for Sustainable Metropolitan Water Systems 52 Planning evaluating the integrated management of 31 wastewater systems, but no economical assessment was present in the paper. Yuan et al. (2010) demonstrated through a cost-53 54 effectiveness analysis, but without using a life cycle approach, that sharing WWTPs in an industrial Park in China was a better option compared to independent operation of several 55 WWTPs. Similarly, Cost-effectiveness of integrated operation of two neighboring WWTPs 56 together with the receiving water body impact was demonstrated using deterministic models 57 for predicting water quality without including LCA criteria (Benedetti et al., 2009; Devesa et 58 al., 2009 and Prat et al., 2012). Finally, there are some works with the aim of improving the 59 environmental performance of the integrated urban water cycle (from drinking water 60 production until wastewater treatment), proposing a procedure for the selection of 61 sustainability indicators (Lundin and Morrison, 2002), analyzing different future scenarios 62 (Lundie et al., 2004; Lassaux et al., 2007; Friedrich et al., 2009), identifying weaknesses to 63 the current situation and proposing improvements (Mahgoub et al., 2010; Lemos et al., 2013), 64 focusing on the water supply plans (Muñoz et al., 2010), evaluating sustainability of a 65 Mediterranean city (Amores et al., 2013) or comparing different cities with different locations 66 and specificities (Uche et al., 2013). However, none of these studies combined environmental 67 and economical aspects in the assessment. 68 The combination of both economic and environmental assessment criteria improves the 69 decision making process (Rodriguez-Garcia et al., 2011; Chong et al., 2012). In some cases, 70

71 higher environmental benefits are achieved without cost incremental (e.g. Dennison et al.,

72 1998). In other situations, the achievement of higher environmental benefits supposes an

additional cost (e.g. Sharma et al., 2009). In any case, economic assessment has to also be

74 addressed from a Life-Cycle perspective, including both capital and operational costs. Hence,

75 LCA-based Life Cycle Costing allows for an integrated environmental and economic

assessment of different options, therefore enabling decision-makers to make the best overall
decision, or to tackle trade-offs, if they exist, on a transparent basis (Rebitzer et al., 2003).

78

So far, none of the published studies evaluated the integrated management of WWTPs by
combining environmental and economic aspects. Furthermore, in the real world of
environmental issues, it is absolutely necessary to understand what would the impact of
WWTP effluents be on the receiving environment at a local scale. Since the provision of a set
of "accepted" characterization factors that can be applied at local scale is still a challenge
(Corominas et al., 2013b) within the LCA community it is proposed in this paper to combine
local and global environmental aspects within the analysis.

86

Therefore, the goal of this paper is to propose a methodology to evaluate the integrated management of neighboring WWTPs including economical and environmental (local and global) criteria. The usefulness of the proposed methodology is illustrated with a case study which compares the reference scenario (i.e., the independent operation of two existing WWTPs) against a proposal that involves the construction of a pipeline of ~1 km that connects them and allows sending wastewater from the upstream to the downstream WWTP.

94 2. Materials and Methods

95 2.1. Proposed methodology

96 The proposed methodology for the assessment of integrated management of WWTPs and 97 receiving water bodies we propose to combine: i) local environmental constraints (i.e. 98 maintenance of the minimum ecological flow in the river into which the WWTPs discharge 99 the treated water), ii) global environmental impact assessment through LCA applied 100 according to the ISO 14040 (2006) standard; and iii) economic assessment, through the Net Present Value (NPV) and the Internal Rate of Return (IRR) for the different managementoptions.

103

Fig. 1 shows the proposed methodology, which includes environmental local constraints
together with global environmental assessment and cost assessment in urban wastewater

106 systems decision-making.



107

- **Fig. 1.** Methodological approach proposed in this paper (the novelty is the inclusion of
- 109 environmental local constraints and environmental assessment of urban wastewater systems,

110 together with a cost assessment).

111

112 *2.2. Case study*

The system studied in this work is located in the Congost sub-catchment, which is part of the 113 Besòs River catchment (NE Spain). The urban wastewater system consists of two different 114 WWTPs: La Garriga and Granollers (Fig. 2). La Garriga (41°39'44.8"N, 2°17'13.5"E) is a 115 116 29,000 population-equivalent (PE) WWTP able to remove organic matter and nitrogen with a Modified Ludzack Ettinger configuration (MLE, Tchobanoglous, 2003). The sludge 117 treatment consists of thickening and dewatering with polyelectrolyte addition, and the final 118 119 dehydrated sludge is transported and treated in a composting plant. Granollers (41°34'05.0"N 2°16'19.5"E) is a 112,000 PE urban WWTP that biologically removes organic matter and 120

121 nitrogen (also with a MLE configuration). Sludge treatment consists of anaerobic digestion with production of biogas, which is used to generate electricity that is sold back to the 122 network. Sludge after the anaerobic treatment is dewatered (also with polyelectrolyte 123 addition) and follows several pathways: approximately 25% of the sludge is land-applied in 124 agriculture and 75% is treated in a thermal drying plant. 125 The reference scenario, i.e. the two WWTPs working individually, is compared in this study 126 to two additional scenarios with integrated management of the two WWTPs after 127 construction of the connecting pipeline, one bypassing 100% of the wastewater flow rate 128 from La Garriga to Granollers WWTP (bypass100%), and the other one bypassing the limited 129 wastewater flow rate (bypassecolflow) determined by the environmental local assessment (i.e. 130 the minimum ecological flow; further explanation in the following section). 131

132

The connection between La Garriga and Granollers WWTPs requires the construction of a pipeline of 0.4 m in diameter and 1,139 m in length. The pipeline is gravity-flow, which means that it is not necessary to consume energy to send the water from one plant to the other. The construction of pumping stations is likewise unnecessary.



137





140 During summer periods, the flow in the Congost river is very low ($< 0.1 \text{m}^3 \cdot \text{s}^{-1}$) and the

141 contribution of La Garriga WWTP effluent represents approximately 50% of the total flow in

the river. Thus, using the connecting pipeline to bypass wastewater from La Garriga WWTP

- to the Granollers WWTP would represent a significant decrease in water availability in the
- 144 river section from La Garriga discharge to the Granollers discharge.

Goal. The goal is to identify the critical months when the bypass would not be recommendeddue to water scarcity in the river.

147 *Inventory*. Flow data were acquired from a monitoring station located in the Congost river

and operated by the Catalan Water Agency. The period between 1996 and 2011 was used forthis evaluation.

150 *Assessment.* We use the indicator established by the Catalan Water Agency (ACA) of the

151 minimum ecological flow that must be maintained in a river course to guarantee the viability

152 of its natural systems. Ecological flow or environmental flow is defined as the flow regime

required in a river to achieve desired ecological objectives (Acreman and Dunbar, 2004). For

the Congost river in La Garriga the ecological flow is defined by the Catalan Water Agency

155 (ACA, 2005) as a variable flow rate depending on the season of the year (i.e. $0.069 \text{ m}^3 \cdot \text{s}^{-1}$ in

winter, 0.057 $\text{m}^3 \cdot \text{s}^{-1}$ in spring and autumn and 0.046 $\text{m}^3 \cdot \text{s}^{-1}$ in summer).

157 *Data interpretation.* The median value for the flow data measured during each month of the

158 15 years was compared to the ecological flow (Fig. 3).



160 **Fig. 3.** Relationship between river flow and minimum river flow.

Fig. 3 shows a box plot of monthly median flows using data from 1996 until 2011 provided 161 by the Catalan Water Agency (ACA). It can be observed that, from June to August, the 162 median is below the ecological flow, and in September, the median is very close to the 163 ecological flow. Therefore, the bypass of wastewater flow rate from La Garriga to Granollers 164 during these months would not be recommended. This result establishes the bypass 165 considering the ecological river flow defined in the second evaluated scenario (bypass_{ecolflow}), 166 which means bypassing 100% of the wastewater flow rate for the entire year, except for the 167 period with low river flow, when the by-pass should be 0%. The other scenario evaluated not 168 169 considers the ecological river flow, for that scenario a bypass of 100% of the wastewater for all the year is considered. 170

171

159

172 2.4. Global Environmental Impact Assessment

Goal and scope. The goal is to assess the potential environmental impacts of the integratedoperation of two neighboring WWTPs. In the reference scenario, the two WWTPs are already

175 built. Hence, only the impact of the construction of the connecting pipeline and the operation of the two plants are considered. Dismantling of the infrastructure is not included. The 176 functional unit is the volume of wastewater treated in the system during 20 years, which was 177 161,198,160 m³ for Granollers and 3,094,560 m³ for La Garriga. The 20-year period 178 corresponds to the lifespan of the updated wastewater treatment infrastructure. The system 179 boundaries (see Fig. 2) include a differentiation between ecosphere and technosphere. 180 Ecosphere considers direct emissions from the system to the natural systems (water, air and 181 soil). These emissions include atmospheric emissions related with the WWTP operation, soil 182 emissions from the sludge deposited as fertilizers and water emissions to the river of the 183 water discharged from the WWTP. Technosphere is defined as the man-world made and 184 includes all the processes related with human activities and needs, it includes electricity and 185 chemicals production, transports, construction materials, energy used, residues deposition and 186 sludge treatments. Finally, no impacts from the pipeline operation were considered because 187 the connection works by gravity flow. The maintenance of the pipeline was also excluded. 188

Inventory. The inventory data (see Table 1) comprises the following: i) inputs to the system 189 from the technosphere (consumption of electricity, polyelectrolyte and transport); ii) outputs 190 from the system (emissions to the water and air, and outputs to further treatment); and iii) 191 avoided products (electricity produced from biogas and fertilizers). The data regarding the 192 operation of the two WWTPs were provided by the water management board of the Besòs 193 River Basin. We computed the mean of the monthly averages for the years between 2009 and 194 195 2010 for WWTPs. The concentrations of heavy metals at the effluent of the Granollers WWTP were provided by the Catalan Water Agency, as average concentrations of four 196 analytical measurement campaigns between 2008 and 2011. The same heavy metals 197 198 concentrations were assumed for the effluent of La Garriga WWTP. No data were available for the heavy metals concentrations in the sludge, and therefore we used the maximum 199

10

200 concentrations established by the Spanish legislation that allow agricultural land application of sludge (REAL DECRETO 1310/1990, 1990). This assumption might lead to an 201 overestimation of the toxicity-related impacts, since we would expect heavy metals 202 203 concentrations in the biosolids from the WWTPs to be below the legislation limits. The air emissions (i.e., N₂O and CH₄ from secondary treatment, biogas combustion and the river) 204 were calculated using the factors from Foley et al. (2010) (0.01 kg N₂O-N per kg N 205 denitrified for secondary treatment, 0.025 kg CH₄ per kg COD discharged and 0.0025 kg 206 N₂O-N per kg N discharged for the effluent and finally, 16.02 g CH_4 per Nm³ biogas and 0.73 207 g N_2O per Nm^3 biogas for biogas combustion). Finally, the data related to transportation, 208 measured in t km were obtained from the transporting distances (40 km for composting; 60 209 210 km for the landfill; 100 km for agriculture; 5 km for thermal heating treatment; 10 km for grease disposal) and the metric tons of residues generated. The inventory for sludge 211 composting was obtained by combining the inventories provided in Amlinger et al. (2008) 212 and Sablayrolles et al. (2010). For the agricultural application of the digested sludge, 213 information from Doka (Doka, 2009) and the Spanish law regarding sewage sludge 214 application were used (REAL DECRETO 1310/1990, 1990). 215

A new inventory was conducted for the construction of a pipeline of 1,139 meters. The 216 construction process was divided into 4 different stages: i) trench excavation and preliminary 217 work; ii) tube placement; iii) refilling; and iv) transportation of excess soil or distribution 218 around the work. The required resources and energy at each stage were calculated. This 219 220 inventory was conducted in collaboration with a construction company (Voltes S.L.U., Spain), using their databases together with public databases for the characterization of 221 materials (BEDEC databases, publicly available (until spring-summer 2014) in the webpage 222 223 of the Construction Technology Institute of Catalonia –ITEC-, www.itec.cat). These databases contain different types of items with information about resources used and unit 224

prices for each and are used by architects and engineers to elaborate their budgets in
construction projects. The process to construct the inventory was as follows: i) searching the
typical items for this type of construction; ii) searching for these items in the databases; and
iii) transforming each item into resources needed for the construction. Details about this
inventory can be found in Table 2.

230 *Impact assessment.* The data from the inventories were introduced into Simapro 7.3.3, a

software developed by Pre-sustainability company that permits easily to model and analyze

complete life cycle assessments in a systematic and transparent way. To calculate the

environmental impacts the CML 2 baseline 2000 method, developed by Institute of

Environmental Studies (CML), University of Leiden (Guinée et al., 2001) was used. This

method has been widely adopted in applied LCA literature (19 out of 26 papers about

wastewater treatment applied CML, Corominas et al., 2013b). The evaluated categories are:

237 Abiotic Depletion (ADP), Acidification (AP), Eutrophication (EP), Global Warming

238 Potential (GWP), Ozone Layer Depletion (OLD), Human Toxicity (HTP), Freshwater

239 Aquatic Ecotoxicity (FAETP), Marine Aquatic Ecotoxicity (MAETP), Terrestrial ecotoxicity

240 (TTP), and Photochemical Oxidation (PHO) (Table 3).

241 *Data interpretation.* The current situation (without the connecting pipeline) was taken as

baseline for comparison. Then the two scenarios that required the pipeline construction were
compared to this reference scenario, presenting the induced and the avoided impacts as a
percentage.

245

246 2.5. Economic Assessment

247 Goal. The objective is the assessment of the economic feasibility of the pipeline's

construction and operation by estimating the benefits of the integrated operation of these two

249 WWTPs. The assessment was made for a 10 year horizon in order to ensure that the

250 investment will be amortized during the operational period.

251 *Inventory.* The annual costs related to the plant operation included the cost of electrical

energy consumption, revenues from the generated electricity sold back to the network, costs

- of the chemicals (polyelectrolyte), and costs associated to the disposal of the final residues.
- 254 These data were provided by the Besòs River Basin water board. The costs of the
- construction of the pipeline were obtained using the databases from ITEC. Personnel costs
- were not included, as we assumed there would be no changes among the scenarios. The
- details of the inventory costs for the economic assessment can be found in Tables 1 and 2.

Inventory of the Granollers and La Garriga WWTPs (values, expressed per 1 m^3 of treated wastewater)

	Granollers WWTP		La Garriga WWTP	
	Environmental	Economic	Environmental	Economic
	assessment	assessment	assessment	assessment
		-	2	2
Inputs to the system	kwh•m⁻³	€·m⁻³	kwh∙m ⁻³	€·m⁻³
(electricity)				
Electricity	$5.44 \cdot 10^{-1}$	$4.62 \cdot 10^{-2}$	$4.83 \cdot 10^{-1}$	$5.31 \cdot 10^{-2}$
Inputs to the system (materials)	kg∙m ⁻³	€·m ⁻³	kg∙m ⁻³	€·m ⁻³
Polymer	$3.61 \cdot 10^{-3}$	$1.08 \cdot 10^{-2}$	$1.44 \cdot 10^{-3}$	$4.32 \cdot 10^{-2}$
Emissions to water	kg∙m ⁻³		kg∙m ⁻³	
COD	$6.01 \cdot 10^{-2}$		$4.21 \cdot 10^{-2}$	
Nitrite	$3.56 \cdot 10^{-4}$		$5.42 \cdot 10^{-5}$	
Nitrate	$5.41 \cdot 10^{-3}$		$5.42 \cdot 10^{-3}$	
Ammonium	$1.53 \cdot 10^{-2}$		$2.15 \cdot 10^{-3}$	
Phosphorus, total	$5.18 \cdot 10^{-3}$		$3.54 \cdot 10^{-3}$	
Arsenic	$1.28 \cdot 10^{-6}$		$1.28 \cdot 10^{-6}$	
Cadmium	$5.00 \cdot 10^{-7}$		$5.00 \cdot 10^{-7}$	
Chromium	$8.05 \cdot 10^{-6}$		$8.05 \cdot 10^{-6}$	
Copper	$5.85 \cdot 10^{-6}$		$5.85 \cdot 10^{-6}$	
Mercury	$1.00 \cdot 10^{-6}$		$1.00 \cdot 10^{-6}$	
Nickel	$2.23 \cdot 10^{-5}$		$2.23 \cdot 10^{-5}$	
Lead	$6.45 \cdot 10^{-6}$		$6.45 \cdot 10^{-6}$	
Zinc	$1.01 \cdot 10^{-4}$		$1.01 \cdot 10^{-4}$	
Emissions to air	kg∙m ⁻³		kg∙m ⁻³	
Methane, biogenic	$1.50 \cdot 10^{-3}$		$1.05 \cdot 10^{-3}$	
Dinitrogen monoxide (river)	$4.11 \cdot 10^{-4}$		$2.40 \cdot 10^{-5}$	
Dinitrogen monoxide (WWTP)	$6.03 \cdot 10^{-5}$		$4.11 \cdot 10^{-4}$	
Methane (biogas combustion)	$1.29 \cdot 10^{-3}$			
Dinitrogen monoxide (biogas	$5.89 \cdot 10^{-5}$			

Table 1

combustion)	- 3	- 1	- 3	- 1
Outputs to further treatment	kg·m ⁻	€·ton ⁻¹	kg·m ⁻ °	€·ton⁻¹
Municipal solid wastes	$5.29 \cdot 10^{-2}$	60	$2.81 \cdot 10^{-2}$	60
Efficient heat treatment of sludge	$7.67 \cdot 10^{-1}$	10-120		
Agriculture disposal of sludge	$2.18 \cdot 10^{-1}$	28-30		
Fat wastes	$1.20 \cdot 10^{-2}$	60	$4.83 \cdot 10^{-4}$	60
Composting			$9.17 \cdot 10^{-1}$	45
Transports	tkm∙m ⁻³		tkm∙m ⁻³	
Landfill	$3.18 \cdot 10^{-3}$		$1.69 \cdot 10^{-3}$	
Heat treatment	$3.84 \cdot 10^{-3}$			
Agriculture	$2.18 \cdot 10^{-2}$			
Composting			$3.66 \cdot 10^{-2}$	
Fat treatment	$1.20 \cdot 10^{-4}$		$4.83 \cdot 10^{-6}$	
Avoided products	kwh∙m ⁻³	€/kwh		
Electricity	$2.04 \cdot 10^{-1}$	0.14	∇f	

258

Table 2 shows the inventory of materials for the four steps involved in the construction of the

260 1,139 m length pipeline of a trench with a tube of reinforced concrete of a diameter of 40 cm,

- 261 filled with a layer of granite sand, and using material extracted on site. The costs are also
- included in the table.

Table 2

Phase	Material	Consumption	Cost (€)
Excavation	Diesel (MJ)	24,294	8,300
Tub placement	Diesel (MJ)	14,440	50,810
	Water (m^3)	172	
	Reinforcing steel (kg)	22,173	
	Concrete (kg)	246,146	
CX Y	Synthetic rubber (kg)	1,817	
	Portland cement (kg)	196	
	Mortar I (kg)	4,895	
	Mortar II (kg)	1,108	
	Cast iron (kg)	1,985	
	Steel (kg)	3	
	Transport (tkm)	7,370	
Trench filling	Diesel (MJ)	11,321	21,450
C	Water (m^3)	43	
	Granite (kg)	1,554,928	
	On-site soil (kg)	586,357	
Transport of excess soil	Transport (tkm)	141,268	8,025

Pipeline construction inventory for the 1139 meters of length

264 Assessment. The cost-effectiveness analysis was conducted including the construction of the pipeline and the operation of the WWTPs. The Net Present Value (NPV) and the Internal 265 Rate of Return (IRR) were computed afterwards to assess the cost-effectiveness of the 266 investment, taking into account a maximum payback time of 10 years. NPV is a procedure 267 that permits to calculate the present value of a determined future number of cash flows 268 (incomes less expenses) originated thanks to an investment. The methodology consists to 269 discount to the current moment all the future cash flow and compare it with the investment. 270 IRR assesses the profitability in the expiration of an investment and is defined as the interest 271 tax that makes the NPV equal to 0 in the expiration of an investment. Equation 1 shows the 272 calculation for the NPV 273

274 NPV =
$${}^{n}_{t=1}\sum V_t / (1+k)^t - I_0$$

(Eq. 1)

where n is the number of periods considered, t is the number of years considered, V_t is the cash flow for every tth period, k is the discount rate or rate of return and I₀ is the investment. In this case, a discount rate of 7%, a period of 10 years, an investment of 112,265 \in and two different cash flows of 72,085 \in and 45,053 \in were used to calculate the savings of the *bypass*_{100%} and *bypass*_{ecolflow} scenarios, respectively.

Data interpretation. The reference scenario (no existence of the connecting pipeline) was taken as the baseline for comparisons and the induced and avoided costs of the different scenarios are calculated. The NPV and IRR are presented for each scenario together with the length of the payback time. The interpretation also includes a scenario analysis conducted to assess the maximum length that the pipeline could have for these two scenarios and still have a cost-effective investment. In addition, a scenario analysis on the main factors influencing the overall costs of the reference scenario was conducted.

287

288 3. Results and discussion

289 3.1. Global Environmental Assessment

The results of the environmental impact assessment are presented in Fig. 4 for the two 290 bypassing scenarios calculated with respect to the reference one. We can also see the separate 291 292 impacts associated with the construction of the pipeline. First, it can be observed that the construction induces some impacts compared to the reference scenario (positive percentages), 293 but they are negligible (always less than 1%). The results of the scenarios bypass_{100%} and 294 bypass_{ecolflow} (both after constructing the pipeline) show a trade-off between impact 295 categories. Compared to the reference scenario, the avoided impacts are obtained for ADP, up 296 to 22%; GWP, up to 5%; OLD, up to 22%; MAETP, up to 0.5%; and PHO, up to 17%. The 297 increased electricity production in Granollers (thanks to the increased influent load with the 298 activation of the bypass) has a positive effect on all these impact categories. Additionally, the 299 increase of biosolids applied to agriculture reduces the consumption of chemical fertilizers, 300 which production negatively impacts on the ADP, OLD and PHO (see Table S.1 on impact 301 categories and processes in the Supporting information). Similar observations on the effects 302 of electricity production on the impact categories is found in Pasqualino et al., (2009) and 303 Niero et al., (2014). The work of Hospido et al., (2008) also confirms the benefits on the ADP 304 when applying biosolids to agriculture. Compared to the reference scenario, induced impacts 305 are observed for AP, EP, FAETP, HTP and TTP categories. AP becomes up to 2.7% and EP 306 up to 3.8% worse (for the *bypass_{ecolflow}* scenario) because the nutrient removal efficiency of 307 the Granollers WWTP is lower than the La Garriga WWTP (but always within the legislation 308 limits) which results with an increase in the nutrient loads discharged to the river. There is an 309 increase up to 8.2% in the FAETP, an increase up to 11.3% in TTP and an increase up to 3 % 310 311 in HTP which are explained by the increase of land-applied biosolids. The increased mass of heavy metals is released to the soil and finally to freshwater resources. 312



313

- **Fig. 4.** Environmental assessment results for 20 years. Induced impacts compared to
- reference scenario correspond to positive percentages and avoided impacts are negative
- 316 percentages. The reference scenario corresponds to 0%.

317 **Table 3**

318 Impact categories analyzed, with its name, abbreviation used and meaning

Name	Abbreviation	Meaning
Abiotic Depletion	ADP	Consumption of natural resources, including energetic
		resources, considered as non-living.
Acidification	AP	Impact of acidifying pollutants in the natural environment, man-made environment, human health and natural resources
Eutrophication	EP	Potential impacts of excessively high environmental levels
		of macronutrients.
Global Warming	GWP	Human emissions contributing to the radiative forcing of the
Potential		atmosphere.
Ozone Layer	OLD	Thinning of the stratospheric ozone layer as a result of the
Depletion		human emissions.
Human Toxicity	HT	Impacts on human health as a result of toxic substances present in the environment.
Freshwater Aquatic	FAETP	Impact of toxic substances on freshwater aquatic
Ecotoxicity		ecosystems.
Marine Aquatic	MAETP	Impact of toxic substances on marine aquatic ecosystems.
Ecotoxicity		
Terrestiral	TTP	Impact of toxic substances on terrestrial ecosystems.
Ecotoxicity		- •
Photochemical	РНО	Formation of reactive chemical compounds by the action of
Oxidation		sunlight in certain primary pollutants.

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320

321 *3.2. Economic assessment*

Fig. 5 shows the induced and avoided costs for the two bypassing scenarios compared to the 322 reference scenario. Reference in the figure corresponds to current situation, when 0% by pass 323 324 between La Garriga and Granollers WWTPs is produced. Any values presented in the figure are referred to that reference situation. Positive values represent additional costs generated in 325 the scenarios and negative values represent savings. The integrated operation of the two 326 WWTPs represents operational savings because the cost of the electricity (per kwh, see Table 327 1) and the cost for sludge treatment are lower for the Granollers system compared to La 328 Garriga. Although electricity consumption in Granollers increases, there are additional 329 savings generated by selling electricity back to the network. However, costs increase in 330 Granollers because the consumption of chemicals and the generation of municipal solid waste 331 per cubic meter of treated wastewater are higher. Overall, the annual savings for the 332 *bypass*_{100%} scenario are 72,085 € and 45,053 € for the *bypass*_{ecolflow} scenario with respect to 333 the reference scenario. However, the construction of the connection involves an investment of 334 112,265 €. 335



336

Fig. 5. Induced and avoided costs for the different evaluated scenarios compared to the
reference scenario. Current situation (0% bypass between La Garriga and Granollers) is the
reference scenario and all the changes are compared with the current situation.

Table 4 shows the results of the NPV and the IRR calculations. The results show that for 340 these two scenarios the investment is economically feasible. Considering a discount rate of 341 7%, the NPV shows a positive value of 204,171 \in for the *bypass*_{100%}. The IRR calculation 342 shows a percentage greater than 7%, indicating that this investment will be economically 343 feasible until discount rates of 63% and 38% for the bypass_{100%} and the bypass_{ecolflow} 344 scenarios, respectively, occur. The table also shows that by applying the 7% discount rate, an 345 346 amortization period of 1 year and 10 months would be required for the *bypass*_{100%} scenario and 2 years and 11 months for the *bypassecolflow* scenario. 347

Table 4 NPV and IPP results		
Scenario	By-pass 100%	By-pass ecolflow
NPV	394,033€	204,171€
IRR	63 %	38 %
Amortization (time when NPV becomes 0)	1 year and 10 months	2 years and 11 months

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349 *3.3. Integrated Assessment discussion*

By identifying synergies that minimize the overall environmental impacts and costs, the 350 results demonstrate that the connection of neighboring WWTPs can be economically and 351 environmentally feasible both at global and local levels. In particular, for the case study of 352 the Congost sub-catchment, it is economically and environmentally feasible to connect La 353 Garriga and Granollers WWTPs, primarily due to the energy produced in Granollers, which 354 355 generates avoided environmental impacts and results in a net economic income. Additionally, 356 the treatment costs per unit volume are lower in Granollers WWTP. Finally, the sludge management in Granollers (anaerobic digestion with biogas recovery) is cheaper and more 357 358 environmentally friendly compared to La Garriga (dehydrating and composting) (confirming the findings in Suh and Rousseaux, 2002). The drawback is the significant increase of the 359 aquatic and terrestrial ecotoxicity (FAETP and TTP) (by more than 10%). The underlying 360 cause for such an increase is related to the heavy metals. First, by using the maximum values 361

362 allowed by the legislation we are probably overestimating these impacts. Second, the limitations of current toxicity models for assessment of metals are being discussed in 363 literature (Hospido et al., 2005; Corominas et al., 2013b; Lane, 2014) and studies have 364 confirmed wide variability of the toxicity impacts depending on the method used (e.g. 365 (Gandhi et al., 2011) and have reported large uncertainties (Niero et al., 2014). Lane (2014) 366 confirms that LCA Terrestrial Ecotoxicity models contradict the best available Australian risk 367 assessment, and should be excluded from analysis of biosolids disposal options. In fact, 368 application of biosolids to agriculture is a common practice in Spain which is also promoted 369 by the government with the objective to achieve 70% of biosolids application to agriculture 370 in 2015 (BOE núm. 49, of 20 of January of 2009) and the conclusions obtained in this study 371 on the ecotoxicity impact categories without this proper interpretation might be discouraging 372 the continuation of such practice. Hence, the bypass100% scenario provides the best results in 373 terms of only global environmental aspects and costs. However, the *bypassecolflow* scenario is 374 the one fulfilling both local and global environmental aspects, i.e. the minimum ecological 375 flow that has to be maintained in the Congost river, at expenses of decreased annual savings 376 $(45,053 \in \text{compared to } 72,085 \in \text{for the } by pass_{100\%})$. Under the economic situation with the 377 financial problems in the water sector in Catalonia, the Besòs River management board 378 decided to use that connection applying the *bypassecolflow* scenario. This is the first time that 379 such an analysis has been performed and brought into practice and therefore we believe that 380 this is a significant contribution to the field. 381

382

383 **4. Scenario analysis**

384 *4.1. Criticality of pipeline length*

A scenario analysis was applied in this study to understand the influence of the pipelinelength on the costs and on the global warming impact category. Hence, it is possible to

provide an assessment of the maximum pipeline length that would make the investment
economically and environmentally feasible. NPV calculations were repeated for pipeline
lengths from 1 km to 6 km, evaluated every 200 meters. Fig. 6a shows the results obtained for
the two scenarios that were evaluated. The investment would be cost-effective (considering a
discount rate of 7% and 10 years of amortization) up to a length of 5 km and 3.2 km for the
scenarios *bypass*_{100%} and *bypass*_{ecolflow}, respectively.

393

Fig. 6b shows the scenario analysis of the pipeline length on the net global warming potential 394 impact (avoided minus induced emissions). We can see the maximum length of the pipeline 395 for which the induced CO₂ emissions from the construction of the pipeline are compensated 396 by the emissions from the operation of the system. The results show that maximum 397 connection lengths of 75 km and 50 km are feasible in terms of CO₂ emissions for the 398 scenarios bypass100% and bypassecolflow, respectively. Hence, the limiting factor to connect two 399 neighboring WWTPs with the similar characteristics to the ones used in this study would be 400 401 economic more than environmental.



402



405 *4.2. Effect of tariffs evolution*

406 A scenario analysis was conducted to evaluate the effect of tariffs (e.g., for treatment and

disposal of residues or for electricity consumption) on the overall operating costs applied to

408 the reference scenario. The analysis was conducted by increasing and decreasing one tariff at a time by 10%. Fig. 7a shows that the tariff for electricity (kwh $\cdot \in^{-1}$) in Granollers is the 409 parameter that has the largest impact and hence, WWTP managers should make efforts to 410 optimize energy consumption. The second most important tariff is the price for electricity 411 sent back to the network, demonstrating the importance of maximizing energy production. 412 These measures would also have positive effects on the environmental impact categories that 413 are highly influenced by energy consumption (e.g., ADP, GWP). The same scenario analysis 414 applied to the bypass_{ecolflow} scenario (Fig. 7b) would lead to even more importance to the 415





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Fig. 7. Sensitivity analysis of the tariffs on the operating costs for reference scenario (a) and
bypass_{ecolflow} (b).

420 5. Limitations of the study and implications for practice

The results of this study are case-specific, and some of the assumptions made might affect the final outcomes. First, there are issues related to the construction and the operation of the connecting pipeline. We considered 20 years to be the lifespan of the upgraded infrastructure. However, there are different opinions about the lifespan of WWTPs and sewer systems (from 30 to 50 years in Lundin et al., (2000) and Doka (2009). Second, some processes considered (composting and agriculture disposal) and some emission factors applied (i.e. ammonia emissions and green house gases emissions from sludge, heavy metal emissions) were taken from literature which might not be fully in agreement with the real system. Third, toxicityrelated categories are strongly related to the concentration of heavy metals present in the sludge and large uncertainties are behind currently applied models. Fourth, we assumed that the operation of the system and the infrastructure would not change over the lifespan of 20 years. But actually, changes in the demography of the region or industrial activities would be possible and then the overall balance would change.

Finally, technical feasibility should be carefully analyzed. For instance, turning a biological 434 process such as an activated sludge system on and off is not that easy and might lead to 435 undesired performances during the start-up of the process. Additionally, the connecting 436 pipeline link to the sewer system infrastructure of Granollers was not designed to cope with 437 the load from La Garriga. Currently, this is not a limitation, but in the future (if population 438 increases) the percentage of wastewater bypassed might be limited by the capacity of that 439 sewer system. An alternate management strategy then would be to treat the wastewater 440 independently in both WWTP and to transport the sludge from La Garriga to the Granollers 441 system, still gaining the benefits from energy production in Granollers (the transport 442 distances might then become the limiting factor then). 443

444

445 **6. Conclusions**

A new methodology that includes economic and both local and global environmental aspects
has been proposed for the integrated management of WWTPs and rivers. The methodology
has been successfully applied to the assessment of the connection of two neighboring
WWTPs in a Mediterranean river basin where the discharge of WWTPs has a significant
impact. The study concludes that the inclusion of local environmental constraints (i.e.
minimum ecological flow in the river) determines the selection of the most appropriate
alternative. More specifically, the most economically feasible scenario is that with bypass

activated the entire year, with cost savings of $72,085 \in y^{-1}$. The consideration of local 453 environmental aspects suggests that the usage of the connection should be limited to periods 454 when the minimum ecological flow in the river section between the discharges of the two 455 456 WWTPs is maintained (from October until May). Our study demonstrates that the feasibility for operating two neighboring WWTPs, for different capacity, different sludge treatment and 457 disposal and energy recovery, in an integrated way must include, a part from the technical 458 assessment, an economic and environmental impact assessment of the construction and 459 operation of the two WWTPs and the required pipeline. In that sense, the length of the 460 461 pipeline and the cost of energy are critical issues.

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